

**Nomura Capital (India) Private Limited**

Registered Office:  
Ceejay House, Level 11, Plot F,  
Shivsagar Estate, Dr. Annie Besant  
Road, Worli, Mumbai – 400 018, India

**Telephone** +91 22 4037 4037  
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**Website** www.nomura.com

Date: 27 July 2023

To,  
**National Stock Exchange of India Ltd.,**  
Exchange Plaza, C-1 Block G,  
Bandra Kurla Complex Bandra [E], Mumbai – 400051.

**Subject: Intimation under Regulation 51 read with Part B of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“LODR Regulations”)**

Dear Sir / Ma’am,

Pursuant to the provisions of Regulation 51 read with Part B of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we, Nomura Capital (India) Private Limited (“the Company”) would like to inform you that M/s. Price Waterhouse Chartered Accountants, LLP (Firm Registration No. 012754N/N500016), Statutory Auditors of the Company have intimated the Board that owing to regulatory requirements mandated by the Reserve Bank of India for the tenure and rotation of statutory auditors, they would resign as the statutory auditors of the Company on the conclusion of the ensuing Annual General Meeting.

Kindly take the above information in your record.

The detailed reasons for the aforesaid intimation are enclosed as “**Annexure A**”.

Yours Faithfully,

Akshay Gupta  
Whole-time Director  
DIN: 09801537

Place: Mumbai

## Annexure A

S.No.	Particulars	Details
1.	Name of the Listed Entity	Nomura Capital (India) Private Limited
2.	Details of the statutory auditor-	
a.	Name	Price Waterhouse Chartered Accountants, LLP (Firm Registration No. 012754N/N500016)
b.	Address	Nesco IT Building III, 8th Floor, Nesco IT Park, Nesco Complex Gate No. 3 Western Express Highway, Goregaon East, Mumbai - 400063.
c.	Phone Number	022-61198000
d.	Email	indiacorporatesecretarial1@nomura.com
3.	Details of association with the Listed Entity-	
a.	Date on which the statutory auditor was appointed	September 29, 2022
b.	Date on which the term of the statutory auditor was scheduled to expire	On conclusion of Annual General Meeting to be held for the financial year ended March 31, 2027.
c.	Prior to resignation, the latest audit report/limited review report submitted by the auditor and date of its submission	May 22, 2023 for the financial year 2022-23.
4.	Detailed reasons for resignation	<p>The total assets of the Company, being a NBFC, would be more than Rs. 1,000 crores as on the date of ensuing Annual General Meeting.</p> <p>In terms of the revised Tenure and Rotation norms prescribed by Guidelines for Appointment of Statutory Central Auditors (SCAs)/Statutory Auditors (SAs) of Commercial Banks (excluding RRBs), UCBs and NBFCs (including HFCs) issued by Reserve Bank of India (RBI) vide RBI/2021-22/25Ref.No.DoS.CO.ARG/SEC.01/08.91.001/2021-22 dated April 27, 2021 and the related frequently asked questions issued by RBI dated June 11, 2021 (together referred to as the 'Guidelines'), with reference to which Price Waterhouse Chartered Accountants, LLP have completed 6 continuous years as the statutory auditors of the Company and <b>would no longer be eligible to continue as statutory auditors</b> of the Company subsequent to ensuing Annual General Meeting.</p>
5.	In case of any concerns, efforts made by the auditor prior to resignation (including approaching the Audit Committee/Board of Directors along with the date of communication made to the	Not Applicable

- Audit Committee/Board of Directors)
6. In case the information requested by the auditor was not provided, then following shall be disclosed:
    - a. Whether the inability to obtain sufficient appropriate audit evidence was due to a management-imposed limitation or circumstances beyond the control of the management. Not Applicable
    - b. Whether the lack of information would have significant impact on the financial statements/results. Not Applicable
    - c. Whether the auditor has performed alternative procedures to obtain appropriate evidence for the purposes of audit/limited review as laid down in SA 705 (Revised) Not Applicable
    - d. Whether the lack of information was prevalent in the previous reported financial statements/results. If yes, on what basis the previous audit/limited review reports were issued. Not Applicable
  7. Any other facts relevant to the resignation None
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