

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED INCOME STATEMENT INFORMATION  
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2006	For the year ended March 31, 2006
Operating revenue		
Investment trust management fees	56,059	48,368
Investment advisory fees	9,057	10,492
Other operating revenue	5	7
	<u>65,122</u>	<u>58,869</u>
Operating expenses		
Commissions	25,341	21,383
Other operating expenses	17,028	15,535
	<u>42,369</u>	<u>36,919</u>
General and administrative expenses	12,870	15,233
Operating income	9,882	6,716
Non-operating income	2,392	1,936
Non-operating expenses	117	69
Ordinary income	12,157	8,584
Special profits	12,376	6,820
Special losses	478	448
Income before income taxes	24,056	14,956
Income taxes	9,330	4,038
Net income	<u>14,726</u>	<u>10,917</u>
Unappropriated retained earnings brought forward		<u>2,726</u>
Unappropriated retained earnings		<u>13,644</u>

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED BALANCE SHEET INFORMATION  
(UNAUDITED)

	Millions of yen	
	December 31, 2006	March 31, 2006
Assets		
Current assets	59,497	81,050
Fixed assets	64,066	72,661
Total assets	<u>123,563</u>	<u>153,712</u>
Liabilities		
Current liabilities	26,991	16,781
Long-term liabilities	7,220	12,648
Total liabilities	<u>34,212</u>	<u>29,430</u>
Total shareholder's equity	–	124,282
Total liabilities and shareholder's equity	<u>–</u>	<u>153,712</u>
Net assets	<u>89,351</u>	–
Total liabilities and net assets	<u>123,563</u>	–

NOMURA ASSET MANAGEMENT CO., LTD.  
SUPPLEMENTARY INFORMATION  
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2006	March 31, 2006
Stock Investment Trusts-Public		
Unit Type	61	11
Open Type	9,353	6,861
	9,414	6,873
Bond Investment Trusts-Public		
Bond Investment Trusts	1,298	1,504
Money Management Fund	849	853
Others	3,618	3,266
	5,766	5,623
Private Investment Trusts		
Stock Investment Trusts	2,111	1,455
Bond Investment Trusts	-	17
	2,111	1,472
Total	17,292	13,969

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2006	March 31, 2006
Domestic-General	248	281
Domestic-Pension	3,495	3,687
Overseas	3,470	3,038
Total	7,214	7,006

## Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2006 To June 30, 2006	2nd quarter From July 1, 2006 To September 30, 2006	3rd quarter From October 1, 2006 To December 31, 2006	Nine Months Ended From April 1, 2006 To December 31, 2006
Operating revenue				
Investment trust management fees	16,209	18,108	21,741	56,059
Investment advisory fees	3,027	3,026	3,002	9,057
Other operating revenue	1	1	1	5
	19,238	21,137	24,746	65,122
Operating expenses				
Commissions	7,201	8,246	9,893	25,341
Other operating expenses	4,680	5,638	6,709	17,028
	11,881	13,885	16,602	42,369
General and administrative expenses	4,297	4,435	4,137	12,870
Operating income	3,058	2,817	4,006	9,882
Non-operating income	1,460	177	754	2,392
Non-operating expenses	27	22	67	117
Ordinary income	4,491	2,972	4,693	12,157
Special profits	15	5,408	6,953	12,376
Special losses	75	187	214	478
Income before income taxes	4,430	8,193	11,432	24,056
Income taxes	1,543	3,309	4,478	9,330
Net income	2,887	4,884	6,954	14,726