

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED INCOME STATEMENT INFORMATION  
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2012	For the year ended March 31, 2012
Operating revenue		
Investment trust management fees	53,531	78,412
Investment advisory fees	12,593	17,784
Other operating revenue	117	129
	66,241	96,325
Operating expenses		
Commissions	27,458	40,671
Other operating expenses	15,041	23,722
	42,499	64,393
General and administrative expenses	17,379	23,381
Operating income	6,363	8,550
Non-operating income	4,331	4,924
Non-operating expenses	69	104
Ordinary income	10,625	13,370
Special profits	190	214
Special losses	115	221
Income before income taxes	10,700	13,363
Income taxes - current	2,291	3,625
Income taxes - deferred	831	1,228
Net income	7,577	8,509

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED BALANCE SHEET INFORMATION  
(UNAUDITED)

	Millions of yen	
	December 31, 2012	March 31, 2012
Assets		
Current assets	66,217	66,535
Fixed assets	32,392	32,937
Total assets	98,610	99,472
Liabilities		
Current liabilities	19,477	25,310
Long-term liabilities	2,602	2,934
Total liabilities	22,080	28,244
Net assets	76,530	71,227
Total liabilities and net assets	98,610	99,472

NOMURA ASSET MANAGEMENT CO., LTD.  
SUPPLEMENTARY INFORMATION  
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2012	March 31, 2012
Stock Investment Trusts-Public		
Unit Type	385	185
Open Type	9,142	8,778
	9,528	8,963
Bond Investment Trusts-Public		
Bond Investment Trusts	760	799
Money Management Fund	674	732
Others	3,409	3,250
	4,844	4,783
Private Investment Trusts		
Stock Investment Trusts	1,516	1,521
Bond Investment Trusts	43	—
	1,560	1,521
Total	15,932	15,268

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2012	March 31, 2012
Domestic-General	185	153
Domestic-Pension	4,204	4,787
Overseas	5,310	5,061
Total	9,700	10,002

## Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2012 To June 30, 2012	2nd quarter From July 1, 2012 To September 30, 2012	3rd quarter From October 1, 2012 To December 31, 2012	Nine Months Ended From April 1, 2012 To December 31, 2012
Operating revenue				
Investment trust management fees	17,721	17,578	18,230	53,531
Investment advisory fees	4,014	4,041	4,537	12,593
Other operating revenue	41	40	36	117
	21,777	21,659	22,805	66,241
Operating expenses				
Commissions	9,107	9,027	9,322	27,458
Other operating expenses	5,006	4,898	5,136	15,041
	14,114	13,925	14,458	42,499
General and administrative expenses	5,761	5,781	5,835	17,379
Operating income	1,900	1,951	2,510	6,363
Non-operating income	1,341	985	2,003	4,331
Non-operating expenses	172	(119)	16	69
Ordinary income	3,070	3,057	4,497	10,625
Special profits	44	108	38	190
Special losses	63	24	28	115
Income before income taxes	3,051	3,141	4,508	10,700
Income taxes - current	(106)	1,069	1,328	2,291
Income taxes - deferred	1,136	(310)	5	831
Net income	2,021	2,382	3,174	7,577