

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED INCOME STATEMENT INFORMATION  
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2014	For the year ended March 31, 2014
Operating revenue		
Investment trust management fees	70,783	87,258
Investment advisory fees	23,253	24,589
Other operating revenue	159	188
	<u>94,196</u>	<u>112,036</u>
Operating expenses		
Commissions	34,940	44,194
Other operating expenses	24,686	25,462
	<u>59,627</u>	<u>69,656</u>
General and administrative expenses	<u>18,432</u>	<u>24,460</u>
Operating income	16,136	17,919
Non-operating income	4,450	4,400
Non-operating expenses	273	169
Ordinary income	20,314	22,151
Special profits	924	203
Special losses	280	2,562
Income before income taxes	20,958	19,792
Income taxes - current	5,516	7,608
Income taxes - deferred	299	(90)
Net income	<u>15,142</u>	<u>12,273</u>

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED BALANCE SHEET INFORMATION  
(UNAUDITED)

	Millions of yen	
	December 31, 2014	March 31, 2014
Assets		
Current assets	89,149	83,026
Fixed assets	29,781	31,810
Total assets	<u>118,930</u>	<u>114,837</u>
Liabilities		
Current liabilities	24,452	24,191
Long-term liabilities	3,168	3,716
Total liabilities	<u>27,621</u>	<u>27,907</u>
Net assets	<u>91,309</u>	<u>86,929</u>
Total liabilities and net assets	<u>118,930</u>	<u>114,837</u>

NOMURA ASSET MANAGEMENT CO., LTD.  
SUPPLEMENTARY INFORMATION  
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2014	March 31, 2014
Stock Investment Trusts-Public		
Unit Type	149	167
Open Type	14,882	12,029
	15,032	12,197
Bond Investment Trusts-Public		
Bond Investment Trusts	665	693
Money Management Fund	630	640
Others	5,797	4,805
	7,093	6,139
Private Investment Trusts		
Stock Investment Trusts	1,921	1,601
Bond Investment Trusts	817	315
	2,738	1,916
Total	24,864	20,253

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2014	March 31, 2014
Domestic-General	219	176
Domestic-Pension	4,939	4,639
Overseas	7,363	6,420
Total	12,522	11,237

## Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2014 To June 30, 2014	2nd quarter From July 1, 2014 To September 30, 2014	3rd quarter From October 1, 2014 To December 31, 2014	Nine Months Ended From April 1, 2014 To December 31, 2014
Operating revenue				
Investment trust management fees	22,356	23,608	24,818	70,783
Investment advisory fees	6,864	7,948	8,439	23,253
Other operating revenue	48	54	56	159
	29,269	31,611	33,314	94,196
Operating expenses				
Commissions	11,077	11,711	12,151	34,940
Other operating expenses	7,224	8,377	9,085	24,686
	18,301	20,089	21,236	59,627
General and administrative expenses	6,139	6,132	6,159	18,432
Operating income	4,828	5,389	5,918	16,136
Non-operating income	2,387	491	1,571	4,450
Non-operating expenses	6	18	247	273
Ordinary income	7,208	5,862	7,242	20,314
Special profits	107	804	12	924
Special losses	189	90	0	280
Income before income taxes	7,127	6,577	7,254	20,958
Income taxes - current	870	2,105	2,541	5,516
Income taxes - deferred	1,410	(565)	(546)	299
Net income	4,846	5,037	5,259	15,142