

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	March 31, 2016	December 31, 2016
Assets		
Current assets	103,715	86,660
Fixed assets	32,083	20,681
Total assets	135,799	107,341
Liabilities		
Current liabilities	27,538	22,436
Long-term liabilities	3,303	3,411
Total liabilities	30,842	25,847
Net assets	104,956	81,494
Total liabilities and net assets	135,799	107,341

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2015	For the nine months ended December 31, 2016
Operating revenue		
Investment trust management fees	80,456	70,937
Investment advisory fees	23,719	21,331
Other operating revenue	157	198
	104,333	92,468
Operating expenses		
Commissions	36,382	29,443
Other operating expenses	25,736	24,840
	62,118	54,283
General and administrative expenses	18,929	19,483
Operating income	23,285	18,701
Non-operating income	7,748	8,103
Non-operating expenses	1,044	43
Ordinary income	29,989	26,761
Special profits	72	92
Special losses	53	9
Income before income taxes	30,008	26,844
Income taxes - current	7,651	4,322
Income taxes - deferred	662	2,291
Net income	21,693	20,230

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2015	December 31, 2016
Stock Investment Trusts-Public		
Unit Type	124	114
Open Type	17,218	18,383
	17,342	18,498
Bond Investment Trusts-Public		
Bond Investment Trusts	605	510
Money Management Fund	549	65
Others	5,805	5,432
	6,960	6,008
Private Investment Trusts		
Stock Investment Trusts	1,854	1,841
Bond Investment Trusts	1,298	1,931
	3,152	3,773
Total	27,455	28,280

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2015	December 31, 2016
Domestic-General	252	253
Domestic-Pension	7,751	8,056
Overseas	6,005	5,073
Total	14,009	13,383

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2016 To June 30, 2016	2nd quarter From July 1, 2016 To September 30, 2016	3rd quarter From October 1, 2016 To December 31, 2016	Nine Months Ended From April 1, 2016 To December 31, 2016
Operating revenue	30,591	30,143	31,732	92,468
Investment trust management fees	23,313	23,256	24,367	70,937
Investment advisory fees	7,221	6,830	7,279	21,331
Other operating revenue	55	56	86	198
Operating expenses	17,992	17,702	18,588	54,283
Commissions	9,802	9,655	9,985	29,443
Other operating expenses	8,190	8,047	8,602	24,840
General and administrative expenses	6,124	6,541	6,817	19,483
Operating income	6,474	5,899	6,327	18,701
Non-operating income	3,579	2,447	2,076	8,103
Non-operating expenses	38	(11)	16	43
Ordinary income	10,015	8,359	8,386	26,761
Special profits	13	63	15	92
Special losses	-	-	9	9
Income before income taxes	10,028	8,422	8,392	26,844
Income taxes - current	1,093	1,051	2,177	4,322
Income taxes - deferred	1,482	1,042	(233)	2,291
Net income	7,452	6,329	6,448	20,230