

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	March 31, 2017	December 31, 2017
Assets		
Current assets	94,066	96,737
Fixed assets	21,353	21,121
Total assets	115,419	117,859
Liabilities		
Current liabilities	25,055	32,809
Long-term liabilities	3,485	3,512
Total liabilities	28,540	36,322
Net assets	86,878	81,537
Total liabilities and net assets	115,419	117,859

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2016	For the nine months ended December 31, 2017
Operating revenue		
Investment trust management fees	70,937	85,390
Investment advisory fees	21,331	20,281
Other operating revenue	198	242
	92,468	105,914
Operating expenses		
Commissions	29,443	33,729
Other operating expenses	24,840	28,337
	54,283	62,066
General and administrative expenses	19,483	20,554
Operating income	18,701	23,292
Non-operating income	8,103	4,967
Non-operating expenses	43	82
Ordinary income	26,761	28,178
Special profits	92	54
Special losses	9	10
Income before income taxes	26,844	28,222
Income taxes - current	4,322	7,727
Income taxes - deferred	2,291	246
Net income	20,230	20,248

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2016	December 31, 2017
Stock Investment Trusts-Public		
Unit Type	114	79
Open Type	18,383	23,814
	18,498	23,893
Bond Investment Trusts-Public		
Bond Investment Trusts	510	442
Money Reserve Fund	5,432	5,606
Others	65	0
	6,008	6,048
Private Investment Trusts		
Stock Investment Trusts	1,841	2,334
Bond Investment Trusts	1,931	1,983
	3,773	4,317
Total	28,280	34,259

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2016	December 31, 2017
Domestic-General	253	240
Domestic-Pension	8,056	9,015
Overseas	5,073	4,251
Total	13,383	13,507

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2017 To June 30, 2017	2nd quarter From July 1, 2017 To September 30, 2017	3rd quarter From October 1, 2017 To December 31, 2017	Nine Months Ended From April 1, 2017 To December 31, 2017
Operating revenue	34,164	35,004	36,745	105,914
Investment trust management fees	26,723	28,312	30,354	85,390
Investment advisory fees	7,378	6,594	6,307	20,281
Other operating revenue	61	97	83	242
Operating expenses	20,025	20,439	21,601	62,066
Commissions	10,691	11,235	11,801	33,729
Other operating expenses	9,334	9,203	9,799	28,337
General and administrative expenses	6,495	6,916	7,143	20,554
Operating income	7,643	7,649	8,000	23,292
Non-operating income	1,458	2,977	532	4,967
Non-operating expenses	82	8	(8)	82
Ordinary income	9,018	10,617	8,541	28,178
Special profits	11	21	21	54
Special losses	3	6	0	10
Income before income taxes	9,026	10,632	8,563	28,222
Income taxes - current	1,793	2,908	3,025	7,727
Income taxes - deferred	1,115	(493)	(375)	246
Net income	6,118	8,217	5,913	20,248