

## Data Section

### Five-Year Financial Summary (US GAAP)

For the fiscal years beginning April 1 and ending March 31 of the following year

Note: This financial summary is prepared solely for convenience. Readers are recommended to refer to the Form 20-F.

FY2008/09

#### Operating Results:

<b>Revenue:</b>	
Commissions	¥ 306,803
Fees from investment banking	54,953
Asset management and portfolio service fees	140,166
Net gain (loss) on trading	(128,339)
Gain (loss) on private equity investments	(54,791)
Interest and dividends	331,356
Gain (loss) on investments in equity securities	(25,500)
Other	39,863
<b>Total revenue</b>	<b>664,511</b>
Interest expense	351,884
<b>Net revenue</b>	<b>312,627</b>
<b>Non-interest expenses:</b>	
Compensation and benefits	491,555
Commissions and floor brokerage	73,681
Information processing and communications	154,980
Occupancy and related depreciation	78,480
Business development expenses	31,638
Other	262,558
<b>Total non-interest expenses</b>	<b>1,092,892</b>
<b>Income (loss) before income taxes</b>	<b>(780,265)</b>
Income tax expense (benefit)	(70,854)
<b>Net income (loss)</b>	<b>(709,411)</b>
<b>Less: Net income (loss) attributable to noncontrolling interests</b>	<b>(1,219)</b>
<b>Net income (loss) attributable to NHI shareholders</b>	<b>¥ (708,192)</b>

#### Balance Sheets (Period End):

Cash and cash deposits	¥ 1,422,709
Loans and receivables	1,643,007
Collateralized agreements	8,412,618
Trading assets and private equity investments	11,672,612
Other assets	1,686,902
<b>Total assets</b>	<b>¥ 24,837,848</b>
Short-term borrowings	¥ 1,183,374
Payables and deposits	1,242,318
Collateralized financing	10,157,954
Trading liabilities	4,752,054
Other liabilities	467,574
Long-term borrowings	5,483,028
<b>Total liabilities</b>	<b>23,286,302</b>
<b>Total NHI shareholders' equity</b>	<b>1,539,396</b>
<b>Noncontrolling interests</b>	<b>12,150</b>
<b>Total equity</b>	<b>1,551,546</b>
<b>Total liabilities and equity</b>	<b>¥ 24,837,848</b>

#### Cash Flows:

Net cash provided by (used in) operating activities	¥ (712,629)
Net cash provided by (used in) investing activities	(98,905)
Net cash provided by (used in) financing activities	999,760
Effect of exchange rate changes on cash and cash equivalents	(81,896)
<b>Net increase (decrease) in cash and cash equivalents</b>	<b>¥ 106,330</b>

Millions of yen

FY2009/10	FY2010/11	FY2011/12	FY2012/13
¥ 395,083	¥ 405,463	¥ 347,135	¥ 359,069
121,254	107,005	59,638	62,353
132,249	143,939	144,251	141,029
417,424	336,503	272,557	367,979
11,906	19,292	25,098	8,053
235,310	346,103	435,890	394,007
6,042	(16,677)	4,005	38,686
37,483	43,864	563,186	708,767
1,356,751	1,385,492	1,851,760	2,079,943
205,929	254,794	315,901	266,312
1,150,822	1,130,698	1,535,859	1,813,631
526,238	518,993	534,648	547,591
86,129	92,088	93,500	91,388
175,575	182,918	177,148	179,904
87,806	87,843	100,891	91,545
27,333	30,153	48,488	49,010
142,494	125,448	496,227	616,463
1,045,575	1,037,443	1,450,902	1,575,901
105,247	93,255	84,957	237,730
37,161	61,330	58,903	132,039
68,086	31,925	26,054	105,691
288	3,264	14,471	(1,543)
¥ 67,798	¥ 28,661	¥ 11,583	¥ 107,234
¥ 1,352,244	¥ 2,150,453	¥ 1,953,677	¥ 1,652,752
2,071,714	2,227,822	2,211,423	2,629,875
12,467,213	15,156,318	13,742,646	14,115,257
14,700,282	15,241,931	14,123,594	17,124,349
1,638,975	1,916,466	3,665,972	2,420,206
¥ 32,230,428	¥ 36,692,990	¥ 35,697,312	¥ 37,942,439
¥ 1,301,664	¥ 1,167,077	¥ 1,185,613	¥ 738,445
1,528,419	2,103,608	2,437,370	2,413,801
11,216,481	13,686,438	12,519,274	15,409,383
8,356,806	8,688,998	7,495,177	8,491,296
494,983	552,316	1,165,901	978,163
7,199,061	8,402,917	8,504,840	7,592,368
30,097,414	34,601,354	33,308,175	35,623,456
2,126,929	2,082,754	2,107,241	2,294,371
6,085	8,882	281,896	24,612
2,133,014	2,091,636	2,389,137	2,318,983
¥ 32,230,428	¥ 36,692,990	¥ 35,697,312	¥ 37,942,439
¥ (1,500,770)	¥ (235,090)	¥ 290,863	¥ 549,501
(269,643)	(423,214)	9,942	(160,486)
2,176,530	1,284,243	(844,311)	(701,623)
964	(26,246)	(6,314)	47,175
¥ 407,081	¥ 599,693	¥ (549,820)	¥ (265,433)

## CSR Key Facts

Corporate Governance				
	Unit	June 30, 2011	June 30, 2012	June 30, 2013
<b>Members of the board</b>				
Board of directors	No. of people	14	11	11
	Ratio (%)	-	-	-
Outside directors	No. of people	8	7	6
	Ratio (%)	57	64	54
Non-Japanese directors	No. of people	4	3	3
	Ratio (%)	29	27	27
Female directors	No. of people	1	1	1
	Ratio (%)	7	9	9
	Unit	April 1, 2011	April 1, 2012	April 1, 2013
<b>Officers (Executive Officers and Senior Managing Directors)</b>				
Men	No. of people	30	41	23
Women	No. of people	1	2	2
Ratio of women	%	3.2	4.6	8
	Unit	FY2010/11	FY2011/12	FY2012/13
<b>Board of directors meetings</b>				
No. of times held	No. of times	10	10	11
Average attendance rate	%	96	95	97
Client Focused				
	Unit	FY2010/11	FY2011/12	FY2012/13
<b>Caseload at Customer Help Desk Department (Retail Division)</b>				
Total	No. of cases	5,189	5,005	5,693
Complaints	No. of cases	2,359	3,012	3,409
Inquiries	No. of cases	2,408	1,836	2,119
Opinions and requests	No. of cases	256	13	23
Other	No. of cases	166	144	142
<b>Universally accessible branch offices (Retail Division)</b>				
Certified universally accessible	No. of branch offices	30	30	30
With toilets for the physically challenged	No. of branch offices	78	80	81
With elevators for the physically challenged	No. of branch offices	53	54	57
With steps removed from entrances and exits (with ramps, elevators or no steps)	No. of branch offices	136	140	139
With installations to mitigate entrance and exit steps (railings or step heights reduced)	No. of branch offices	15	14	13
	Unit	June 1, 2012	September 1, 2012	
<b>Results for survey of customer satisfaction with branch office service (Retail Division)</b>				
Extremely satisfied/satisfied	%	76.6	77.0	
Neither satisfied nor dissatisfied	%	20.0	19.1	
Extremely dissatisfied/dissatisfied	%	3.4	3.9	

Note: These surveys were conducted at 126 branch offices.

## Our Community

	Unit	FY2010/11	FY2011/12	FY2012/13
<b>Community contribution expenditures</b>				
<b>Total</b>	Amount (Millions of yen)	1,400	1,235	1,348
	Ratio (%)	-	-	-
Education	Amount (Millions of yen)	623	582	556
	Ratio (%)	44.5	47.1	41.2
Arts, culture and sports	Amount (Millions of yen)	71	106	81
	Ratio (%)	5.0	8.6	6.0
Science and academic achievement	Amount (Millions of yen)	162	83	129
	Ratio (%)	11.6	6.7	9.5
Community contribution	Amount (Millions of yen)	138	200	199
	Ratio (%)	9.8	16.1	14.7
Welfare and health	Amount (Millions of yen)	55	49	79
	Ratio (%)	3.9	3.9	5.8
Environment	Amount (Millions of yen)	25	43	47
	Ratio (%)	1.8	3.4	3.4
Human rights and labor	Amount (Millions of yen)	27	3	4
	Ratio (%)	1.9	0.2	0.2
Disaster relief	Amount (Millions of yen)	121	71	88
	Ratio (%)	8.6	5.7	6.5
Other	Amount (Millions of yen)	182	103	171
	Ratio (%)	13.0	8.3	12.6

### Number of community development projects implemented

<b>Total</b>	No. of projects	173	370	423
Japan	No. of projects	40	27	24
EMEA	No. of projects	83	196	195
Americas	No. of projects	31	107	151
AEJ	No. of projects	19	40	53

### Assistance provided to groups that contribute to society

No. of groups assisted	No. of groups	200	243	274
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	Unit	FY2011/12	FY2012/13	Cumulative total	
<b>Participants and materials in financial and economics education</b>					
Teaching material for elementary schools (The Economics Classroom)	Since 2008	No. of schools	120	396	2,716
		No. of copies	5,000	17,422	222,422
Teaching material for junior high schools (The t-shirt shop)	Since 2006	No. of schools	180	387	4,267
		No. of copies	17,200	17,326	414,526
Visiting classes (elementary, junior, senior high schools, teachers)	Since 2008	No. of classes	22	31	106
		No. of participants	1,087	1,865	6,172
Nikkei Stock League	Since 2000	No. of teams	1,420	1,287	20,226
		No. of members	5,741	5,120	80,613
Finance courses for universities	Since 2001	No. of schools	115	114	1,328
		No. of participants	12,000	11,000	184,000
Financial courses for the general public	Since 2003	No. of courses	536	444	6,037
		No. of participants	27,839	21,696	317,157

## CSR Key Facts

Our People				
	Unit	FY2010/11	FY2011/12	FY2012/13
<b>Education and training expenses</b>				
<b>Total</b>	Millions of yen	2,455	2,033	<b>2,441</b>
Japan	Millions of yen	1,573	1,468	<b>1,907</b>
EMEA	Millions of yen	489	133	<b>108</b>
Americas	Millions of yen	257	280	<b>233</b>
AEJ	Millions of yen	136	153	<b>193</b>
<b>Participation in education and training (in-house training)</b>				
<b>Total</b>	Aggregate no. of hours	272,528	225,184	<b>254,117</b>
	Aggregate no. of participants	13,146	21,928	<b>22,048</b>
Japan	Aggregate no. of hours	207,458	169,385	<b>208,087</b>
	Aggregate no. of participants	8,255	11,965	<b>12,081</b>
EMEA	Aggregate no. of hours	3,790	2,766	<b>1,732</b>
	Aggregate no. of participants	407	977	<b>647</b>
Americas	Aggregate no. of hours	-	7,390	<b>7,204</b>
	Aggregate no. of participants	-	1,182	<b>1,794</b>
AEJ	Aggregate no. of hours	61,280	45,643	<b>37,094</b>
	Aggregate no. of participants	4,484	7,804	<b>7,526</b>
<b>Number of employees</b>				
<b>Total</b>	No. of employees	26,871	27,168	<b>26,242</b>
	Ratio of male employees (%)	62	62	<b>62</b>
	Ratio of female employees (%)	38	38	<b>38</b>
Japan	No. of employees	14,918	15,145	<b>15,004</b>
	Ratio of male employees (%)	56	56	<b>57</b>
	Ratio of female employees (%)	44	44	<b>43</b>
EMEA	No. of employees	4,353	4,014	<b>3,618</b>
	Ratio of male employees (%)	70	70	<b>70</b>
	Ratio of female employees (%)	30	30	<b>30</b>
Americas	No. of employees	2,348	2,420	<b>2,271</b>
	Ratio of male employees (%)	74	74	<b>74</b>
	Ratio of female employees (%)	26	26	<b>26</b>
AEJ	No. of employees	5,252	5,589	<b>5,349</b>
	Ratio of male employees (%)	68	66	<b>65</b>
	Ratio of female employees (%)	32	34	<b>35</b>
<b>Ratio of employees by region</b>				
<b>Total</b>	%	100	100	<b>100</b>
Japan	%	55	56	<b>57</b>
EMEA	%	16	15	<b>14</b>
Americas	%	9	9	<b>9</b>
AEJ	%	20	20	<b>20</b>
<b>Composition by employment contract (Japan)</b>				
Full-time regular employment	No. of employees	14,918	15,145	<b>15,004</b>
Part-time regular employment	No. of employees	788	766	<b>606</b>

	Unit	FY2010/11	FY2011/12	FY2012/13
<b>Number of new hires</b>				
<b>Total</b>	No. of employees	3,634	3,280	<b>2,465</b>
Japan	No. of employees	990	989	<b>938</b>
EMEA	No. of employees	754	663	<b>307</b>
Americas	No. of employees	638	478	<b>361</b>
AEJ	No. of employees	1,252	1,150	<b>859</b>

#### Composition of managers

<b>Total</b>	Men	6,643	7,299	<b>7,024</b>
	Women	898	1,064	<b>1,055</b>
	Ratio of women (%)	12	13	<b>13</b>
Japan (Nomura Holdings, Nomura Securities)	Men	3,474	3,623	<b>3,549</b>
	Women	173	205	<b>219</b>
	Ratio of women (%)	5	5	<b>6</b>
EMEA	Men	1,553	1,693	<b>1,557</b>
	Women	317	325	<b>315</b>
	Ratio of women (%)	17	16	<b>17</b>
Americas	Men	786	1,060	<b>1,016</b>
	Women	159	243	<b>234</b>
	Ratio of women (%)	17	19	<b>19</b>
AEJ	Men	830	923	<b>902</b>
	Women	249	291	<b>287</b>
	Ratio of women (%)	23	24	<b>24</b>

#### Use of childcare and family nursing care support plans (Nomura Securities)

Pre- and post-natal leave	No. of employees	233	237	<b>249</b>
Childcare leave	No. of employees	338	367	<b>392</b>
Leave to care for sick or injured children	No. of employees	435	478	<b>529</b>
Childcare time	No. of employees	104	148	<b>175</b>
Assistance with daycare expenses	No. of employees	332	365	<b>390</b>
Family nursing care leave	No. of employees	13	6	<b>11</b>
Reduced working hour plan for family nursing care	No. of employees	0	0	<b>2</b>
Paid leave for family nursing care	No. of employees	30	33	<b>43</b>

#### Post-childcare leave reinstatement and retention rates (Nomura Securities)

Reinstatement rate	Men (%)	100	100	<b>100</b>
	Women (%)	96	99	<b>98</b>
Retention rate	Men (%)	100	100	<b>50</b>
	Women (%)	95	98	<b>98</b>

#### Human rights training (Nomura Securities)

Sessions	No. of sessions	32	20	<b>16</b>
Participants	No. of participants	13,827	9,823	<b>14,129</b>

#### Status of other personnel and labor-related issues

Employee turnover rate (Japan)	%	5	6	<b>7</b>
Number of seniors (aged 60 years and over) rehired (Japan)	No. of employees	713	763	<b>727</b>
Percentage of employees with disabilities (Nomura Securities)	%	1.86	2.00	<b>2.02</b>
Average number of annual paid leave days used (Nomura Securities)	days	9.7	9.9	<b>10.0</b>
Percentage of employees with labor union memberships (Nomura Securities)	%	53.8	52.8	<b>53.0</b>
Average annual salary (Nomura Securities)	Thousands of yen	10,869	10,660	<b>9,990</b>

## CSR Key Facts

Our Environment		Unit	FY2010/11	FY2011/12	FY2012/13
<b>Environmental performance</b>					
CO <sub>2</sub> emissions* <sup>1</sup>	Nomura Group companies in Japan	tons-CO <sub>2</sub>	44,626	36,588	41,215
	Main EMEA offices	tons-CO <sub>2</sub>	23,664	22,927	18,159
	Main AEJ offices	tons-CO <sub>2</sub>	16,917	23,899	23,966
	Main American offices	tons-CO <sub>2</sub>	-	15,018	14,720
CO <sub>2</sub> emissions per employee	Nomura Group companies in Japan	tons-CO <sub>2</sub> /FTE	3.0	2.4	2.7
	Main EMEA offices	tons-CO <sub>2</sub> /FTE	6.4	6.9	6.2
	Main AEJ offices	tons-CO <sub>2</sub> /FTE	5.7	4.9	5.3
	Main American offices	tons-CO <sub>2</sub> /FTE	-	6.2	6.5
Electricity consumption* <sup>2</sup>	Nomura Group companies in Japan	MWh	89,674	74,648	74,249
	Main EMEA offices	MWh	47,830	50,091	38,679
	Main AEJ offices	MWh	17,813	27,474	28,012
	Main American offices	MWh	-	23,197	22,665
Fuel consumption* <sup>2</sup>	Nomura Group companies in Japan	MWh	14,275	12,664	11,948
	Main EMEA offices	MWh	6,783	6,981	7,025
	Main AEJ offices	MWh	406	272	800
	Main American offices	MWh	-	0	0
Purchased amount of Green Power* <sup>3</sup>		MWh	5,900	7,424	6,329
CO <sub>2</sub> emissions from employee travel* <sup>4</sup>	Nomura Group companies in Japan	tons-CO <sub>2</sub>	12,407	18,505	17,919
	Nomura Group companies in EMEA	tons-CO <sub>2</sub>	-	9,446	9,728
	Nomura Group companies in AEJ	tons-CO <sub>2</sub>	2,595	11,883	11,057
	Nomura Group companies in Americas	tons-CO <sub>2</sub>	-	6,060	5,124
Water consumption* <sup>5</sup>	Nomura Group companies in Japan	thousand m <sup>3</sup>	204	188	178
	Main EMEA offices	thousand m <sup>3</sup>	101	136	124
	Main AEJ offices	thousand m <sup>3</sup>	36	33	159
	Main American offices	thousand m <sup>3</sup>	-	4	4
Copy paper consumption* <sup>6</sup>	Nomura Group companies in Japan	ton	726	701	654
	Main EMEA offices	ton	-	90	78
	Main AEJ offices	ton	-	134	92
Amount of waste generated* <sup>7</sup>	Nomura Group companies in Japan	ton	1,078	945	884
	Main EMEA offices	ton	-	-	722
	Main AEJ offices	ton	372	278	297

\*<sup>1</sup> Totals for our main AEJ offices only included the India office in fiscal 2010.

Data for fiscal 2011 was revised by expanding the scope of aggregation (the same applies to electricity and fuel consumption). Data for our main EMEA offices was revised as follows.

(1) The data for fiscal 2010 and fiscal 2011 was revised by changing the CO<sub>2</sub> emissions factor applied to the London office.

(2) The data for fiscal 2010 was revised by refining the scope of inclusion.

Calculation of CO<sub>2</sub> emissions is based on electricity, fuel and heat consumption. See <http://www.nomuraholdings.com/csr/data> for details about the emissions factors applied.

\*<sup>2</sup> Totals for our main AEJ offices only included the India office in fiscal 2010.

\*<sup>3</sup> In fiscal 2010, data applied only to the Nomura Group companies in Japan. From fiscal 2011, however, in addition to the Nomura Group companies in Japan, the Frankfurt and Zurich offices from our main EMEA offices are included in the totals.

\*<sup>4</sup> Data compiled on domestic and international flights and long-distance railway transportation tickets purchased through designated firms. Totals for the Nomura Group companies in AEJ only included the India office in fiscal 2010. Data for regular automobile usage by the Nomura Group companies in Japan, the Nomura Group companies in EMEA and by our India office out of the Nomura Group companies in AEJ is also included in the tally. Fiscal 2011 data for the Nomura Group companies in Japan was revised by refining the method of aggregation. See <http://www.nomuraholdings.com/csr/data> for details about the emissions factors applied.

\*<sup>5</sup> Totals for our main EMEA offices only included the London office in fiscal 2010. Totals for our main AEJ offices only applied to the India office in fiscal 2010 and fiscal 2011.

\*<sup>6</sup> Totals for the Nomura Group in Japan include items purchased through designated firms by Nomura Securities. Totals for our main EMEA offices include the London office, and totals for our main AEJ offices include the India office.

\*<sup>7</sup> Of the Nomura Group companies in Japan, totals include Nomura Securities Nihonbashi Head Office building, the Dai-ni Edobashi Building, the Osaka Branch, and the Nagoya Branch. Of our main EMEA offices, totals include the London, Paris, Frankfurt, and Zurich offices. Of our main AEJ offices, totals include the India office. Fiscal 2010 and fiscal 2011 data for the Nomura Group companies in Japan was revised by expanding the scope of aggregation.

Of our main AEJ offices, the fiscal 2012 data for our Hong Kong and Singapore offices is as follows.

- CO<sub>2</sub> emissions: 6,175 tons-CO<sub>2</sub>

- CO<sub>2</sub> emissions per employee: 4.7 tons-CO<sub>2</sub>

- Electricity consumption: 9,420MWh

- Fuel consumption: 0MWh

- CO<sub>2</sub> emissions from employee travel: 6,077 tons-CO<sub>2</sub>

- Water consumption: 493m<sup>3</sup>

Our main EMEA offices are located in London, Paris, Frankfurt, Zurich, Madrid\*, and Milan\*.

Our main AEJ offices are located in Hong Kong, Singapore, India, and Dalian\* in China.

Our main American offices are located in New York and include INSTINET\* offices.

★ Offices to which the scope of aggregation was expanded.

# Independent Assurance on Environmental Reporting



Translation

The following is an English translation of an independent assurance statement prepared in Japanese and is for information and reference purposes only. In the event of a discrepancy between the Japanese and English versions, the Japanese version will prevail.

## Independent Assurance Report

Date: 25 July, 2013

To  
Mr. Koji Nagai  
Group CEO  
Nomura Holdings, Inc.

Kenji Sawami  
Representative Director  
Ernst & Young Sustainability Co., Ltd.

### 1. Scope and Purpose of Assurance Engagement

We, Ernst & Young Sustainability Co., Ltd., have been commissioned by Nomura Holdings, Inc. (hereafter the "Company") to provide limited assurance on the Company's NOMURA Report 2013 (hereafter the "Report").

The purpose of our assurance engagement is to perform the limited assurance work to determine whether the Key Environmental Performance Indicators<sup>\*1</sup> (hereafter the "Indicators") of the Company and its major subsidiaries for the year ended March 31, 2013 included in the Report were measured, calculated and reported in accordance with the Company's Reporting Standards<sup>\*2</sup> and the Company's policies and standards, and were contained in all material respects and to express a conclusion based on the work performed.

The Company is responsible for the preparation of the Report. Our responsibility is limited to independently express a conclusion on the Indicators.

\*1 "Indicators" means the information defined in the Environmental Reporting Assurance and Registration Criteria (Revised in February 2013 by The Japanese Association of Assurance Organizations for Sustainability Information). In terms of the data on "Environmental burdens associated with business activities", the assurance scope is the Company and the organizations of major subsidiaries in Japan, Europe, Hong Kong and Singapore.

\*2 The Reporting Standards refer to the Environmental Reporting Guidelines 2012 (Published in April 2012 by Ministry of the Environment), Sustainability Reporting Guidelines Version 3.1 (Revised in March 2011 by Global Reporting Initiative), and the important information subject to disclosure are identified in accordance with the Environmental Reporting Assurance and Registration Criteria.

### 2. Summary of Assurance Procedures Performed

We performed limited assurance procedures in accordance with the International Standard on Assurance Engagements - Assurance Engagements Other than Audits or Reviews of Historical Financial Information (ISAE 3000), revised in December 2003 by the International Federation of Accountants and the Practical Guidelines for the Assurance of Sustainability Information, revised in December 2012 by the Japanese Association of Assurance Organizations for Sustainability Information. In a limited assurance engagement, assurance procedures are more limited than those of a reasonable assurance engagement conducted in accordance with ISAE3000. Therefore, the level of assurance provided is not as that provided by a reasonable assurance.

The summary of the procedures we performed for our assurance engagement is as follows:

- Reading relevant documents with regard to the Company's Reporting Standards and the Company's policies and standards and inquiry of personal responsible thereof;
- Reading relevant documents with regard to the design of the Company's internal control of the Indicators and inquiry of personal responsible thereof at the headquarters and the sites visited ;
- Performing analytical procedures of the Indicators at the headquarters and the sites visited ;and
- Agreeing to supporting documents and recalculating with part of the Indicators at the headquarters and the sites visited on a test basis.

### 3. Conclusion

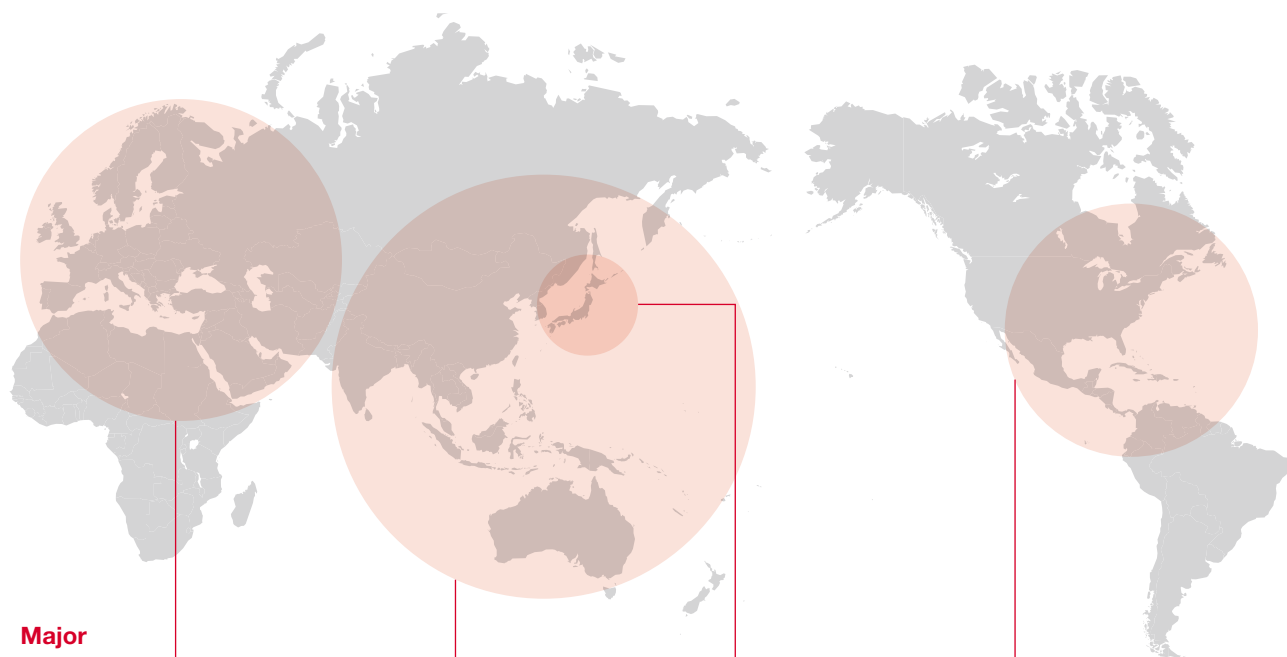
Based on the assurance procedures performed, nothing has come to our attention that cause us to believe that the Indicators for the year ended March 31, 2013 were not measured, calculated and reported in accordance with the Company's Reporting Standards and the Company's policies and standards and were not contained in all material respect.

### 4. Independence

We have no conflict of interest relationships with Company that are specified in the Code of Ethics of the Japanese Association of Assurance Organizations for Sustainability Information.



# Global Network



Major Subsidiaries and Affiliates	Europe	Asia-Pacific	Japan	Americas
<b>Retail</b>			<ul style="list-style-type: none"> <li>Nomura Securities Co., Ltd.</li> <li>Nomura Healthcare Co., Ltd.</li> <li>Nomura Agri Planning &amp; Advisory Co., Ltd.</li> <li>Nomura Farm Co., Ltd.</li> </ul>	
<b>Asset Management</b>	<ul style="list-style-type: none"> <li>Nomura Asset Management U.K. Limited</li> <li>Nomura Asset Management Deutschland KAG mbH</li> </ul>	<ul style="list-style-type: none"> <li>Nomura Asset Management Hong Kong LTD.</li> <li>Offices: Hong Kong, Shanghai</li> <li>Nomura Asset Management Singapore LTD.</li> <li>Nomura Asset Management Malaysia Sdn. Bhd.</li> <li>Nomura Islamic Asset Management Sdn.Bhd.</li> <li>Nomura Asset Management Australia Pty LTD.</li> </ul>	<ul style="list-style-type: none"> <li>Nomura Asset Management Co., Ltd.</li> <li>Nomura Funds Research and Technologies Co., Ltd.</li> <li>Nomura Private Equity Capital Co., Ltd.</li> </ul>	<ul style="list-style-type: none"> <li>Nomura Asset Management USA Inc.</li> <li>Nomura Global Alpha LLC</li> <li>Nomura Corporate Research and Asset Management Inc.</li> <li>Nomura Funds Research and Technologies America, Inc.</li> </ul>
<b>Wholesale*1</b>	<ul style="list-style-type: none"> <li>Nomura Europe Holdings PLC</li> <li>Nomura International PLC</li> <li>Offices: London, Frankfurt, Helsinki, Madrid, Milan, Stockholm, Vienna, Doha, Dubai</li> <li>Banque Nomura France</li> <li>Nomura Bank (Switzerland) Ltd.</li> <li>Nomura Nederland N.V.</li> <li>Nomura Bank International PLC</li> <li>Offices: London, Milan, Labuan</li> </ul> <p><b>Other office locations</b> Dublin, Warszawa, Bahrain</p>	<ul style="list-style-type: none"> <li>Nomura Asia Holding N.V.</li> <li>Nomura International (Hong Kong) LIMITED</li> <li>Offices: Hong Kong, Hanoi, Taipei</li> <li>Nomura Australia LIMITED</li> <li>Nomura Financial Advisory and Securities (India) Private Limited</li> <li>Nomura Financial Investment (Korea) Co. Ltd.</li> <li>Nomura Malaysia Sdn. Bhd.</li> <li>Nomura Singapore LIMITED</li> <li>P.T. Nomura Indonesia</li> </ul> <p><b>Other office locations</b> Beijing, Shanghai, Manila, Powai</p>	<ul style="list-style-type: none"> <li>Nomura Securities Co., Ltd.</li> <li>Nomura Capital Investment Co., Ltd.</li> </ul>	<ul style="list-style-type: none"> <li>Nomura Holding America Inc.</li> <li>Offices: New York, Washington, D.C.</li> <li>Nomura Securities International, Inc.</li> <li>Offices: New York, Atlanta, Boston, Chicago, Houston, Miami, San Francisco, Toronto, São Paulo</li> <li>INSTINET Incorporated</li> </ul>
<b>Others</b>	<ul style="list-style-type: none"> <li>The Nomura Trust &amp; Banking Co., Ltd.</li> <li>Nomura Research &amp; Advisory Co., Ltd.</li> <li>Nomura Bank (Luxembourg) S.A.</li> <li>JAFCO Co., Ltd.*2</li> <li>Nomura Investor Relations Co., Ltd.</li> <li>Nomura Business Services Co., Ltd.</li> <li>Nomura Financial Products &amp; Services, Inc.</li> <li>Nomura Real Estate Holdings, Inc.*2</li> <li>Nomura Babcock &amp; Brown Co., Ltd.</li> <li>Nomura Facilities, Inc.</li> <li>Nomura Land and Building Co., Ltd.</li> <li>Nomura Information Technology (Dalian) Co., Ltd</li> <li>Capital Nomura Securities Public Company Limited*2</li> <li>Nomura Research Institute, Ltd.*2</li> </ul>			

\*1 Subsidiaries and offices involved primarily in Wholesale related businesses.

\*2 Affiliated companies accounted for by the equity method

# Nomura Securities Domestic Network

(As of August 1, 2013)

## Hokuriku (4 Branches)

Fukui Branch  
 Kanazawa Branch  
 Niigata Branch  
 Toyama Branch

## Hokkaido (5 Branches)

Asahikawa Branch  
 Hakodate Branch  
 Kushiro Branch  
 Sapporo Branch  
 Tokachi/Obihiro Office

## Kinki (30 Branches)

Akashi Branch  
 Daito Branch  
 Esaka Office  
 Gakuenmae Branch  
 Higashiosaka Branch  
 Himeji Branch  
 Hirakata Branch  
 Ibaraki Branch  
 Kawanishi Branch  
 Kishiwada Branch  
 Kobe Branch  
 Kurakuen Branch  
 Kyoto Branch  
 Kyoto Branch, Kyoto Station Building, the Cube Office  
 Nanba Branch  
 Nara Branch  
 Nishinomiya Branch  
 Okamoto Branch  
 Osaka Branch  
 Otsu Branch  
 Sakai Branch  
 Senri Branch  
 Takarazuka Branch  
 Takatsuki Branch  
 Tennoji Branch  
 Toyonaka Branch  
 Tsukaguchi Branch  
 Uehonmachi Branch  
 Umeda Branch  
 Wakayama Branch

## Tohoku (10 Branches)

Akita Branch  
 Aomori Branch  
 Fukushima Branch  
 Hachinohe Branch  
 Iwaki Office  
 Koriyama Branch  
 Morioka Branch  
 Sendai Branch  
 Shonai Office  
 Yamagata Branch

## Tokyo metropolitan area (40 Branches)

Chofu Branch  
 Denenchofu Branch  
 Fuchu Branch  
 Gotanda Branch  
 Hachioji Branch  
 Hamadayama Office  
 Hatagaya Branch  
 Head Office  
 Iidabashi Branch  
 Ikebukuro Branch  
 Ikebukuro Metropolitan Plaza Branch  
 Jiyugaoka Branch  
 Kamata Branch  
 Kichijoji Branch  
 Kinshicho Branch  
 Koishikawa Branch  
 Koiwa Branch  
 Kokubunji Branch  
 Machida Branch  
 Mita Branch  
 Nakameguro Branch  
 Nakano Branch  
 Nerima Branch  
 Ogikubo Branch  
 Omori Branch  
 Sakurashinmachi Office  
 Sangenjaya Office  
 Seijo Branch  
 Senju Branch  
 Shibuya Branch  
 Shinbashi Branch  
 Shinjuku Branch  
 Shinjuku Nomura Building Branch  
 Shinjuku-eki Nishiguchi Branch  
 Tachikawa Branch  
 Tamagawa Branch  
 Tanashi Branch  
 Tokyo Branch  
 Toranomon Branch  
 Ueno Branch

## Shikoku (5 Branches)

Imabari Office  
 Kochi Branch  
 Matsuyama Branch  
 Takamatsu Branch  
 Tokushima Branch

## Kanto (43 Branches)

Aeon Mall Funabashi Office  
 Aobadai Branch  
 Atsugi Branch  
 Chiba Branch  
 Fujisawa Branch  
 Funabashi Branch  
 Futamatagawa Office  
 Hiratsuka Branch  
 Ichikawa Branch  
 Kamakura Branch  
 Kamiooka Office  
 Kashiwa Branch  
 Kawagoe Branch  
 Kawaguchi Branch  
 Kawasaki Branch  
 Kofu Branch  
 Konandai Office  
 Koshigaya Branch  
 Kumagaya Branch  
 Matsudo Branch  
 Mito Branch  
 Musashikosugi Branch  
 Odawara Branch  
 Omiya Nishiguchi Branch  
 Ota Branch  
 Sagamihara Branch  
 Saitama Branch  
 Saitama Branch, Omiya Higashiguchi Office  
 Shiki Branch  
 Shinyurigaoka Branch  
 Takasaki Branch  
 Tama Plaza Branch  
 Tokorozawa Branch  
 Totsuka Branch  
 Tsuchiura Branch  
 Tsukuba Branch  
 Tsurumi Branch  
 Urawa Branch  
 Utsunomiya Branch  
 Yachiyodai Office  
 Yokohama  
 Bashamichi Branch  
 Yokohama Branch  
 Yokosuka Branch

## Chugoku (9 Branches)

Fukuyama Branch  
 Hiroshima Branch  
 Kurashiki Branch  
 Matsue Branch  
 Okayama Branch  
 Shimonoseki Branch  
 Tokuyama Branch  
 Tottori Office  
 Yonago Branch

## Kyushu (11 Branches)

Fukuoka Branch  
 Fukuoka Branch, Amu Plaza Hakata Office  
 Kagoshima Branch  
 Kitakyushu Branch  
 Kumamoto Branch  
 Kurume Branch  
 Miyazaki Branch  
 Nagasaki Branch  
 Oita Branch  
 Saga Branch  
 Sasebo Branch

## Chubu (16 Branches)

Gifu Branch  
 Hamamatsu Branch  
 Kanayama Branch  
 Kariya Branch  
 Kasugai Branch  
 Matsumoto Branch  
 Nagano Branch  
 Nagoya Branch  
 Nagoya-ekimae Branch  
 Numazu Branch  
 Okazaki Branch  
 Shizuoka Branch  
 Toyohashi Branch  
 Toyota Branch  
 Tsu Branch  
 Yokkaichi Branch

## Okinawa (1 Branch)

Naha Branch

## Corporate Data

## Corporate Data

**Company name** Nomura Holdings, Inc.

**President & CEO** Koji Nagai

**Date of incorporation** December 25, 1925

**Business description** Investment and financial services

**Paid-in capital** ¥594.5 billion (As of March 31, 2013)

**Head office** 1-9-1, Nihonbashi, Chuo-ku, Tokyo  
103-8645, Japan

**Group employees** 27,956 (As of March 31, 2013)

## Share Data

## Common stock issued

3,822,562,601 shares (As of March 31, 2013)

## Listing

The common shares of Nomura Holdings, Inc. are listed on the Tokyo, Nagoya, and Singapore stock exchanges. The shares are also listed on the NYSE in the form of American Depositary Shares (ADSs) evidenced by American Depositary Receipts (ADRs). Each ADS represents one share of common stock. (As of March 31, 2013)

## Securities code

8604 (Tokyo Stock Exchange)

NMR (New York Stock Exchange)

## Number of shareholders

482,935 (Unit shareholders: 459,985) (As of March 31, 2013)

## Major shareholders (Top 10)

Names of shareholders	Number of shares	Percentage (rounded to two decimal places)
Japan Trustee Services Bank, Ltd. (Trust Account)	181,118,532	4.74%
The Master Trust Bank of Japan, Ltd. (Trust Account)	143,236,500	3.75%
SSBT OD05 Omnibus Account Treaty Clients	92,515,219	2.42%
CACEIS BANK FRANCE, ORDINARY ACCOUNT	62,518,270	1.64%
Japan Trustee Services Bank, Ltd. (Trust Account 9)	38,988,900	1.02%
State Street Bank and Trust Company 505225	38,865,003	1.02%
The Chase Manhattan Bank, N.A. London S.L. Omnibus Account	37,287,773	0.98%
Japan Trustee Services Bank, Ltd. (Trust Account 1)	37,005,700	0.97%
Nomura Group Employee's Stock Ownership Association	36,573,507	0.96%
Northern Trust Company (AVFC) Sub-account American Client	36,448,600	0.95%

Note: The Company has 108,435,696 shares (2.84%) of treasury stock as of March 31, 2013, which is not included in the major shareholders list above.

	Second quarter	Year-end
Date of record for dividend payments	September 30	March 31

## Other

## Credit rating

	Nomura Holdings		Nomura Securities	
	Long-term	Short-term	Long-term	Short-term
Standard & Poor's	BBB+	A-2	A-	A-2
Moody's Investors Service	Baa3	—	Baa2	P-2
R&I	A+	a-1	A+	a-1
JCR	AA-	—	AA-	—

Note: As of May 31, 2013

## Transfer agent and registrar

Mitsubishi UFJ Trust and Banking Corporation  
Corporate Agency Department: +81 (3) 5391-1900

## Depository for American Depositary Receipts (ADRs)

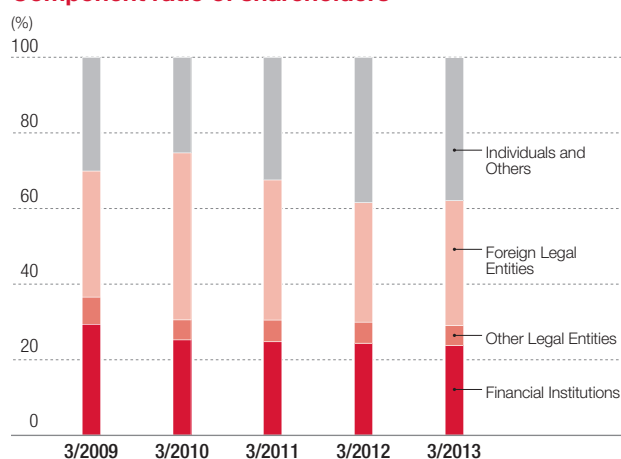
The Bank of New York Mellon

Depository Receipts Division: +1 (866) 680-6825

<http://www.adrbnymellon.com>

Ratio: 1 ADR = 1 ordinary share

## Component ratio of shareholders



## Website Information



### Nomura Holdings Website

<http://www.nomura.com/>

The Nomura Group strives to make its corporate website broadly accessible by eliminating troubles people may have in accessing information and services due to visual, hearing, speech or other physical impairments.

<http://www.nomuraholdings.com/policy/acresult.html>



### Corporate Citizenship Site

<http://www.nomuraholdings.com/csr/>

Delivers information on the Nomura Group's CSR initiatives in a timely manner and contains detailed dialog held with stakeholders.



### Investor Relations Site

<http://www.nomuraholdings.com/investor/>

Provides various investor relations information for the Nomura Group, including earnings presentations, financial data, annual reports, notices for shareholders and information pertaining to corporate governance.

## Inquiries

### Nomura Holdings, Inc.

#### Investor Relations

Urbannet Otemachi Building  
2-2-2, Otemachi, Chiyoda-ku, Tokyo 100-8130, Japan  
Tel. +81 (3) 5255-1000

#### Corporate Citizenship Department

Otemachi Nomura Building  
2-1-1, Otemachi, Chiyoda-ku, Tokyo 100-8170, Japan  
Tel. +81 (3) 5255-1000  
e-mail: [csr@jp.nomura.com](mailto:csr@jp.nomura.com)