

CSR Management

Fundamental Approach

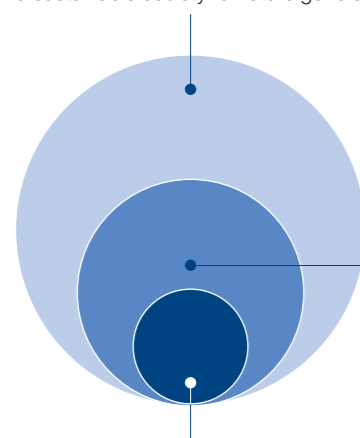
The Nomura Group believes that corporate social responsibility (CSR) is an important factor influencing corporate value and that CSR can become a source of both opportunities and risks. We recognize that appropriate CSR management can contribute to the creation of corporate value in the medium-to-long term, and we are taking steps to incorporate it into our management decision making, business processes, and community affairs.

In March 2010, we formulated “For Future Generations” as our key focus area to be shared among all of our Executive Officers and employees. The core role of any financial institution, and Nomura, in particular, as a capital market player, is to contribute to economic growth and the sustainable development of the economy and society by promoting sound capital markets through its products and services. As we look to the development of society into the future, we are working to play this role through our business activities and our initiatives that contribute to society.

Please refer to “For Future Generations,” our corporate citizenship. <http://www.nomuraholdings.com/csr/group/>

Citizenship

To build relationships of trust with local communities, society, and stakeholders as good corporate citizens and to contribute to developing a sustainable society for future generations



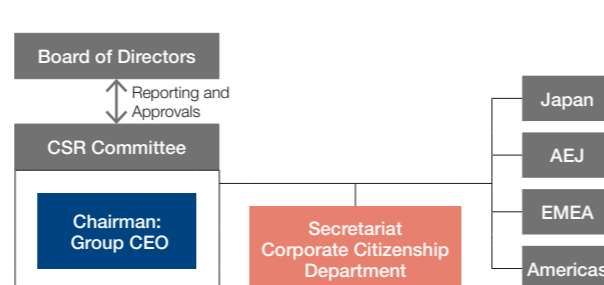
Responsible Business

To build value for clients through responsible business practices that respond to client needs

CSR Framework

The CSR Committee is the management-level decision-making entity for the corporate social responsibility program. Chaired by the Group CEO, the committee comprises six Executive Officers, and its roles include formulating policies for Nomura Group CSR initiatives as well as approving, reporting, and monitoring progress of these activities. Reports are made to the Board of Directors on the activities of the CSR Committee. The Corporate Citizenship Department serves as its secretariat, and, based on dialogue with stakeholders, after careful fact finding and consideration regarding the interests and expectations of society, it presents proposals regarding Group activities to the CSR Committee. The Corporate Citizenship Department also works closely with regional offices globally to ensure that business divisions maintain a consistent and proactive approach to their community affairs activities in line with their operations as well as the needs of the local community. In these activities, the Corporate Citizenship Department collaborates with Group offices in Japan, the rest of AEJ, EMEA, the Americas, and elsewhere and promotes a comprehensive range of activities. During FY2013/14, the CSR Committee held two meetings.

CSR Framework



Business for Sustainability

To provide innovation through our products based on market mechanisms to deliver long-term solutions for the changing needs of clients and society

Communication with Stakeholders

The Nomura Group works to understand the expectations and needs of society, and, to reflect these in its business activities and make further improvement, emphasizes communication with stakeholders inside and outside the Group.

Internally, we strive to raise awareness and educate our employees on corporate social responsibilities through messages and information provided by senior management through our intranet system.

Externally, in addition to disseminating information via reports, our website, and other media, we also undertake stakeholder dialogue through interactive communication via social media, such as Nomura Securities' Twitter account and Facebook pages.

Also, to communicate with external stakeholders directly, we participate in initiatives of various organizations in Japan and overseas with the aim of contributing to society and the environment.

Through this dialogue with stakeholders, we review, as necessary, the activities that we should be undertaking and information we should be disseminating related to the economy, the environment, and society (human rights, labor issues). Similarly, for material issues, as specified by the CSR Committee, we conduct annual reviews based on dialogue with stakeholders.

Please refer to Stakeholder Dialogue. <http://www.nomuraholdings.com/csr/dialogue/>

Examples of Dialogues with Stakeholders

Themes	External Groups	Outline of dialogues
Human rights	Caux Round Table-Japan Human rights due diligence workshop	Discussions with human rights related NGOs of human rights issues that corporations should be aware of
ESG	Principles for Financial Action for the 21st Century	Participation in working groups related to asset management, securities, and investment banking
ESG	Banking Environmental Initiative	Participation in green energy working groups
ESG	CSR Asia	Conducted exchanges of opinions regarding ESG issues within the Asian region

Material CSR Issues

Material Issues*	Goals	Progress in FY2013/14
Responsible Business		
Corporate Governance	We are working to strengthen and improve systems aimed at promoting Group management that is transparent and responsive.	P32-35
Compliance	We position compliance as a top management priority for the Nomura Group, and the Nomura Group Code of Ethics represents our fundamental policy on compliance.	P41-43
Risk Management	To provide comprehensive controls, monitoring and reporting of various risks, such as market and credit risks, in order to maintain financial soundness and the Company's corporate values	P44-45
CSR Management	We recognize CSR as an important factor influencing corporate value and incorporate it into our management decision making, business processes, and community affairs.	P46-47
Client	With the basic focus of “placing clients at the heart of everything we do,” we are working to provide high value added products and services to our customers.	P12-13 P14-29
Business for Sustainability		
Business Activities	Worked to enhance the professionalism of all divisions and promote as well as expand business, while also maximizing the sum total of business for the Group as a whole through strengthening teamwork among regions and departments	P12-13 P14-31
Citizenship		
Diversity & Inclusion	To respond to increasingly diverse and complex client needs and offer high value added services, we are promoting diversity and inclusion based on a high-level awareness of our work content.	P48-50
Community Investment	Under the motto “For Future Generations,” we contribute to the sustainable development of society through business activities and take initiatives with the participation of employees.	P51-53
Environmental Footprint	Based on our Environmental Philosophy and our Environmental Policy, which are founded on the Nomura Group Code of Ethics and Our Approach to Environmental Issues, we work to reduce the impact of our activities on the environment through the Group, as a whole.	P54-55

* Items considered and approved by the CSR Committee in 2008