Contributing to Sustainable Communities

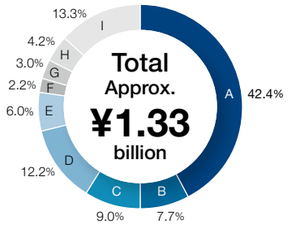
Dialogue with Stakeholders
http://www.nomuraholdings.com/csr/stakeholder/

> We believe that dialogue with stakeholders inside and outside the Group is important to understand the expectations and requests of society and reflect these in our business and CSR activities.

Fundamental Approach

Through continuing communication with a range of stakeholders, from customers to shareholders, the community and employees, we are working to contribute to finding solutions to social and environmental issues. Nomura Holdings Corporate Governance Guidelines, formulated in November 2015, laid out the principle that the Company shall endeavor to contribute to the development of society at all times and shall fulfill Nomura Group's corporate social responsibility as a corporate citizen through the implementation of Our Founder's Principles that have been inherited since the foundation of the Company.

FY2015/16 Community Contribution Expenditure



A: Education & young people
B: Art and Culture, Sport
C: Science and Academia
D: Community Contribution
E: Healthcare and Welfare
F: Environment
G: People
H: Emergency relief
I: Others

Note: The total includes approximately ¥0.14 billion from Nomura Foundation and ¥0.05 billion from The Nomura Charitable Trust.

Global CSR Initiatives

- Share the basic CSR theme of "For Future Generations"
- Conduct activities in line with regional needs

In the regions around the world where Nomura Group conducts its business activities, we will carry out activities in line with regional needs while forming partnerships with NGOs and other entities.

These activities will be focused on nurturing future generations, including activities aimed at eradicating disparities that may be carried forward to future generations.

For further details, please refer to page 56-57.

Communication with Stakeholders

- Participate in initiatives in Japan and overseas to fulfill corporate social responsibility as a corporate citizen

One of the ways Nomura Group interacts with stakeholders is through participation in activities with various initiatives in Japan and overseas that have the objective of contributing to society and the environment. Through dialogue and collaboration with stakeholders, we carefully examine and review the activities and information disclosure we undertake that are related to the economy, society (human rights and labor), and environmental issues. We also report to the CSR Committee when needed.

Dialogue with Stakeholders (Selected Examples)

Themes	External Organization	Outline
Human rights, labor, environment, anti-corruption	United Nations (UN) Global Compact	Participation in the Global Compact Network Japan
ESG	UN Principles for Responsible Investment	Participation in the Japan network
ESG	Principles for Financial Action for the 21st Century	Participation in a working group on asset management, securities, and investment banking businesses
ESG	Climate Bonds Initiative	Participation as a partner in activities to promote green bonds
ESG	CSR Asia	Exchanges of opinions on ESG issues in Asia
Environment	The Carbon Disclosure Project (CDP)	Promotion, as a signatory, of the climate change and water programs

UN GLOBAL COMPACT Network Japan WE SUPPORT

Signatory of:

PRI Principles for Responsible Investment

TOPICS

Dialogue with Communities

Urgent Humanitarian Assistance through Partnerships with NGOs

Japan Platform (JPF) was formed in 2000 to provide a new framework for humanitarian assistance by linking NGOs in Japan with political and business circles. Today, JPF is undertaking humanitarian assistance activities in the international community in cooperation with various institutions, including the United Nations. Nomura Group has been working in collaboration with JPF since its establishment and offering humanitarian aid.

In January 2016, a symposium was held to mark the 15th anniversary of the establishment of JPF. While Nobuyuki Koga, Chairman of of the Board of Directors of Nomura Holdings, Inc., gave a speech as a representative of the business community, reviewing JPF's accomplishments from its founding to the present day. He noted that JPF's cooperative framework, linking NGOs with political and business circles, is functioning effectively and fostering cooperation toward the goal of implementing timely and effective humanitarian assistance. He shared his perspectives on the significance of JPF and his expectations for JPF's future, noting that JPF's activities have enhanced Japan's presence on the international stage as a nation offering humanitarian support and have led to the formation of stronger relationships of trust between NGOs and corporations.

Nomura Group sees NGOs as important stakeholders. Accordingly, Nomura will continue to work closely with NGOs and other stakeholders as it pushes ahead with its corporate citizenship activities.

Nobuyuki Koga, Chairman of the Board of Directors of Nomura Holdings, Inc., delivers a greeting at the 15th anniversary of the establishment of JPF.

JAPAN

Global CSR Initiatives

Support for Tokyo 2020 Olympic and Paralympic Games

Nomura Holdings will sponsor Tokyo 2020 Olympic and Paralympic Games (Tokyo 2020 Games) as the exclusive Gold Partner in the Securities category. Nomura Holdings sees the Tokyo 2020 Games as not just a sports event, but also a perfect opportunity for Japan to emphasize its commitment to embracing "Change and Challenge" on the world stage as it seeks to revitalize the Japanese economy and achieve sustainable growth by overcoming challenges such as deflation, low economic growth, and an aging population with a low birth rate. With this in mind, Nomura Holdings has decided to support the Tokyo 2020 Games as a sponsor in the highest domestic tier of the Tokyo 2020 Sponsorship Program. Eyeing 2020, all employees of the Nomura Group will make a concerted effort to contribute to the success of the Tokyo 2020 Games while fostering economic growth and social development in Japan.

The Road to 2020 http://www.nomuraholdings.com/tokyo2020/

Support for Para-Sports

Recognizing that support for para-sports is crucial, the Nomura Group is committed to supporting para-sports as an official partner of Japanese Para-Sports Association. Nomura Group also supports Japan Para-Volleyball Association as a special partner. This association is working to popularize sitting volleyball, an official event of the Tokyo 2020 Paralympic Games. The Nomura Group will support para-sports by taking steps such as broadening the appeal of these sports, training athletes, and encouraging general understanding of para-sports.

Although public awareness of para-sports still remains low in Japan, in the run-up to 2020, I would like to see the paralympic games and para-sports become an integral part of people's lives, and to create a society where all people can enjoy sports together, regardless of physical disability. Sitting volleyball is a sport that is accessible to a wide range of age groups, from elementary school children to the elderly. I believe that enabling all participants to truly enjoy and understand sitting volleyball will ultimately lead to the advancement of this sport.

Yoshihisa Mano, Chairman, Japan Para-Volleyball Association

Japan women's national sitting volleyball team seeks to deliver a strong performance at Tokyo 2020 Paralympic Games.

Nomura Holdings supports the Tokyo 2020 Olympic and Paralympic Games as the Gold Securities Partner.

EMEA

Nomura's UK Community Affairs program is split into three key areas: Two-Year London Charity Partnership, The Nomura Charitable Trust, and Employee Engagement. In 2015, we signed a two-year agreement with Rays of Sunshine, a charity that grants wishes for seriously ill children. In one year, we have raised over US$500,000 through a variety of fundraising activities—from runs, walks and challenge events to a talent competition, raffles and quizzes. The partnership has been an incredible success and this contribution will provide over 200 wish experiences.

Americas

The CSR program in the Americas focuses on benefiting the younger generation and local community. Nomura works to help children study and learn in an effort to positively impact their lifestyles. Nomura partners with Read Ahead and the Covenant House New York to provide mentorship and literacy support for children and teens in the community. Nomura has held career sessions, book drives and backpack drives to support local students participating in these programs.

Instinet's* CSR activities aim to reduce income disparities among young people by motivating them to study in addition to providing support for life planning. Instinet partners with Elevate New York and Operation Backpack.

* Instinet, a Nomura subsidiary

Powai, India

Nomura Powai continues to focus on supporting the lesser privileged communities across India by delivering quality education and healthcare. We partner with various NGO's and fund projects in these focused areas, thereby creating significant impact to the lives of children and youth from these communities. One of the objectives of Powai CSR is to integrate employee volunteering initiatives with ongoing CSR projects. This provides every employee an opportunity to contribute towards the CSR efforts of the firm. Over the past year, 4,550 volunteer hours were put in by a total of 2,668 volunteers through 158 unique volunteering initiatives, fund raisers, and drives conducted collaboratively with our NGO partners.

AEJ

In Asia ex-Japan, a group of employee volunteers established the Nomura CARES network to be the focal point for CSR initiatives across the region. We now have charity partnerships with local NGOs in Hong Kong, Singapore and China that our employees support via various fundraising and volunteering events throughout the calendar year. We also have local CSR initiatives in Australia, Korea and India. Nomura CARES focuses on four main streams: our local charity partnerships, green/environmental initiatives including annual beach cleanup events, small grant donations to NGOs that our employees are actively involved with, and the Interbank Not-For-Profit Director Training Program in Hong Kong and Singapore that Nomura helps to organize along with four other industry peers.