

# Key Events during the Year

In the spring of 2016, against a backdrop of a changing business environment, which began in the second half of 2015, and a tighter regulatory environment, we made strategic changes to the Wholesale businesses in EMEA and the Americas. Although FY2016/17 was subsequently marked by major events that affected the macro environment, we were able to return to profitability in our international businesses.

In July 2016, we adopted the Nomura Health & Productivity Declaration Statement and the Nomura Group Declaration on Diversity & Inclusion. These initiatives are intended to ensure a working environment that values diversity, supports the health and well-being of our employees and encourages them to demonstrate their individuality.

## 2016

April	 Management topics	Announced strategic changes in EMEA and the Americas Wholesale businesses
May	 Management topics	Completed equity investment in American Century Investments (ACI)
June	 Financials	Completed share buyback program (35 million shares; about ¥16.3 billion)
July	 Management topics	Adopted Nomura Health & Productivity Declaration Statement Appointed Chief Health Officer
	 Management topics	Adopted Nomura Group Declaration on Diversity & Inclusion
August	 Management topics	Established Asia Infrastructure Project Office in Singapore
September	 Assessments	Selected as an index component of two Dow Jones Sustainability Indices for global socially responsible investing: the DJSI World Index, and the DJSI Asia Pacific Index
November	 Services	Launched Nomura's first robo advisory service, a "Nomura Goal-Based" online platform providing retail investors with automated, asset management advisory services
	 Assessments	Standard & Poor's raised long-term ratings on Nomura Holdings and core subsidiaries
	 Management topics	Launched accelerator program, VOYAGER, to promote open innovation Announced consideration of establishing a new company to promote new business development and provide financial support to start-ups
December	 Financials	Completed share buyback program (85.99 million shares; about ¥45.0 billion)



Completion of equity investment in ACI



Launched Nomura Fintech Partnership accelerator program, VOYAGER



Certified as one of the White 500 Health and Productivity Enterprises, a designation given to companies that carry out superior health management activities



Selected as Competitive IT Strategy Company 2017

## 2017

January	 Services	Launched new plan for individual-type defined contribution pension plan (iDeCo)
February	 Management topics	Initiated the "Nomura Work Style Innovation" program, which is comprised of Work Style Reform and Health & Productivity Management Certified as one of the White 500 Health and Productivity Enterprises, a designation given to companies that carry out superior health management activities
March	 Management topics	Announced partial amendment of organization and management (including strengthening structure of Nomura Securities)
April	 Management topics	Established <i>Policy on Customer-Oriented Business Conduct</i>
	 Financials	Reported diluted EPS of ¥65.65 in FY2016/17. International income before income taxes of ¥88.1 billion, a record high since regional reporting began in 2002
May	 Assessments	Selected as Competitive IT Strategy Company 2017 by Ministry of Economy, Trade and Industry, and Tokyo Stock Exchange