# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

## POST-EFFECTIVE AMENDMENT NO. 1 TO FORM S-8

REGISTRATION STATEMENT UNDER THE SECURITIES ACT OF 1933

### NOMURA HORUDINGUSU KABUSHIKI KAISHA

(Exact Name of Registrant as Specified in Its Charter)

### NOMURA HOLDINGS, INC.

(Translation of Registrant's name into English)

Japan (State or Other Jurisdiction of Incorporation or Organization) None (I.R.S. Employer Identification No.)

13-1, Nihonbashi 1-chome Chuo-ku, Tokyo, 103-8645 Japan (81-3-5255-1000) (Address of Principal Executive Offices)

Stock Acquisition Rights (No. 47) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 50) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 54) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 57) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 60) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 62) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 63) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 66) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 67) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 67) of Nomura Holdings, Inc. Stock Acquisition Rights (No. 67) of Nomura Holdings, Inc.

Nomura Securities International, Inc. Worldwide Plaza, 309 West 49th Street

### New York, New York 10019-7316 (Name and Address of Agent for Service)

### (212-667-9000) (Telephone Number of Agent for Service)

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act.

Large accelerated filer X
Non-accelerated filer

Accelerated filer Smaller reporting company Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 7(a)(2)(B) of the Securities Act.

#### EXPLANATORY NOTE

Nomura Holdings, Inc. (the "Registrant") is hereby filing this Post-Effective Amendment No. 1 to Form S-8 Registration Statement to amend the Registration Statement on Form S-8 filed on March 30, 2016 (File No. 333-210471) (the "Registration Statement") to deregister certain shares registered in connection with options under the Stock Acquisition Rights (No. 47), the Stock Acquisition Rights (No. 54), the Stock Acquisition Rights (No. 60) and the Stock Acquisition Rights (No. 63) of Nomura Holdings, Inc.

Under the Registration Statement, 4,737,400 shares were registered in connection with the options under Stock Acquisition Rights (No. 47) of Nomura Holdings, Inc., 1,647,900 shares were registered in connection with the options under Stock Acquisition Rights (No. 50) of Nomura Holdings, Inc., 6,601,700 shares were registered in connection with the options under Stock Acquisition Rights (No. 54) of Nomura Holdings, Inc., 8,291,900 shares were registered in connection with the options under Stock Acquisition Rights (No. 57) of Nomura Holdings, Inc., 4,580,300 shares were registered in connection with the options under Stock Acquisition Rights (No. 60) of Nomura Holdings, Inc., 2,706,500 shares were registered in connection with the options under Stock Acquisition Rights (No. 62) of Nomura Holdings, Inc., 6,755,300 shares were registered in connection with the options under Stock Acquisition Rights (No. 63) of Nomura Holdings, Inc., 1,950,300 shares were registered in connection with the options under Stock Acquisition Rights (No. 66) of Nomura Holdings, Inc. and 860,400 shares were registered in connection with the options under Stock Acquisition Rights (No. 67) of Nomura Holdings, Inc.

Of the 4,737,400 shares relating to the options under the Stock Acquisition Rights (No. 47) of Nomura Holdings, Inc., 6,500 shares remained unsold at the termination of the exercise period for the options issued under the Stock Acquisition Rights (No. 47) of Nomura Holdings, Inc. on April 19, 2021. Of the 6,601,700 shares relating to the options under the Stock Acquisition Rights (No. 54) of Nomura Holdings, Inc., 38,100 shares remained unsold at the termination of the exercise period for the options issued under the Stock Acquisition Rights (No. 54) of Nomura Holdings, Inc. on April 19, 2021. Of the 8,291,900 shares relating to the options under the Stock Acquisition Rights (No. 57) of Nomura Holdings, Inc., 29,800 shares remained unsold at the termination of the exercise period for the options issued under the Stock Acquisition Rights (No. 57) of Nomura Holdings, Inc. on April 19, 2021. Of the 4,580,300 shares relating to the options under the Stock Acquisition Rights (No. 60) of Nomura Holdings, Inc., 94,200 shares remained unsold at the termination of the exercise period for the options issued under the Stock Acquisition Rights (No. 60) of Nomura Holdings, Inc. on March 30, 2021. Of the 6,755,300 shares relating to the options under the Stock Acquisition Rights (No. 63) of Nomura Holdings, Inc., 24,300 shares remained unsold at the termination of the exercise period for the options issued under the Stock Acquisition Rights (No. 63) of Nomura Holdings, Inc. on April 19, 2021. No shares remained unsold at the termination of the exercise period for the options issued under the Stock Acquisition Rights (No. 66) and the Stock Acquisition Rights (No. 67) of Nomura Holdings, Inc.

The exercise periods for the options issued under the Stock Acquisition Rights (No. 50) and the Stock Acquisition Rights (No. 62) of Nomura Holdings, Inc. have not yet terminated, and this Post-Effective Amendment No. 1 does not deregister the shares registered in connection therewith.

### **SIGNATURES**

Pursuant to the requirements of the Securities Act of 1933, as amended, the Registrant certifies that it has reasonable grounds to believe that it meets all of the requirements for filing on Form S-8 and has duly caused this Post-Effective Amendment No. 1 to Registration Statement to be signed on its behalf by the undersigned, thereunto duly authorized, in the city of Tokyo, Japan as of May 24, 2021.

### NOMURA HOLDINGS, INC.

By: /s/ Kentaro Okuda

Name: Kentaro Okuda

Title: Representative Executive Officer

Pursuant to the requirements of the Securities Act of 1933, as amended, this Post-Effective Amendment No. 1 to Registration Statement has been signed below by the following persons on behalf of Registrant and in the capacities indicated as of May 24, 2021.

<u>Signature</u>	<u>Title</u>
/s/ Koji Nagai Koji Nagai	Director Chairman of the Board of Directors
/s/ Kentaro Okuda Kentaro Okuda	Director Representative Executive Officer (Principal Executive Officer)
/s/ Toshio Morita  Toshio Morita	Director Representative Executive Officer
/s/ Hisato Miyashita Hisato Miyashita	Director
/s/ Hiroshi Kimura Hiroshi Kimura	Director
/s/ Kazuhiko Ishimura Kazuhiko Ishimura	Director
/s/ Noriaki Shimazaki Noriaki Shimazaki	Director
/s/ Mari Sono Mari Sono	Director
/s/ Michael Lim Choo San Michael Lim Choo San	Director
/s/ Laura Simone Unger Laura Simone Unger	Director

/s/ Takumi Kitamura  Takumi Kitamura	Chief Financial Officer Executive Managing Director (Principal Financial Officer and Principal Accounting Officer)
/s/ Yo Akatsuka	Senior Managing Director
Yo Akatsuka	(Authorized Representative in the United States