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# Statement on Unauthorized Access to Instinet Systems

NEW YORK, NY, June 14, 2018— On June 4, 2018 (EST), Instinet Europe Limited experienced the impact of a spear-phishing incident that resulted in unauthorized access to the firm's desktop network.

Instinet responded immediately to the discovery of the attack by launching an investigation, activating a leading cybersecurity firm to assess and remediate the incident, and notifying appropriate authorities, including law enforcement.

Although the investigation is ongoing, there has been no impact to Instinet's execution and clearing systems. There is no indication that the unauthorized access continues. There is no evidence the attacker accessed Instinet's execution and clearing systems. Regular business operations have not been disrupted and steps have been taken to further protect Instinet's ongoing operations.

This week we learned that some client and employee information was accessed. This led to our decision to announce the incident today, even though the investigation is ongoing. Instinet will work closely with clients to assess the potential impact.

Instinet remains committed to systems security, data privacy, and the protection of client and employee information.

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## About Instinet

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Instinet provides technologically advanced agency-model brokerage services to institutional clients worldwide. As the wholly-owned execution services arm of Nomura Group, Instinet seeks to add value across the investment life cycle, providing: **Research and Insights** – boutique US Equity Research, Quant Strategies and Technical Analysis, as well as a wide array of Market and Sales Commentary; **Trading Services** – a suite of smart, fast, and flexible electronic trading tools and experienced high-touch trading teams across equities and derivatives; **Liquidity Venues** – regulated, neutral crossing pools in each region that deliver unique opportunities for block-like executions; and **Workflow Solutions** – offering award-winning, customizable desktop platforms such as Newport<sup>®</sup> EMS, a comprehensive suite of Trading Analytics, and Plazma<sup>®</sup>, the top-ranked Research Payment and Commission Management system. Instinet helps institutional investors create, protect and capture alpha, reduce complexity, and lower overall trading costs in order to ultimately improve investment performance. Over the course of its almost 50 year

history, Instinet has been a pioneer in electronic trading, creating of an array of now industry-standard technologies and the world's first major electronic trading venue. For more information on how Instinet's commitment to being nimble and innovative helps clients every day, please [visit instinet.com](http://instinet.com)

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