

## Nomura's NEXT FUNDS Nikkei 225 High Dividend Yield Stock 50 Index Exchange Traded Fund Lists on Tokyo Stock Exchange

**Tokyo, February 13, 2017** — Nomura Asset Management Co., Ltd., the core company within the Asset Management Division of the Nomura Group, today listed the “NEXT FUNDS Nikkei 225 High Dividend Yield Stock 50 Index Exchange Traded Fund” (ticker:1489) on the Tokyo Stock Exchange.

The ETF is designed to track the performance of the “Nikkei 225 High Dividend Yield Stock 50 Index”. The index is comprised of 50 high dividend yield stocks selected from the Nikkei 225 constituents.

A listing ceremony was held today at the Tokyo Stock Exchange. Commenting on the listing, Mr. Masanao Tsuda, Senior Corporate Managing Director of Nomura Asset Management, said: “As the low interest rate environment continues, investment strategies focusing on high dividend stocks attract increasing attention. We are confident that the ETF meets the needs of a broad range of investors as it makes it easy to invest in high dividend stocks selected from the Nikkei 225 constituents.”

In trading today, the ETF opened at 36,250 yen and closed at 36,000 yen with total volume of 4,732 units and trading value of approximately 171 million yen.



† “NEXT FUNDS” is the brand name for the ETF product range of Nomura Asset Management Co., Ltd., representing “**Nomura Exchange Traded Funds**”.

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Ends

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Nomura

Nomura is an Asia-based financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit [www.nomura.com](http://www.nomura.com).

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The contents of this material are based on an English translation of a Japanese announcement made on February 13, 2017 by Nomura Asset Management Co., Ltd. Whilst every effort has been made to translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed, therefore please refer to the original Japanese document.

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### **Disclosures required in Japan**

Registered Company Name: Nomura Asset Management Co., Ltd.

Registration Number: Director of the Kanto Local Financial Bureau (Financial instruments firms) No. 373

Member Associations: The Investment Trusts Association, Japan; Japan Securities Investment Advisers Association

This document is issued for reference purposes to explain the outline of "NEXT FUNDS Nikkei 225 High Dividend Yield Stock 50 Index Exchange Traded Fund" ("Fund") and does not constitute disclosure material based on the Financial Instruments and Exchange Law. Moreover, this document

is not in any way intended to be an investment solicitation. Before investing in the Fund, prospective investors should carefully read the “Securities Registration Statement”, “the Prospectus”, and the “Document Prior to Conclusion of Contract” under your own responsibilities and based on your own judgment.

Nomura Asset Management Co., Ltd. does not directly handle order requests for the Fund from investors. To invest in the Fund, it is necessary to open an account with a nearby Type-1 financial instruments business provider (securities firm) that handles ETFs and make a request to the broker.

Since the Fund invests primarily in securities and future contracts, there is a risk that the market price of such securities or the NAV per unit of the Fund could decline and cause an investment loss due to a decline in the stock price index, a price decline in securities comprising the index, the bankruptcy of a stock issuer, or deterioration in the financial conditions of an issuer, in addition to other market factors.

**AN INVESTOR’S PRINCIPAL IS THEREFORE NOT GUARANTEED.**

**AN INVESTOR SHALL BEAR THE FOLLOWING COSTS WHEN INVESTING IN THE FUND.**

**Trading Fee**

Trading of the Fund incurs brokerage commission fees set by a Type-1 financial instruments business provider (securities firm) that handles the transaction. These commissions are separate from the actual transaction value. (Because the commissions charged by each securities firm differ, it is not possible to specify a maximum amount.)

**Management Fees**

The amount of management fees will be an amount calculated according to (I) below plus that calculated according to (II) below daily during the Fund calculation period.

(I) The management fee will be a percentage of the Fund's total net assets no larger than 0.3024% (0.28% exclusive of taxes) annually (the percentage will be at 0.3024% [0.28% exclusive of taxes] as of February 10, 2017).

(II) Not more than 43.2% (40% exclusive of taxes) of loan fees when securities are lent. This will be divided in a ratio of 80 to 20 between the manager and the trustee.

An investor shall bear the management fees above according to the holding period. These amounts will be paid out of trust assets and they are the costs indirectly paid by an investor during an investor’s holding period.

**Other Expenses**

The taxes involved in the Fund, various handling costs for the trust business, the interests for the fund paid by the trustee, brokerage commission fees for transactions in the securities comprising the Fund, various expenses such as audit fees, listing fees for the Beneficiary Interests, and fees for use of benchmark index trademarks, and the sales taxes for each fee. These expenses are paid out each time during an investor’s holding period. These amounts will be paid out of trust assets and they are the costs indirectly paid by an investor during an investor’s holding period.