Nomura Announces Correction to Financial Statements

Tokyo, May 30, 2014—Nomura Holdings, Inc. today announced corrections to the document issued on October 29, 2013, titled "Financial Highlights — Six months ended September 2013" as underlined below. The impact of the corrections on Nomura's consolidated financial results is negligible.

Corrections

- 1. Consolidated Operating Results for the six months ended September 30, 2013
- 2. Quarterly Consolidated Financial Statements
 - (1) Consolidated Balance Sheets (UNAUDITED) (Page 6 and 7)
 - (2) Consolidated Statements of Income (UNAUDITED) (Page 8)
 - (3) Consolidated Statement of Comprehensive Income (UNAUDITED) (Page 9)
 - (5) Segment Information Operating Segment (UNAUDITED) (Page 10)
 - (6) Significant Changes in Equity (UNAUDITED) (Page 11)
- 3. Supplementary Information
 - (1) Consolidated Statements of Income Quarterly Comparatives (UNAUDITED) (Page 12)
 - (2) Business Segment Information Quarterly Comparatives (UNAUDITED) (Page 13)

Details of the corrections are underlined in the attachment below.

Attachment

1. Consolidated Operating Results Original

(1) Operating Results	For the six months ended September 30			
	2012	2012		
	(Millions of yen, except per share data)			
	% Change fi September 30		% Change September 3	
Total revenue	900,819	11.9%	<u>933,650</u>	3.6%
Net revenue	770,933	22.0%	787,712	2.2%
Income before income taxes	55,083	-%	<u>186,153</u>	238.0%
Net income attributable to Nomura Holdings, Inc. ("NHI") shareholders	4,700	-%	<u>104,007</u>	-%
Comprehensive income (loss)	(8,016)	-%	<u>134,205</u>	-%

(2) Financial Position	At March 31	At September 30
	2013	
	(Millions of yen, except	t per share data)
Total assets	37,942,439	<u>41,868,413</u>
Total equity	2,318,983	<u>2,411,306</u>
Total NHI shareholders' equity	2,294,371	2,379,189
Total NHI shareholders' equity as a percentage of total assets	6.0%	5.7%
Total NHI shareholders' equity per share (Yen)	618.27	641.90

Notes

(4) Number of shares issued (common stock)		
	At March 31	At September 30
	2013	2013
Number of shares outstanding (including treasury stock)	3,822,562,601	3,822,562,601
Number of treasury stock	111,602,349	<u>116,077,880</u>
	For the six months ende	d September 30
	2012	2013
Average number of shares outstanding (year-to-date)	3,682,504,520	<u>3,705,701,979</u>

(1) Operating Results	For the six months ended September 30			
	2012		2013	
	(Mil	lions of yen, excep	ot per share data)	
	% Change f	rom	% Change	from
	September 30	, 2011	September 3	0, 2012
Total revenue	900,819	11.9%	933,649	3.6%
Net revenue	770,933	22.0%	787,711	2.2%
Income before income taxes	55,083	-%	<u>186,152</u>	237.9%
Net income attributable to Nomura Holdings, Inc. ("NHI") shareholders	4,700	-%	<u>104,006</u>	-%
Comprehensive income (loss)	(8,016)	-%	<u>134,204</u>	-%

(2) Financial Position	At March 31	At September 30
	2013 2013	
	(Millions of yen, except	per share data)
Total assets	37,942,439	41,868,409
Total equity	2,318,983	<u>2,411,302</u>
Total NHI shareholders' equity	2,294,371	<u>2,379,185</u>
Total NHI shareholders' equity as a percentage of total assets	6.0%	5.7%
Total NHI shareholders' equity per share (Yen)	618.27	641.90

Notes

(4) Number of shares issued (comm	on stock)
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(4) Number of shares issued (common stock)	At March 31	At September 30
	2013	2013
Number of shares outstanding (including treasury stock)	3,822,562,601	3,822,562,601
Number of treasury stock	111,602,349	<u>116,082,580</u>
	For the six months ende	d September 30
	2012	2013
Average number of shares outstanding (year-to-date)	3,682,504,520	<u>3,705,694,494</u>

2. Quarterly Consolidated Financial Statements

(1) Consolidated Balance Sheets (UNAUDITED) (Page 6 and 7)

Original

	Millions of yen			
	March 31, 2013	September 30, 2013	Increase/(Decrease)	
ASSEIS				
Cash and cash deposits:				
Cash and cash equivalents	805,087	1,298,043	492,956	
Time deposits	577,921	468,495	(109,426)	
Deposits with stock exchanges and other segregated cash	269,744	384,480	114,736	
Total cash and cash deposits	1,652,752	2,151,018	498,266	
Loans and receivables:				
Loans receivable	1,575,494	1,303,655	(271,839)	
Receivables from customers	63,792	52,097	(11,695)	
Receivables from other than customers	992,847	1,506,426	513,579	
Allowance for doubtful accounts	(2,258)	(3,205)	(947)	
Total loans and receivables	2,629,875	2,858,973	229,098	
Collateralized agreements:				
Securities purchased under agreements to resell	8,295,372	9,552,516	1,257,144	
Securities borrowed	5,819,885	6,376,335	556,450	
Total collateralized agreements	14,115,257	15,928,851	1,813,594	
Trading assets and private equity investments:				
Trading assets*	17,037,191	18,332,966	1,295,775	
Private equity investments	87,158	84,169	(2,989)	
Total trading assets and private equity investments	17,124,349	<u>18,417,135</u>	<u>1,292,786</u>	
Other assets:				
Office buildings, land, equipment and facilities				
(net of accumulated depreciation and amortization of				
¥355,831 million as of March 31, 2013 and				
¥373,140 million as of September 30, 2013)	428,241	421,042	(7,199)	
Non-trading debt securities*	920,611	1,003,495	82,884	
Investments in equity securities*	123,490	135,736	12,246	
Investments in and advances to affiliated companies*	345,705	360,348	14,643	
Other	602,159	591,815	(10,344)	
Total other assets	2,420,206	2,512,436	92,230	
Total assets	37,942,439	<u>41,868,413</u>	<u>3,925,974</u>	
		.1,000,110		

*Including securities pledged as collateral

March 31, 2013 September 30, 2013 Increase(Decrease) Stort-term borrowings 738,445 800,966 62,521 Payables to castomers 476,705 579,148 102,443 Payables to other than customers 864,962 1.154,251 239,289 Deposits received at banks 1.072,134 1.166,042 33,098 Total payables and deposits 2.413,800 2.433,9441 425,640 Collateralized financing: Securities sold under agreements to repurchase 2.158,559 2.431,554 272,095 Scourtiles sold under agreements to repurchase 2.158,559 2.431,554 272,095 Other secured borrowings 806,650 818,239 11,732 Total collateralized financing 15,409,383 17,324,395 1.915,012 Trading liabilities 8,491,296 9,870,444 1,379,148 Other secured borrowings 752,2,368 752,365 39,457,107 3,833,651 Equity Nothinses as of September 30, 2013 594,493 - 44,539 - Outa tradings 1,710,960,252 shares as of March 31, 2013 and 3,822		Millions of yen			
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Payables to other than customers $864,962$ $1,154,251$ $289,289$ Deposits received at banks $1,072,134$ $1,106,642$ $33,908$ Total payables and deposits $2,413,801$ $2.839,441$ $4425,640$ Collateralized financing: sccurities sold under agreements to repurchase $12,444,317$ $14,074,602$ $1,630,285$ Securities sold under agreements to repurchase $12,444,317$ $14,074,602$ $1,630,285$ Securities sold under agreements to repurchase $12,444,317$ $14,074,602$ $1,630,285$ Securities loaned $2,158,559$ $2,431,554$ $272,995$ $016,507$ $818,239$ $11,732$ Total collateralized financing $15,409,383$ $17,324,395$ $1,915,012$ $7,324,395$ $1,915,012$ Trading liabilities $8,491,296$ $9,870,444$ $1,379,148$ $016,502$ $5,939$ $10,52,312$ $39,457,107$ $3,833,651$ Equity Stact-3,822,562,601 shares as of March 31, 2013 and $3,822,562,601$ shares as of September 30, 2013 $594,493$ $ 44,52,523$ $-$ Authorized - 6,000,000,000 shares as of September 30, 2013	Payables and deposits:				
$\begin{array}{c c c c c c c c c c c c c c c c c c c $	Payables to customers	476,705	579,148	102,443	
Total payables and deposits $2,413,801$ $2,839,441$ $425,640$ Collateralized financing: Securities sold under agreements to repurchase $12,444,317$ $14,074,602$ $1,630,285$ Securities loaned $2,158,559$ $2,431,554$ $272,995$ Other secured borrowings $11,732$ $11,732$ $11,732$ Total collateralized financing $15,409,383$ $17,324,395$ $11,732$ Trading liabilities $8,491,296$ $9,870,444$ $1,379,148$ Other liabilities $978,163$ $984,102$ $5,939$ Long-term borrowings $7,592,368$ $7,637,759$ $45,391$ Total liabilities $35,623,456$ $39,457,107$ $3,833,651$ Equity NHI shareholders' equity: Common stock Authorized - $6,000,000,000$ shares as of September $30, 2013$ $594,493$ -5 Additional paid-in capital $691,264$ $682,048$ $(9,216)$ Retained earnings $(3,523)$ $1210,678$ 74325 Accumulated other comprehensive income (loss) $(57,395)$ $(28,579)$ $28,816$ Common stock held in treasury, at cost - $11,602,349$	Payables to other than customers	864,962	1,154,251	289,289	
Collateralized financing: Securities sold under agreements to repurchase 12,444,317 14,074,602 1,630,285 Securities sold under agreements to repurchase 2,158,559 2,431,554 272,995 Other secured borrowings 806,507 818,239 11,732 Total collateralized financing 15,409,383 17,324,395 1.915,012 Trading labilities 8,491,296 9,870,444 1,379,148 Other labilities 978,163 984,102 5,939 Long-term borrowings 7,592,368 7,637,759 45,391 Total liabilities 35,623,456 39,457,107 3,833,651 Equity NH shareholders' equity: Common stock 3,822,562,601 shares as of September 30, 2013 Nutsanding - 3,710,960,252 shares as of September 30, 2013 594,493 594,493 - Additional paid-in capital 691,264 682,048 (9,216) Retained earnings 1,136,523 1,210,878 74,355 Accumulated other comprehensive income (loss) (57,395) (2,85,79) 28,816 Total NH shareholders' equity before treasury stock 2,364,885 2,458,840 93,9255	Deposits received at banks	1,072,134	1,106,042	33,908	
Securities sold under agreements to repurchase 12,444,317 14,074,602 1,630,285 Securities loaned 2,158,559 2,431,554 272,995 Other secured borrowings 15,409,383 11,732 11,1732 Trading liabilities 8,491,296 9,870,444 1,379,148 Other liabilities 978,163 984,102 5,939 Long-term borrowings 7,592,368 7,637,759 45,391 Total liabilities 35,623,456 39,457,107 3,833,651 Equity NHI shareholders' equity: 2 2 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares 3,651 Setained arnings 1,136,523 1,210878 (9,216) Additional paid-in capital 691,264 682,048 (9,216) Retained carnings 1,136,523 1,210878 74,355 Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,9355 Common stock held in treasury, at cost - 11,607,7,809 share	Total payables and deposits	2,413,801	2,839,441	425,640	
Securities loaned 2,158,559 2,431,554 272,995 Other secured borrowings $806,507$ $818,239$ $11,732$ Total collateralized financing $15,409,383$ $17,324,395$ $1,915,012$ Trading liabilities $8,491,296$ $9,870,444$ $1,379,148$ Other liabilities $978,163$ $984,102$ $5,939$ Long-term borrowings $7,592,368$ $7,637,759$ $45,391$ Total liabilities $35,623,456$ $39,457,107$ $3,833,651$ Equity NHI shareholders' equity: $3822,562,601$ shares as of March 31, 2013 and $3822,562,601$ shares 360 (S22,54nes) $691,264$ $682,048$ $(9,216)$ Outstanding $3,710,960,252$ shares as of September 30, 2013 $594,493$ $-$ Additional paid-in capital $691,264$ $682,048$ $(9,216)$ $(9,216)$ Retained earnings $(1,136,523)$ $12,108,78$ 74355 $248,816$ Accumulated other comprehensive income (loss) $(7,395)$ $(28,579)$ $28,816$ Total NHI shareholders' equity before treasury stock $2,364,885$ $2,458,840$ $93,955$ <	Collateralized financing:				
Other secured borrowings Total collateralized financing 806,507 818,239 11,732 Total collateralized financing 15,409,383 17,324,395 1,915,012 Trading liabilities 8,491,296 9,870,444 1,379,148 Other liabilities 978,163 984,102 5,939 Long-term borrowings 7,592,368 7,637,759 45,391 Total liabilities 35,623,456 39,457,107 3,833,651 Equity NHI shareholders' equity: 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares 3,822,562,601 shares as of September 30, 2013 Outstanding - 3,710,960,252 shares as of September 30, 2013 594,493 594,493 - Additional paid-in capital 691,264 682,048 (9,216) Retained earnings 1,136,523 1,210,878 74,355 Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 33,555 Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and 116,077,880 shares (70,514) (79,651) (9,137) Total NHI shareholders' equity 2,3214,371	Securities sold under agreements to repurchase	12,444,317	14,074,602	1,630,285	
Total collateralized financing 15,409,383 17,324,395 1,915,012 Trading liabilities 8,491,296 9,870,444 1,379,148 Other liabilities 978,163 984,102 5,939 Long-term borrowings 7,592,368 7,637,759 45,391 Total liabilities 35,623,456 39,457,107 3,833,651 Equity NHI shareholders' equity: 35,623,456 39,457,107 3,833,651 Equity NHI shareholders' equity: 35,623,456 39,457,107 3,833,651 Sused - 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares as of September 30, 2013 594,493 - Outstanding - 3,710,60,825 shares as of March 31, 2013 and 3,822,562,601 shares 691,264 682,048 (9,216) Retained earnings 1,136,523 1,210,878 74,355 32,816 Additional paid-in capital 691,264 682,048 (9,216) 1,136,523 1,210,878 74,355 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,955 33,955 Common stock held in treasury, at cost - 111,602,73,80 shares as of September 30, 2013 <td>Securities loaned</td> <td>2,158,559</td> <td>2,431,554</td> <td>272,995</td>	Securities loaned	2,158,559	2,431,554	272,995	
Trading liabilities 8,491,296 9,870,444 1,379,148 Other liabilities 978,163 984,102 5,939 Long-term borrowings 7,592,368 7,637,759 45,391 Total liabilities 35,623,456 39,457,107 3,833,651 Equity NHI shareholders' equity: Common stock Authorized - 6,000,000,000 shares Issued - 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares 3,706,484,721 shares as of September 30, 2013 Outstanding - 3,710,960,252 shares as of September 30, 2013 594,493 - Additional paid-in capital 691,264 682,048 (9,216) Retained earnings 1,136,523 1,210,878 74,355 Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,9555 Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and 116,077,880 shares as of September 30, 2013 Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 <td>Other secured borrowings</td> <td></td> <td></td> <td></td>	Other secured borrowings				
Other liabilities 978,163 984,102 5,939 Long-term borrowings $7,592,368$ $7,637,759$ $45,391$ T otal liabilities $35,623,456$ $39,457,107$ $3,833,651$ Equity NHI shareholders' equity: $35,623,456$ $39,457,107$ $3,833,651$ Common stock Authorized - $6,000,000,000$ shares as of March 31, 2013 and $3,822,562,601$ shares as of March 31, 2013 and $3,710,6648,4721$ shares as of September 30, 2013 $594,493$ $-$ Additional paid-in capital $691,264$ $682,048$ $(9,216)$ Retained earnings $1,136,523$ $1,210,878$ 74355 Accumulated other comprehensive income (loss) $(57,395)$ $(28,579)$ $28,816$ T otal NHI shareholders' equity before treasury stock $2,364,885$ $2,458,840$ $93,955$ Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and 116,077,880 shares $(70,514)$ $(79,651)$ $(9,137)$ T otal NHI shareholders' equity $2,294,371$ $2,379,189$ $84,818$ Noncontrolling interests $2,318,983$ $2,411,306$ $92,323$	Total collateralized financing	15,409,383	17,324,395	1,915,012	
Other liabilities 978,163 984,102 5,939 Long-term borrowings $7,592,368$ $7,637,759$ $45,391$ T otal liabilities $35,623,456$ $39,457,107$ $3,833,651$ Equity NHI shareholders' equity: $35,623,456$ $39,457,107$ $3,833,651$ Common stock Authorized - $6,000,000,000$ shares as of March 31, 2013 and $3,822,562,601$ shares as of March 31, 2013 and $3,710,6648,4721$ shares as of September 30, 2013 $594,493$ $-$ Additional paid-in capital $691,264$ $682,048$ $(9,216)$ Retained earnings $1,136,523$ $1,210,878$ 74355 Accumulated other comprehensive income (loss) $(57,395)$ $(28,579)$ $28,816$ T otal NHI shareholders' equity before treasury stock $2,364,885$ $2,458,840$ $93,955$ Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and 116,077,880 shares $(70,514)$ $(79,651)$ $(9,137)$ T otal NHI shareholders' equity $2,294,371$ $2,379,189$ $84,818$ Noncontrolling interests $2,318,983$ $2,411,306$ $92,323$	Trading liabilities	8 491 296	9 870 444	1 379 148	
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NHI shareholders' equity: Common stock Authorized - 6,000,000,000 shares Issued - 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares as of September 30, 2013 Outstanding - 3,710,960,252 shares as of March 31, 2013 and <u>3,706,484,721 shares</u> as of September 30, 2013 Additional paid-in capital 691,264 Retained earnings 1,136,523 Accumulated other comprehensive income (loss) (57,395) Total NHI shareholders' equity before treasury stock 2,364,885 Common stock held in treasury, at cost - 111,607,880 shares 111,602,349 shares as of September 30, 2013 (70,514) Common stock held in treasury, at cost - 111,602,349 shares as of September 30, 2013 Total NHI shareholders' equity 2,294,371 2,379,189 Retained equity 24,612 32,117 7,505 Total NHI shareholders' equity 24,612 32,117 7,505	Total liabilities	35,623,456	39,457,107	3,833,651	
NHI shareholders' equity: Common stock Authorized - 6,000,000,000 shares Issued - 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares as of September 30, 2013 Outstanding - 3,710,960,252 shares as of March 31, 2013 and <u>3,706,484,721 shares</u> as of September 30, 2013 Additional paid-in capital 691,264 Retained earnings 1,136,523 Accumulated other comprehensive income (loss) (57,395) Total NHI shareholders' equity before treasury stock 2,364,885 Common stock held in treasury, at cost - 111,607,880 shares 111,602,349 shares as of September 30, 2013 (70,514) Common stock held in treasury, at cost - 111,602,349 shares as of September 30, 2013 Total NHI shareholders' equity 2,294,371 2,379,189 Retained equity 24,612 32,117 7,505 Total NHI shareholders' equity 24,612 32,117 7,505	Faulty				
Common stock Authorized - 6,000,000,000 shares Issued - 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares as of September 30, 2013 Outstanding - 3,710,960,252 shares as of March 31, 2013 and 594,493 <u>3,706,484,721 shares</u> as of September 30, 2013 Additional paid-in capital 691,264 682,048 (9,216) Retained earnings 1,136,523 <u>1,210,878</u> <u>74,355</u> Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 <u>2,458,840</u> <u>93,955</u> Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and (70,514) (79,651) (9,137) Total NHI shareholders' equity as of September 30, 2013 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 7,505 Total equity 2,318,983 2,411,306 92,323					
Authorized - 6,000,000,000 shares as of March 31, 2013 and Issued - 3,822,562,601 shares as of September 30, 2013 Outstanding - 3,710,960,252 shares as of March 31, 2013 and <u>3,706,484,721 shares</u> as of September 30, 2013 Additional paid-in capital 691,264 Retained earnings 1,136,523 Accumulated other comprehensive income (loss) (57,395) Total NHI shareholders' equity before treasury stock 2,364,885 Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and 116,077,880 shares as of September 30, 2013 Total NHI shareholders' equity 2,294,371 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 Total equity 24,612 32,117 7,505 Total equity 24,612 32,117					
Issued - 3,822,562,601 shares as of March 31, 2013 and 3,822,562,601 shares as of September 30, 2013 as of September 30, 2013 Outstanding - 3,710,960,252 shares as of March 31, 2013 and 3,706,484,721 shares as of March 31, 2013 and 3,706,484,721 shares as of September 30, 2013 594,493 594,493 - Additional paid-in capital as of September 30, 2013 691,264 682,048 (9,216) Retained earnings 1,136,523 1,210,878 74,355 Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,955 Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and (70,514) (79,651) (9,137) Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323					
3,822,562,601 shares as of September 30, 2013 Outstanding - $3,710,960,252$ shares as of March 31, 2013 and $3,706,484,721$ shares as of September 30, 2013 Additional paid-in capital Retained earnings Accumulated other comprehensive income (loss) Total NHI shareholders' equity before treasury stock $2,364,885$ $2,458,840$ $93,955$ Common stock held in treasury, at cost - $111,602,349$ shares as of March 31, 2013 and $116,077,880$ shares as of September 30, 2013 Total NHI shareholders' equity Noncontrolling interests Noncontrolling interests $24,612$ $32,117$ $7,505$ $24,612$ $32,117$ $7,505$ Total equity					
Outstanding - 3,710,960,252 shares as of March 31, 2013 and $3,706,484,721 \text{ shares}$ as of September 30, 2013 $594,493$ $-$ Additional paid-in capital $691,264$ $682,048$ $(9,216)$ Retained earnings $1,136,523$ $1,210,878$ $74,355$ Accumulated other comprehensive income (loss) $(57,395)$ $(28,579)$ $28,816$ Total NHI shareholders' equity before treasury stock $2,364,885$ $2,458,840$ $93,955$ Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and 116,077,880 shares as of September 30, 2013 $(70,514)$ $(79,651)$ $(9,137)$ Total NHI shareholders' equity $2,294,371$ $2,379,189$ $84,818$ Noncontrolling interests $24,612$ $32,117$ $7,505$ Total equity $2,318,983$ $2,411,306$ $92,323$					
Additional paid-in capital 691,264 682,048 (9,216) Retained earnings 1,136,523 1,210,878 74,355 Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,955 Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and (70,514) (79,651) (9,137) Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323					
Retained earnings 1,136,523 1,210,878 74,355 Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,955 Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and <u>116,077,880 shares</u> as of September 30, 2013 (70,514) (79,651) (9,137) Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323	3,706,484,721 shares as of September 30, 2013	594,493	594,493	-	
Accumulated other comprehensive income (loss) (57,395) (28,579) 28,816 Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,955 Common stock held in treasury, at cost -	Additional paid-in capital	691,264	682,048	(9,216)	
Total NHI shareholders' equity before treasury stock 2,364,885 2,458,840 93,955 Common stock held in treasury, at cost -	Retained earnings	1,136,523	1,210,878	74,355	
Common stock held in treasury, at cost - 111,602,349 shares as of March 31, 2013 and <u>116,077,880 shares</u> as of September 30, 2013 (70,514) Total NHI shareholders' equity 2,294,371 Noncontrolling interests 24,612 Total equity 2,318,983 24,11,306 92,323	Accumulated other comprehensive income (loss)	(57,395)	(28,579)	28,816	
111,602,349 shares as of March 31, 2013 and <u>116,077,880 shares</u> as of September 30, 2013 (70,514) (79,651) (9,137) Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323	Total NHI shareholders' equity before treasury stock	2,364,885	<u>2,458,840</u>	<u>93,955</u>	
116,077,880 shares as of September 30, 2013 (70,514) (79,651) (9,137) Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323	Common stock held in treasury, at cost -				
Total NHI shareholders' equity 2,294,371 2,379,189 84,818 Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323	111,602,349 shares as of March 31, 2013 and				
Noncontrolling interests 24,612 32,117 7,505 Total equity 2,318,983 2,411,306 92,323	116,077,880 shares as of September 30, 2013	(70,514)	<u>(79,651)</u>	<u>(9,137)</u>	
Total equity 2,318,983 2,411,306 92,323	Total NHI shareholders' equity	2,294,371	2,379,189	84,818	
	Noncontrolling interests		32,117	7,505	
Total liabilities and equity 37,942,439 41,868,413 3,925,974	Total equity		2,411,306	92,323	
	Total liabilities and equity	37,942,439	<u>41,868,413</u>	<u>3,925,974</u>	

	Millions of yen		
	March 31, 2013	September 30, 2013	Increase/(Decrease)
ASSEIS			
Cash and cash deposits:			
Cash and cash equivalents	805,087	1,298,043	492,956
Time deposits	577,921	468,495	(109,426)
Deposits with stock exchanges and other segregated cash	269,744	384,480	114,736
Total cash and cash deposits	1,652,752	2,151,018	498,266
Loans and receivables:			
Loans receivable	1,575,494	1,303,655	(271,839)
Receivables from customers	63,792	52,097	(11,695)
Receivables from other than customers	992,847	1,506,426	513,579
Allowance for doubtful accounts	(2,258)	(3,205)	(947)
Total loans and receivables	2,629,875	2,858,973	229,098
Collateralized agreements:			
Securities purchased under agreements to resell	8,295,372	9,552,516	1,257,144
Securities borrowed	5,819,885	6,376,335	556,450
Total collateralized agreements	14,115,257	15,928,851	1,813,594
Trading assets and private equity investments:			
Trading assets*	17,037,191	18,332,962	<u>1,295,771</u>
Private equity investments	87,158	84,169	(2,989)
Total trading assets and private equity investments	17,124,349	<u>18,417,131</u>	<u>1,292,782</u>
Other assets:			
Office buildings, land, equipment and facilities			
(net of accumulated depreciation and amortization of			
¥355,831 million as of March 31, 2013 and			
¥373,140 million as of September 30, 2013)	428,241	421,042	(7,199)
Non-trading debt securities*	920,611	1,003,495	82,884
Investments in equity securities*	123,490	135,736	12,246
Investments in and advances to affiliated companies*	345,705	360,348	14,643
Other	602,159	591,815	(10,344)
Total other assets	2,420,206	2,512,436	92,230
T otal assets	37,942,439	<u>41,868,409</u>	<u>3,925,970</u>

*Including securities pledged as collateral

	Millions of yen		
	March 31, 2013	September 30, 2013	Increase/(Decrease)
LIABILITIES AND EQUITY			
Short-term borrowings	738,445	800,966	62,521
Payables and deposits:			
Payables to customers	476,705	579,148	102,443
Payables to other than customers	864,962	1,154,251	289,289
Deposits received at banks	1,072,134	1,106,042	33,908
Total payables and deposits	2,413,801	2,839,441	425,640
'ollateralized financing:			
Securities sold under agreements to repurchase	12,444,317	14,074,602	1,630,285
Securities loaned	2,158,559	2,431,554	272,995
Other secured borrowings	806,507	818,239	11,732
Total collateralized financing	15,409,383	17,324,395	1,915,012
rading liabilities	8,491,296	9,870,444	1,379,148
Other liabilities	978,163	984,102	5,939
ong-term borrowings	7,592,368	7,637,759	45,391
otal liabilities	35,623,456	39,457,107	3,833,651
Equity			
THI shareholders' equity:			
Common stock			
Authorized - 6,000,000,000 shares			
Issued - 3,822,562,601 shares as of March 31, 2013 and			
3,822,562,601 shares as of September 30, 2013			
Outstanding - 3,710,960,252 shares as of March 31, 2013 and			
3,706,480,021 shares as of September 30, 2013	594,493	594,493	-
Additional paid-in capital	691,264	682,048	(9,216)
Retained earnings	1,136,523	1,210,877	74,354
Accumulated other comprehensive income (loss)	(57,395)	(28,579)	28,816
Total NHI shareholders' equity before treasury stock	2,364,885	2,458,839	<u>93,954</u>
Common stock held in treasury, at cost -			
111,602,349 shares as of March 31, 2013 and			
116,082,580 shares as of September 30, 2013	(70,514)	<u>(79,654)</u>	<u>(9,140)</u>
'otal NHI shareholders' equity	2,294,371	<u>2,379,185</u>	<u>84,814</u>
Joncontrolling interests	24,612	32,117	7,505
otal equity	2,318,983	<u>2,411,302</u>	<u>92,319</u>
otal liabilities and equity	37,942,439	41,868,409	3,925,970

(2) Consolidated Statements of Income (UNAUDITED) (Page 8) Original

	Millions	Millions of yen For the six months ended	
	For the six m		
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:			
Commissions	149,646	263,247	75.9
Fees from investment banking	27,514	48,378	75.8
Asset management and portfolio service fees	67,224	83,083	23.6
Net gain on trading	173,328	238,590	37.7
Gain (loss) on private equity investments	(5,088)	753	-
Interest and dividends	196,303	213,416	8.7
Gain on investments in equity securities	5,909	12,889	118.1
Other	285,983	73,294	(74.4)
Total revenue	900,819	933,650	3.6
Interest expense	129,886	145,938	12.4
Net revenue	770,933	<u>787,712</u>	2.2
Non-interest expenses:			
Compensation and benefits	258,269	298,596	15.6
Commissions and floor brokerage	43,882	55,180	25.7
Information processing and communications	87,669	94,473	7.8
Occupancy and related depreciation	46,250	40,614	(12.2)
Business development expenses	22,502	17,332	(23.0)
Other	257,278	95,364	(62.9)
Total non-interest expenses	715,850	601,559	(16.0)
Income before income taxes	55,083	<u>186,153</u>	<u>238.0</u>
Income tax expense	43,646	81,505	86.7
Net income	11,437	<u>104,648</u>	815.0
Less: Net income attributable to noncontrolling interests	6,737	641	(90.5)
Net income attributable to NHI shareholders	4,700	<u>104,007</u>	-
Per share of common stock:			
	Ye	en	% Change
Basic-		••	
Net income attributable to NHI shareholders per share	1.28	28.07	-
Diluted-			
Net income attributable to NHI shareholders per share	1.25	27.20	-

	Millions	s of yen	% Change
	For the six m	onths ended	
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:			
Commissions	149,646	263,247	75.9
Fees from investment banking	27,514	48,378	75.8
Asset management and portfolio service fees	67,224	83,083	23.6
Net gain on trading	173,328	238,589	37.7
Gain (loss) on private equity investments	(5,088)	753	-
Interest and dividends	196,303	213,416	8.7
Gain on investments in equity securities	5,909	12,889	118.1
Other	285,983	73,294	(74.4)
Total revenue	900,819	933,649	3.6
Interest expense	129,886	145,938	12.4
Net revenue	770,933	<u>787,711</u>	2.2
Non-interest expenses:			
Compensation and benefits	258,269	298,596	15.6
Commissions and floor brokerage	43,882	55,180	25.7
Information processing and communications	87,669	94,473	7.8
Occupancy and related depreciation	46,250	40,614	(12.2)
Business development expenses	22,502	17,332	(23.0)
Other	257,278	95,364	(62.9)
Total non-interest expenses	715,850	601,559	(16.0)
Income before income taxes	55,083	<u>186,152</u>	237.9
Income tax expense	43,646	81,505	86.7
Net income	11,437	<u>104,647</u>	815.0
Less: Net income attributable to noncontrolling interests	6,737	641	(90.5)
Net income attributable to NHI shareholders	4,700	<u>104,006</u>	-
Per share of common stock:			
	Ye	en	% Change
Basic-		20.07	
Net income attributable to NHI shareholders per share	1.28	28.07	-
Diluted- Net income attributable to NHI shareholders per share	1.25	27.20	_

(3) Consolidated Statement of Comprehensive Income (UNAUDITED) (Page 9) Original

	Millions	s of yen	% Change
	For the six m	onths ended	
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Net income	11,437	<u>104,648</u>	815.0
Other comprehensive income (loss):			
Change in cumulative translation adjustments, net of tax	(21,343)	27,431	-
Defined benefit pension plans: Pension liability adjustment Deferred income taxes	4,062 (1,123)	2,005 (703)	(50.6)
Total Non-trading securities:	2,939	1,302	(55.7)
Net unrealized gain (loss) on non-trading securities Deferred income taxes	(1,032) (17)	1,469 (645)	-
Total	(1,049)	824	-
Total other comprehensive income (loss)	(19,453)	29,557	
Comprehensive income (loss)	(8,016)	<u>134,205</u>	-
Less: Comprehensive income attributable to NHI shareholders	5,997	1,382	(77.0)
Comprehensive income (loss) attributable to NHI shareholders	(14,013)	<u>132,823</u>	-

	Millions	s of yen	% Change
	For the six m	onths ended	
	September 30, 2012(A)	September 30, 2013(B)	(B-A)/(A)
Net income	11,437	<u>104,647</u>	815.0
Other comprehensive income (loss):			
Change in cumulative translation adjustments, net of tax	(21,343)	27,431	-
Defined benefit pension plans: Pension liability adjustment Deferred income taxes	4,062 (1,123)	2,005 (703)	(50.6)
Total	2,939	1,302	(55.7)
Non-trading securities:			· · ·
Net unrealized gain (loss) on non-trading securities	(1,032)	1,469	-
Deferred income taxes	(17)	(645)	-
Total	(1,049)	824	
Total other comprehensive income (loss)	(19,453)	29,557	
Comprehensive income (loss)	(8,016)	<u>134,204</u>	-
Less: Comprehensive income attributable to noncontrolling interests	5,997	1,382	(77.0)
Comprehensive income (loss) attributable to NHI shareholders	(14,013)	<u>132,822</u>	-

(5) Segment Information — Operating Segment (UNAUDITED) (Page 10) Original

	Millions	of yen	% Change
	For the six mo	nths ended	
Net revenue	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Business segment information:			
Retail	163,497	286,072	75.0
Asset Management	31,857	38,800	21.8
Wholesale	258,977	377,958	45.9
Subtotal	454,331	702,830	54.7
Other	310,570	72,681	(76.6)
Net revenue	764,901	775,511	1.4
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	6,032	12,201	102.3
Net revenue	770,933	787,712	2.2
Non-interest expenses			
Business segment information:			
Retail	140,347	165,011	17.6
Asset Management	21,927	25,937	18.3
Wholesale	267,335	327,435	22.5
Subtotal	429,609	518,383	20.7
Other	286,241	83,176	(70.9)
Non-interest expenses	715,850	601,559	(16.0)
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes		-	-
Non-interest expenses	715,850	601,559	(16.0)
Income (loss) before income taxes			
Business segment information:			
Retail	23,150	121,061	422.9
Asset Management	9,930	12,863	29.5
Wholesale	(8,358)	50,523	-
Subtotal	24,722	184,447	646.1
Other *	24,329	(10,495)	-
Income (loss) before income taxes	49,051	173,952	254.6
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	6,032	12,201	102.3
Income (loss) before income taxes	55,083	<u>186,153</u>	<u>238.0</u>

*Major components

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other".

The following table presents the major components of income (loss) before income taxes in "Other".

	Millions	of yen	% Change
	For the six mo	nths ended	
	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Net gain (loss) related to economic hedging transactions	(267)	5,706	-
Realized gain (loss) on investments in equity securities held for operating purposes	(123)	688	-
Equity in earnings of affiliates	4,619	14,227	208.0
Corporate items	(420)	(21,045)	-
Other	20,520	(10,071)	-
Total	24,329	(10,495)	-

	Millions		% Change
	For the six mo		
Net revenue	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Business segment information:			
Retail	163,497	286,072	75.0
Asset Management	31,857	38,800	21.8
Wholesale	258,977	<u>377,957</u>	45.9
Subtotal	454,331	702,829	54.7
Other	310,570	72,681	(76.6)
Net revenue	764,901	775,510	1.4
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	6,032	12,201	102.3
Net revenue	770,933	787,711	2.2
Non-interest expenses			
Business segment information:			
Retail	140,347	165,011	17.6
Asset Management	21,927	25,937	18.3
Wholesale	267,335	327,435	22.5
Subtotal	429,609	518,383	20.7
Other	286,241	83,176	(70.9)
Non-interest expenses	715,850	601,559	(16.0)
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes		-	-
Non-interest expenses	715,850	601,559	(16.0)
Income (loss) before income taxes			
Business segment information:			
Retail	23,150	121,061	422.9
Asset Management	9,930	12,863	29.5
Wholesale	(8,358)	50,522	-
Subtotal	24,722	<u>184,446</u>	646.1
Other *	24,329	(10,495)	-
Income (loss) before income taxes	49,051	173,951	254.6
Reconciliation items:			
Unrealized gain (loss) on investments in equity			
securities held for operating purposes	6,032	12,201	102.3
Income (loss) before income taxes	55,083	<u>186,152</u>	<u>237.9</u>

*Major components

Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other". The following table presents the major components of income (loss) before income taxes in "Other".

	Millions	of yen	% Change
	For the six mo	nths ended	
	September 30, 2012 (A)	September 30, 2013 (B)	(B-A)/(A)
Net gain (loss) related to economic hedging transactions	(267)	5,706	-
Realized gain (loss) on investments in equity securities held for operating purposes	(123)	688	-
Equity in earnings of affiliates	4,619	14,227	208.0
Corporate items	(420)	(21,045)	-
Other	20,520	(10,071)	-
Total	24,329	(10,495)	

(6) Significant Changes in Equity (UNAUDITED) (Page 11) Original

	Millions of yen
	For the six months ended
	September 30, 2013
Common stock	
Balance at beginning of year	594,493
Balance at end of period	594,493
Additional paid-in capital	
Balance at beginning of year	691,264
Gain (loss) on sales of treasury stock	(5,439)
Issuance and exercise of common stock options	(3,777)
Balance at end of period	682,048
Retained earnings	1 126 502
Balance at beginning of year Net income attributable to NHI shareholders	1,136,523
Cash dividends	<u>104,007</u>
	(29,652)
Balance at end of period	<u>1,210,878</u>
Accumulated other comprehensive income (loss) Cumulative translation adjustments	
Balance at beginning of year	(38,875)
Net change during the period	26,902
Balance at end of period	(11,973)
Defined benefit pension plans	
Balance at beginning of year	(28,518)
Pension liability adjustment	1,302
Balance at end of period	(27,216)
Non-trading securities	
Balance at beginning of year	9,998
Net unrealized gain on non-trading securities	612
Balance at end of period	10,610
Balance at end of period	(28,579)
Common stock held in treasury	
Balance at beginning of year	(70,514)
Repurchases of common stock	<u>(32,482)</u>
Sale of common stock	<u>0</u>
Common stock issued to employees	22,662
Other net change in treasury stock	683
Balance at end of period	<u>(79,651)</u>
Total NHI shareholders' equity	
Balance at end of period	<u>2,379,189</u>
Noncontrolling interests	
Balance at beginning of year	24,612
Net change during the period	7,505
Balance at end of period	32,117
Total equity	A 444 AAZ
Balance at end of period	<u>2,411,306</u>

	Millions of yen
	For the six months ended
	September 30, 2013
Common stock	
Balance at beginning of year	594,493
Balance at end of period	594,493
L L	
Additional paid-in capital	
Balance at beginning of year	691,264
Gain (loss) on sales of treasury stock	(5,439)
Issuance and exercise of common stock options	(3,777)
Balance at end of period	682,048
Bulance at end of period	002,010
Retained earnings	
Balance at beginning of year	1,136,523
Net income attributable to NHI shareholders	<u>104,006</u>
Cash dividends	(29,652)
Balance at end of period	<u> </u>
Accumulated other comprehensive income (loss)	
Cumulative translation adjustments	
Balance at beginning of year	(38,875)
Net change during the period	26,902
Balance at end of period	(11,973)
Defined benefit pension plans	
Balance at beginning of year	(28,518)
Pension liability adjustment	1,302
Balance at end of period	(27,216)
Non-trading securities	
Balance at beginning of year	9,998
Net unrealized gain on non-trading securities	612
Balance at end of period	10,610
Datance at end of period	10,010
Balance at end of period	(28,579)
Common stock held in treasury	
Balance at beginning of year	(70,514)
Repurchases of common stock	<u>(32,493)</u>
Sale of common stock	<u>8</u>
Common stock issued to employees	22,662
Other net change in treasury stock	683
Balance at end of period	<u>(79,654)</u>
Total NHI shareholders' equity	
Balance at end of period	<u>2,379,185</u>
Noncontrolling interests	
Balance at beginning of year	24,612
Net change during the period	7,505
Balance at end of period	32,117
Total equity	
Balance at end of period	2,411,302
Suance at end of period	2,411,302

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3. Supplementary Information (1) Consolidated Statements of Income – Quarterly Comparatives (UNAUDITED)

			Millions of yen	of yen			% Change
			For the three months ended	nonths ended			
	June 30, 2012	September 30, 2012	December 31, 2012	M arch 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:							
Commissions	77,367	72,279	83,681	125,742	157,634	105,613	(33.0)
Fees from investment banking	10,383	17,131	13,027	21,812	25,394	22,984	(9.5)
Asset management and portfolio service fees	33,813	33,411	35,017	38,788	42,381	40,702	(4.0)
Net gain on trading	84,399	88,929	88,188	106,463	128,409	110,181	(14.2)
Gain (loss) on private equity investments	(5,387)	299	11,631	1,510	50	703	·
Interest and dividends	103,469	92,834	99,745	97,959	115,325	98,091	(14.9)
Gain (loss) on investments in equity securities	(7,061)	12,970	8,858	23,919	7,852	5,037	(35.9)
Other	142,610	143,373	118,834	303,950	28,225	45,069	59.7
Total revenue	439,593	461,226	458,981	720,143	505,270	428,380	(15.2)
Interest expense	70,339	59,547	69,895	66,531	73,949	71,989	(2.7)
N et revenue	369,254	401,679	389,086	653,612	431,321	356, 391	(17.4)
Non-interest expenses:							
Compensation and benefits	124,573	133,696	134,698	154,624	163,205	135,391	(17.0)
Commissions and floor brokerage	21,978	21,904	22,918	24,588	29,046	26,134	(10.0)
Information processing and communications	42,524	45,145	42,672	49,563	48,233	46,240	(4.1)
Occupancy and related depreciation	24,110	22,140	22,179	23,116	19,784	20,830	5.3
Business development expenses	11,329	11,173	12,051	14,457	7,859	9,473	20.5
Other	125,074	132,204	141,603	217,582	49,975	45,389	(9.2)
Total non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)
Income before income taxes	19,000	35,417	12,965	169,682	113,219	72,934	(0.65)
Income tax expense	13,590	30,056	12,874	75,519	46,956	34,549	(26.4)
Net income	6,076	5,361	91	94,163	66,263	38,385	(42.1)
Less: Net income (loss) attributable to noncontrolling interests	4,185	2,552	(20,021)	11,741	369	272	(26.3)
Net income attributable to NHI shareholders	1,891	2,809	20,112	82,422	65,894	38,113	(42.2)
Per share of common stock:			Yen	и			% Change
Basic- Net income attributable to NHI shareholders per share	0.51	0.76	5.44	22.23	17.78	10.29	(42.1)
Dilucod							
Net income attributable to NHI shareholders per share	0.50	0.74	5.33	21.55	17.24	9.99	(42.1)

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3. Supplementary Information (1) Consolidated Statements of Income – Quarterly Comparatives (UNAUDITED)

			Millions of yen	of yen			% Change
			For the three months ended	ionths ended			
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)
Revenue:							ļ
Commissions	77,367	72,279	83,681	125,742	157,634	105,613	(33.0)
Fees from investment banking	10,383	17,131	13,027	21,812	25,394	22,984	(9.5)
Asset management and portfolio service fees	33,813	33,411	35,017	38,788	42,381	40,702	(4.0)
Net gain on trading	84,399	88,929	88,188	106,463	128,409	110,180	(14.2)
Gain (loss) on private equity investments	(5,387)	299	11,631	1,510	50	703	
Interest and dividends	103,469	92,834	99,745	97,959	115,325	98,091	(14.9)
Gain (loss) on investments in equity securities	(7,061)	12,970	8,858	23,919	7,852	5,037	(35.9)
Other	142,610	143,373	118,834	303,950	28,225	45,069	59.7
Total revenue	439,593	461,226	458,981	720,143	505,270	428,379	(15.2)
Interest expense	70,339	59,547	69,895	66,531	73,949	71,989	(2.7)
Net revenue	369,254	401,679	389,086	653,612	431,321	356, 390	(17.4)
Non-interest expenses:							
Compensation and benefits	124,573	133,696	134,698	154,624	163,205	135,391	(17.0)
Commissions and floor brokerage	21,978	21,904	22,918	24,588	29,046	26,134	(10.0)
Information processing and communications	42,524	45,145	42,672	49,563	48,233	46,240	(4.1)
Occupancy and related depreciation	24,110	22,140	22,179	23,116	19,784	20,830	5.3
Business development expenses	11,329	11,173	12,051	14,457	7,859	9,473	20.5
Other	125,074	132,204	141,603	217,582	49,975	45,389	(9.2)
Total non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)
Income before income taxes	19,666	35,417	12,965	169,682	113,219	72,933	(35.6)
Income tax expense	13,590	30,056	12,874	75,519	46,956	34,549	(26.4)
Net income	6,076	5,361	91	94,163	66,263	38,384	(42.1)
Less: Net income (loss) attributable to noncontrolling interests	4,185	2,552	(20,021)	11,741	369	272	(26.3)
Net income attributable to NHI shareholders	1,891	2,809	20,112	82,422	65,894	38,112	(42.2)
Per share of common stock:			Yen				% Change
Basic- Net income attributable to NHI shareholders per share	0.51	0.76	5.44	22.23	17.78	10.29	(42.1)
Diluted- Net income attributable to NHI shareholders per share	0.50	0.74	5.33	21.55	17.24	66.6	(42.1)

Network Just with the sector of				Millions of yen	f yen			% Change	Millions of yen
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	et revenue	June 30, 2012	September 30, 2012	For the three m December 31, 2012	onths ended March 31, 2013	June 30, 2013/43	September 30, 2013/B)	(B-A)/(A)	For the year ended March 31, 2013
(511) (5.3) (5.4) (1.4) <th< td=""><td>Business segment information:</td><td></td><td></td><td></td><td></td><td>(-)</td><td>(2)</td><td></td><td></td></th<>	Business segment information:					(-)	(2)		
10,418 17,349 18,08 18,349 30,413 37,359 18,00 (7.1) 13,557 383,322 360,433 30,443 33,3594 30,113 30,443 30,443 31,359 (11,6)	Retail	82,711	80,786	95,679	138,749	166,342	119,730	(28.0)	397,925
12101 233,33 333,35 333,35 331,15 24,107 21,06 (15) 1 155,59 155,00 11,55 900,00 0,050 0,050 0,11 0,050 0,11 0	Asset Management Wholesale	16,418 121 883	15,439	18,/80 188 968	18,294	20,1/4	18,626 183,340	((7.7)	68,937 644 856
Lethic (13) Listic (3) Listic (1) Listic (1) <thlistic (1) Listic (1) Listic</thlistic 	Subtotal	221.012	233.319	303.433	353.954	381.125	321.705	(15.6)	1.111.718
335,57b 389,225 30,0186 60,639 4,24,157 351,354 (172) 1 1 equity (6,52) 12,557 8900 22,753 12,647 (172) 1 3 0,90,244 401,679 38,006 53,101 41,321 13,232 73,44 (173) 1 3 0,023 69,824 75,419 81,511 85,227 29,374 (6,4) 1 1 10,043 10,0579 114,611 11,333 12,434 (6,4) 1 1 13,538 366,202 376,113 38,900 33,166 (6,9) 1 1 1 13,538 366,202 376,113 48,390 33,166 (7,0) 1 1 1 13,538 366,202 376,113 38,100 33,166 (7,0) 1	Other	154,567	156.003	76,753	276,905	43.032	29.649	(31.1)	664.228
redukt (5,22) 12,37 8,90 27,73 7,164 5,07 2,97 369,24 7,54,19 81,51 82,237 79,74 (6,4) 1 10,04 10,079 10,079 10,079 114,611 11,333 85,639 (7,7) 1 10,04 10,079 114,611 10,123 109,242 75,679 0,31 1	Net revenue	375,579	389,322	380,186	630,859	424,157	351,354	(17.2)	1,775,946
redurf (6,12) (1,27) (2,9) (2,1) (2,9) (2,1) (2,1) (2,1) (2,1) (2,1) (2,1) (2,1) (2,1) (2,1) (2,1) (2,1) (1,1)	Reconciliation items:								
3002341 411,070 300,000 653,012 411,211 36,301 101 101 100231 0,6324 11,461 81,531 81,231 35,331 13,474 (6,4) 110,048 10,679 11,461 11,461 11,473 11,461 14,733 13,433 12,434 (6,4) 110,048 10,679 11,461 10,123 10,6922 13,6031 (6,1) 11 110,048 13,6620 376,121 483,990 318,100 35,457 (10,9) 11 110,048 376,121 483,990 318,100 35,691 (5,0) 11	Unrealized gain (loss) on investments in equity securities held for onerating mirroses	(8325)	12 357	000 8	227 552	164	5.037	(1 00)	37 685
1052 6.8.24 7.5419 81.531 85.237 79.74 (6.4) 11.048 10.879 11.468 14.573 13.483 12.454 (7.6) 11.048 10.879 11.468 14.573 13.483 12.454 (7.6) 11.048 217.060 231.496 237.157 286.002 230.021 (6.7) 137.583 366.002 376.11 483.390 318.102 233.456 (6.7) 137.583 366.002 376.11 483.390 318.102 233.456 (6.0) 14.611 61.255 366.001 318.102 233.457 (10.9) 1 14.611 45.66 7.318 3.213.66 5.737 283.457 (10.9) 1 12.158 10.962 7.114 10.962 25.237 25.441 (5.6) 1 12.159 (5.7)1 35.68 2.52.37 283.457 (10.9) 1 12.158 (5.7)1 3.56.88 2.52.37 2.53.66	securates actual to operating purposes Net revenue	369,254	401,679	389,086	653,612	431,321	356,391	(17.4)	1,813,631
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	on-interest expenses								
70,523 69,824 75,419 81,31 85,237 79,774 (6,4) 110,46 10,879 11,461 11,333 13,433 12,454 (6,6) 212,005 217,004 231,498 27,157 268,092 250,291 (6,6) 310,645 144,653 257,157 268,092 230,029 (6,7) (7) 310,655 314,663 27,157 268,092 230,029 (6,7) (7) 314,653 366,052 376,121 453,990 331,66 (6,7) (10,9) 1 494,566 376,121 453,990 318,102 233,457 (10,9) (10,9) 1 494,560 7318 14,4633 3457 (10,9) 233,457 (10,9) 1 455,060 13,310 453,900 731,8 81,102 233,457 (10,9) 1 453,370 453,900 731,8 81,102 233,457 (10,9) 1 453,700 453,900 73,457	Business segment information:								
11.048 10,879 11,468 14,373 13,483 12,454 (7.6) 130,343 136,001 131,498 257,157 266,022 33,166 (6.7) 137,583 148,058 14,602 257,157 266,022 33,166 (6.7) 349,583 366,202 376,121 483,393 53,166 (109) 1 137,583 366,202 376,121 483,930 318,102 233,457 (109) 1 149,538 366,202 376,121 483,930 318,102 283,457 (109) 1 149,538 366,202 376,121 483,930 318,102 283,457 (109) 1 149,538 366,202 376,121 483,930 57,312 81,105 39,356 (50,7) (60) 1<	Retail	70,523	69.824	75,419	81,531	85.237	79,774	(6.4)	297,297
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Asset Management	11.048	10.879	11,468	14.373	13,483	12,454	(1.6)	47.768
212,005 217,604 211,498 257,157 268,092 250,291 (66) 137,583 148,658 144,623 226,773 50,010 33,166 (30) 1 137,583 148,658 144,623 226,773 50,010 33,166 (30) 1 137,583 366,262 376,121 483,930 318,102 283,457 (109) 1 14,653 376,121 433,930 318,102 283,457 (109) 1 12,158 10,962 20,200 57,218 81,105 283,457 (109) 1 5,370 13,81,02 3,81,105 318,102 283,457 (109) 1 5,370 14,37 3,921 64,97 11,05 39,956 (20,7) 1 5,370 15,361 7,143 3,921 66,797 13,303 14,414 (36,8) 1 5,370 25,379 25,379 25,379 2,317 1,414 (36,6) 1 <	Wholesale	130,434	136,901	144,611	161,253	169,372	158,063	(6.7)	573,199
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$	Subtotal	212,005	217,604	231,498	257,157	268,092	250,291	(9.9)	918,264
349,588 366,262 376,121 483,930 318,102 283,457 (109) 11,1 requity 349,588 366,262 376,121 483,930 318,102 283,457 (109) 11,1 349,588 366,262 376,121 483,930 318,102 283,457 (109) 11,1 349,588 366,262 376,121 483,930 318,102 283,457 (109) 11,1 (112,188 10,962 20,260 57,218 81,105 39,556 (10,2) 11,2 (113,10 1,330 44,357 3,518 81,105 39,556 (50,7) 11 (119,31 1,4357 3,518 81,105 39,556 (12,9) 11 (119,31 14,357 3,5658 25,237 25,366 0.2 11 (119,91 15,910 15,913 36,913 113,033 21,414 (36,0) 11 (119,11 15,012 146,929 16,913 16,913 16,913 16,913	Other	137,583	148,658	144,623	226,773	50,010	33,166	(33.7)	657,637
Lequity	Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
49,588 366262 $376,121$ $483,930$ $318,102$ $283,457$ (109) 11 $12,188$ $10,962$ $20,260$ $57,218$ $81,105$ $39,956$ $(50,7)$ 11 $5,370$ $4,560$ $7,318$ $3,921$ $6,691$ $6,172$ $(7,8)$ 11 $8,5511$ 193 $4,437$ $3,921$ $6,691$ $6,172$ $(7,8)$ 11 $16,944$ $7,318$ $3,921$ $6,691$ $6,172$ $(7,8)$ 11 $16,944$ $7,318$ $3,921$ $6,691$ $6,172$ $(7,8)$ 11 $16,946$ $7,318$ $3,921$ $6,691$ $6,172$ $(7,8)$ 02 $16,946$ $7,346$ $7,318$ $3,921$ $6,691$ $6,172$ $(7,8)$ $16,946$ $15,616$ $7,133$ $25,237$ $25,286$ 0.2 02 $25,941$ $7,164$ $7,2,94$ $(35,0)$ 02 02 02 02	Reconciliation items: Uraealized gain (loss) on investments in equity								
349,585 $366,262$ $376,121$ $483,930$ $318,102$ $283,457$ (109) 11.1 $12,188$ $10,962$ $20,260$ $57,218$ $81,105$ $39,956$ (50.7) 11.1 $5,370$ $4,560$ $7,318$ $3,921$ $6,691$ $6,172$ $(7,8)$ 11.12 $(8,551)$ 193 $4,357$ $3,5658$ $25,237$ $25,286$ 0.2 0.2 $19,007$ $15,715$ $7,1935$ $36,971$ $113,033$ $21,414$ $(3,6,9)$ 0.2 $16,904$ $25,371$ $25,237$ $25,286$ 0.2 0.2 $19,906$ $15,715$ $7,193$ $26,912$ $113,033$ $21,414$ $(3,6,0)$ 0.2 $25,914$ $23,536$ $16,970$ 0.2 0.2 0.2 0.2 0.2 $25,914$ $23,536$ 0.2 0.2 0.2 0.2 0.2 0.2 0.2 0.2 0.2 0.2 0.2	securities held for operating purposes								
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	come (loss) before income taxes								
tert $(32,1)$ $(3,2,1)$ $(3,2,1)$ $(3,2,1)$ $(3,2,1)$ $(3,1,1)$ $(3,2,2,1)$ $(3,1,1)$ $(3,2,2,2)$ $(3,1,1)$ $(3,2,2,2)$ $(3,1,1)$ $(3,2,2,2)$ $(3,2,1)$ $(3,3,1)$ $(3,2,2,2)$ $(3,3,1)$	Business segment information:								
tert (32) $\frac{5,770}{1,0}$ $\frac{4,560}{1,0}$ $7,318$ $\frac{3,221}{3,0,0}$ $\frac{6,691}{2,0,172}$ $\frac{6,172}{1,0}$ $\frac{7,1,8}{2,5,266}$ $\frac{7,8}{0,2}$ $\frac{6,691}{1,0}$ $\frac{6,172}{1,0}$ $\frac{7,1,8}{2,5,26}$ $\frac{1}{0,2}$ $\frac{1}{1,0}$ $\frac{1}{1,$	Retail	12,188	10,962	20,260	57,218	81,105	39,956	(50.7)	100,628
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Asset Management	5,370	4,560	7,318	3,921	6,691	6,172	(7.8)	21,169
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Wholesale	(8,551)	193	44,357	35,658	25,237	25,286	0.2	71,657
fore income taxes $\frac{1}{1,591}$ $\frac{1}{1,570}$ $\frac{1}{1,570}$ $\frac{1}{1,500}$ $$	Subtotal	9,007 16 98/1	15,715 7345	71,935	96,797 50.132	113,033 (6.978)	71,414 (3 517)	(36.8)	193,454
$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	Outot Income (loss) hafora income taxas	75 901	090.80	4065	146 970	106.055	(110°C)	(36.0)	500 UUC
$\frac{(6,225)}{19,666} \frac{12,357}{35,417} \frac{8,900}{12,965} \frac{22,753}{169,682} \frac{7,164}{113,219} \frac{5,037}{22,924} (29,7)$	Reconciliation items:	1///	000-077	000 [°] F	17/011	770'001	10010	(0.00)	10,007
$\frac{(6,325)}{19,666} \frac{12,357}{35,417} \frac{8,900}{12,965} \frac{22,753}{109,682} \frac{7,164}{113,219} \frac{5,037}{72,934} (29.7) \frac{23,753}{(35.6)} \frac{113,219}{22,934} (35.6) \frac{13,259}{22,934} (35.6) \frac{13,259}{22,95} (35.$	Unrealized gain (loss) on investments in equity								
13,200 $35,11$ $12,905$ $103,082$ $113,219$ $72,034$ $(35,0)$	securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
	Income (loss) before income taxes	19,000	35,417	12,905	169,682	113,219	72,934	(0.05)	237,730
				Millions o For the three m	f yen witte ended			% Change	Millions of year
% Change					מחתבת				r or the year ended
Millions of yen For the three months ended		June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
Millions of yen % Change For the three months ended % Change f 5 f September 30, 012 2013 2013 2013(A)	Net gain (loss) related to economic hedeine transactions	(1.231)	964	415	841	7.373	(1.667)		686
Millions of yen Millions of yen % Change Millions of yen For the three months ended For the year and % Change Millions of yen June 30, September 31, March 31, June 30, September 30, Ear the year and 2012 2012 2013 2013, 2013,(A) March 31, March 31, 2013 2013 2013 2013,(A) 2013, 2013, 2013, 2013 96.4 415 841 7373 (1.667) -	Realized gain (loss) on investments in equity								
Millions of yen % Change Millions of yen For the three months ended For the system and % Change Millions of yen June 30, September 30, December 31, March 31, June 30, September 30, (B-A)(A) March 31, June 30, 2012 2013 2013 2013(A) 2013 March 31, June 30, 2013 2013 2013(A) 2013 2013 June 31, June 30, September 30, (B-A)(A) March 31, June 30, 2013(A) 2013(A) 2013(B) 2013 June 30, 2013(A) 2013(B) 2013(B) 2013 June 31, June 30, 2013(B) 2013 2013 June 31, June 30, 2013(B) 2013(A) 2013 June 31, June 30, 2013(A) 2013(A) 2013 June 31, June 30, 7,373 (1,667) 2013	securities held for operating purposes	(136)	613	(42)	1,166	688	0		1,001
Millions of yen Millions of yen % Change Millions of the state June 30, September 30, Eor the three months ended 9,6 Change Millions of the states June 30, September 30, December 31, June 30, September 30, 8-A)(A) March 30, June 30, September 30, Dot 31, June 30, September 30, (B-A)(A) March 30, June 30, September 30, 2013 2013 2013(A) 2013 2013 June 30, September 30, 2013 2013(A) 2013(A) 2013 2013 June 30, September 30, 2013 2013(A) 2013(A) 2013 2013 June 30, September 30, 0.013 2013(A) 2013(A) 2013 2013 June 40 H 415 841 7,373 (1,607) - 2013 In equity (736) 613 (42) 1,166 683 0 - -	Equity in carinings of armates Cornorate items	51241	0+5.c (7.044)	6+C,+ (14 800)	CC7'C	646,0 (12344)	8,884 (8,701)	C.00	14,401
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	Output arc ments	11 054	9 466	(000-11)	10,000	(8.038)	(10,101)		200,11
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $									

Original (2) Business Segment Information – Quarterly Comparatives (UNAUDITED)

			Millions of yen	f yen			% Change	Millions of yen
			For the three months ended	onths ended				For the year ended
Net revenue	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
Business segment information:								
Retail	82,711	80,786	95,679	138,749	166,342	119,730	(28.0)	397,925
Asset Management Wholesale	10,418	137 094	18,/80	18,294	20,1/4 194 609	183 348	(1.1)	08,856 644 856
Subtoral	201 012	733 319	303.433	353.954	381 125	321 704	(15.6)	1111718
Other	154 567	156 003	76.753	276 905	43.032	29 649	(311)	664 228
Netrevenue	375,579	389,322	380,186	630,859	424,157	351,353	(17.2)	1,775,946
Reconciliation items:								
Unrealized gain (loss) on investments in equity	10000							
securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
Net revenue	369,254	401,679	389,086	653,612	431,321	356,390	(17.4)	1,813,631
Non-interest expenses								
Business segment information:								
Retail	70,523	69,824	75,419	81,531	85,237	79,774	(6.4)	297,297
Asset Management	11,048	10,879	11,468	14,373	13,483	12,454	(1.6)	47,768
Wholesale	130,434	136,901	144,611	161,253	169,372	158,063	(6.7)	573,199
Subtotal	212,005	217,604	231,498	257,157	268,092	250,291	(9.9)	918,264
Other	137,583	148,658	144,623	226,773	50,010	33,166	(33.7)	657,637
Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
Keconciliation items: Timeofized agin (loss) on investments in emittr								
securities held for onerating numoses							,	
Non-interest expenses	349,588	366,262	376,121	483,930	318,102	283,457	(10.9)	1,575,901
Income (loss) hefore income taxes								
Rusinace carmant information:								
Pusiness segment intromation. Retail	12.188	10.962	20.260	57.218	81.105	39 956	(20.2)	100.628
Asset Management	5,370	4,560	7,318	3,921	6,691	6,172	(7.8)	21,169
Wholesale	(8,551)	193	44,357	35,658	25,237	25,285	0.2	71,657
Subtotal	9,007	15,715	71,935	96,797	113,033	71,413	(36.8)	193,454
Outer - Turonna (Jorr) hafora incoma tovar	10,704	- USU 20	10/0/01	701/00	106.055		(36.0)	140'00
Recorditation items:	166'07	000,62		1+0,727		0/,090	(n.oc)	C+0,002
Unrealized gain (loss) on investments in equity								
securities held for operating purposes	(6,325)	12,357	8,900	22,753	7,164	5,037	(29.7)	37,685
Income (loss) before income taxes	19,666	35,417	12,965	169,682	113,219	72,933	(35.6)	237,730
 Major components Transactions between operating segments are recorded within segment results on commercial terms and conditions, and are eliminated in "Other" The following table presents the major components of income (loss) before income taxes in "Other". 	segment results on commercial term (loss) before income taxes in "Other"	al terms and condition: 'Other".	s, and are eliminated in	Other".				
			Millions of tren	f tren			% Change	
			For the three months ended	onths ended			/a Citatige	For the vear ended
	June 30, 2012	September 30, 2012	December 31, 2012	March 31, 2013	June 30, 2013(A)	September 30, 2013(B)	(B-A)/(A)	March 31, 2013
	74.07	7107	7107	2102	(12)0107	(1):117		
Net gain (loss) related to economic hedging transactions	(1,231)	964	415	841	7,373	(1,667)		686
Realized gain (loss) on investments in equity								
securities held for operating purposes	(136)	613	(42)	1,166	688	0		1,001
Equity in earinings of attinates Cornorate trems	66624	0+c'c	(14 800)	CC2+C	C+CfC (12 344)	0,004 (8 701)	C-00	17 652
Other	11 064	0.466	(000 13)					2001 200
	+00.11	7.400	76610	10.020	(8,038)	(2:033)	•	(764/7)

Connected
(2) Business Segment Information – Quarterly Comparatives (UNAUDITED)

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