

Statement on Unauthorized Access to Overseas Subsidiary Systems

Tokyo, June 14, 2018 — On June 4, 2018 (EST), we learned that Instinet Europe Limited, an overseas subsidiary of Nomura Holdings, Inc., recently experienced the impact of a spear-phishing incident that resulted in unauthorized access to the firm's desktop network.

Instinet, supported by Nomura Group affiliates, responded immediately to the discovery of the attack by launching an investigation, activating a leading cybersecurity firm, and notifying appropriate authorities, including law enforcement, to assess and remediate the incident.

Although the investigation is ongoing, there has been no impact to Instinet's execution and clearing systems. There is no indication that the unauthorized access continues. There is no evidence the attacker accessed Instinet's execution and clearing systems. Regular business operations have not been disrupted and steps have been taken to further protect Instinet's ongoing operations.

This week we learned that some client and employee information was accessed. This led to our decision to announce the incident today, even though the investigation is ongoing. Instinet will work closely with clients to assess the potential impact.

Instinet, like all other Nomura Group companies, remains committed to systems security, data privacy, and the protection of client and employee information.

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Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com