

Nomura Capital (India) Private Limited

Registered Office: Ceejay House, Level 11, Plot F, Shivsagar Estate, Dr. Annie Besant Road, Worli, Mumbai – 400 018, India Telephone +91 22 4037 4037 Facsimile +91 22 4037 4111 Website www.nomura.com

Date: October 18, 2024

To, The Listing Department, National Stock Exchange of India Limited Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051

Dear Sir/Madam,

<u>Sub: Regulation 27 (2) of SEBI (Listing Obligations and Disclosure Requirements)</u> <u>Regulations, 2015</u>

Pursuant to Regulations 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached the Corporate Governance Report as on September 30, 2024.

The above is for your information and records. Thanking you

For Nomura Capital (India) Private Limited

Aritree Chaudhuri Company Secretary Membership Number - A43847

Encl: As above

ANNEX I

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

Name of Listed Entity: Nomura Capital (India) Private Limited
 Quarter ended: September 30, 2024

I. Cor	nposition	of Board of D	Directors									
Title (Mr. / Ms)	Name of the Director	PAN ^{\$} & DIN	Category (Chairperso n /Executive / Non- Executive/ independe nt / Nominee)	Initial Date of Appoi nt ment	Date of Re appoin tment	Date of Cessa tion	Tenu re *	Date of Birth	No. of directorship inlisted entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Director's hip in listed entities including this listed entity [in reference e to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No of post of Chairpersonin Audit/ Stakeholder Committee held in listedentities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
	Yes	Whether Red	ular chairpers	ı son appoi	nted		1		1	[17A(1)]		
	NA	Whether Regular chairperson appointed Whether Chairperson is related to managing director or CEO										
Mr.		AIKPB0491J	Executive Director	19-Jul-	26-Sep- 2024	-	=	21-Oct- 1981	0	0	0	О
Mr.	Chua Kim	-	Non- Executive - Independent Director		26-Sep- 2024	-	56 days	21-Mar- 1969	0	0	0	0
Ms.	Renu Basu	AEKPB1378J 03550920	Non- Executive - Independent Director	06-Aug- 2024	26-Sep- 2024	-	56 days	27-Apr- 1960	0	0	0	0
Mr.			Non- Executive Director		27-Sep- 2019	12-Aug- 2024	-	2-Sep- 1978	0	0	0	0
Mr.	Swee	- 09458400	Non- Executive Director		29-Sep- 2022	24-Sep- 2024	-	5-Jul- 1973	0	0	0	0

	Akshay Gupta		HPG6003	A Executiv Director		21-Mar- 2023	23-Aug- 2023	22-Jul- 2024		14-Nov- 1983	0	0			0		0	
		\$PAN o	f any dire	ector woul	d no	t be displa	ayed on th	ne websit	e of Stoc	k Exchan	ge							
		& Categ	ory of di	rectors me	eans (executive.	- ∕non-exec	cutive/ind	dependen	t/Nomine	ee. if a di	irector fits ii	nto n	nore than	oneca	tegory write	all categories	
		separa	ting then	n with hyp	hen												3	
						lent Direc	tor. Tenur	e would	mean tot	al period	from wh	nich Indeper	nden	t director i	is serv	ing o nBoard o	of directors of the	e listed
		entity i	in contin	uity withou	ıt an	y cooling	off period			·		•						
II. Co	mposi	tion of	Commi	ttees														
Name o	Name of Committee		Whether Regular		Name of Committee members			mbers	Categor	y (Chairp	erson/			Date of		Date of Cess	sation	
		C	chairpers	son					Executiv	e/Non- E	xecutive/	Independent	: 4	Appointme	ent			
		á	appointed	d					/ Nomin	ee)\$								
Audit C	ommitte	٥٥ ١	/es		1	Mr Chua	Kim Lenc	<u> </u>			Indepen	dent Directo	or 6	-Aug-2024	4 -			
, laare o	Omminer		. 00		Mr. Chua Kim Leng Ms. Renu Basu						-Aug-2024 -							
							Seng Lia	na		ecutive D	•			8-Apr-202		24-Sep-2024		
Nomina	ition &	\	/es				Kim Leng					dent Directo		-Aug-2024				
	Remuneration					Ms. Renu		,				dent Directo		-Aug-2024				
Commit	Committee				3. Mr. Swee Seng Liang						1-Mar-202		24-Sep-2024					
Risk Ma	Risk Management		Vo		1. Mr. Chua Kim Leng					or 6	-Aug-2024							
and Investment					2. Mr. Saurabh Banglani		Executive Director			6	-Aug-2024	4 -						
Committee							Kumar Ra		Non-Executive Director				6-Dec-202		12-Aug-2024			
					4.	Mr. Swee	Seng Lia	ng	Non-Exe	ecutive D	irector		1	2-Sep-202	24 2	24-Sep-2024		
Stakeho	olders	\	⁄es		1.	Ms. Renu	Basu		Non-Exe	ecutive -	Independ	dent Directo	or 6	-Aug-2024	4 -			
Relation	nship				2. Mr. Saurabh Banglani						-Aug-2024							
Commit	ttee				3. Mr. Arun Kumar Rajappan						-Aug-2024							
							Seng Lia		Non-Exe	ecutive D	irector			2-Sep-202		24-Sep-2024		
Corpora		al [Vo				Kumar Ra			ecutive D				8-Sep-201		12-Aug-2024		
Respon					2. Mr. Swee Seng Liang						4-Mar-202		24-Sep-2024					
Commit	ttee				3.	Mr. Saura	abh Bangl	ani	Executiv	/e Directo	or		1	9-Jul-2024	4 -			
&Categ	ory of d	lirectors	s means	executive/	/non-	executive	/independ	dent/Non	ninee. if a	a director	fits into	more than	one	category v	write a	II categories :	separating them	ı with
hyphen	1																	
III. N	/leeting	of Boa	ard of D	irectors														
Date(s) of Meeting		Date(s) of Meeting		ing	ng Whether N		Num	lumber of Directors		Nur	Number of		Maximum gap betweenany two consecutive (in		in :			
(ifany) in the		J	(ifany) in the		requirement of pres		sent*		ind	independent		number of days)		·				
previou	is quarte	er	releva	nt quarter	-	Quorun	n met*	·			dire	ectors' prese	ent*			•		
						Yes / No								_				
16-May-2	6-May-2024		19-Jul-2024		Yes 2				-	-		64 days						
			6-Aug-	2024		Yes		3										
* to be f	illed in d	only for	the curr	ent quarte	er me	etings												

IV. Meetings of Cor	nmittees							
Date(s) of meeting of the committee in the relevant quarter Whether requirement of Quorum met (details)*		Number of Number of Directors independent present* directors present*		Date(s) of meeting of the committee in the previous quarter	Maximum gap betweenany two consecutive meetings in number ofdays*			
	Yes / No							
Audit Committee				·				
6-Aug-2024	Yes	3	-	16-May-2024	81 days			
Nomination and Remu	uneration Committee							
6-Aug-2024	-2024 Yes 2 -			-	-			
Stakeholders Relation	ship Committee							
-	-	-	_	-	-			
Risk Management and	Investment Commit	tee						
26-Sep-2024	Yes	2	1	19-Jun-2024	98 days			
Corporate Social Resp	onsibility Committee							
6-Aug-2024	Yes	3	_	16-May-2024	81 days			
* This information has t **to be filled in only for			nittee, for rest of the	committees giving this informa	ation is optional			
V. Related Party Tr	ansactions							
	Subject			Compliance status (Yes/No/NA) refer note below				
Whether prior approva	of audit committee ob	tained		The company is a High Value debt listed company and is in the process of taking necessary approvals. Basis the "comply or explain" till March 31, 2025, the				
Whether shareholder a	pproval obtained for ma	aterial RPT						
Whether details of RPT reviewed by the Audit	entered into pursuant t Committee	to omnibus appro	val have been	Company is in the process of complying with this requirement.				

Note:

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.

VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
- 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
 - d. Risk management committee (as applicable)

There is one vacancy in the above committees due to the resignation of one director on September 24, 2024. The company is in the process of filling this vacancy.

- 3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listingobligations and disclosure requirements) Regulations, 2015.
- 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Anycomments/observations/advice of the board of directors may be mentioned here.

Aritree Chaudhuri Company Secretary Membership Number - A43847 Date – 18 October 2024

Note:

Information at Table I and II above need to be necessarily given in the 1st quarter of each financial year. However, if there is no changeof information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given

	Details of Cyber security incidence								
cyb	ether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been er security incidents or breaches or loss of data or documents during the quarter ed September 30, 2024	No							
Oth	er details of cyber security incidence or breaches or loss of data event	N.A.							
Nur qua	nber of cyber security incidence or breaches or loss of data event occurred during the rter	N.A							
Sr.	Date of the event	Brief details of the event							
	N.A	N.A							

Aritree Chaudhuri Company Secretary Membership Number - A43847 Date – 18 October 2024