

Nomura Capital (India) Private Limited

Registered Office:
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Road, Worli, Mumbai – 400 018, India

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Website www.nomura.com

Date: October 18, 2024

To,
The Listing Department,
National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (East),
Mumbai – 400 051

Dear Sir/Madam,

Sub: Regulation 27 (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulations 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached the Corporate Governance Report as on September 30, 2024.

The above is for your information and records. Thanking you

For Nomura Capital (India) Private Limited

Aritree Chaudhuri
Company Secretary
Membership Number - A43847

Encl : As above

ANNEX I

Format of report on Corporate Governance to be submitted by a listed entity on quarterly basis

1. Name of Listed Entity: Nomura Capital (India) Private Limited
2. Quarter ended: September 30, 2024

I. Composition of Board of Directors												
Title (Mr. / Ms)	Name of the Director	PAN ^s & DIN	Category (Chairperson / Executive / Non-Executive/ independent / Nominee) &	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure *	Date of Birth	No. of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Director's hip in listed entities including this listed entity [In reference e to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
	Yes	Whether Regular chairperson appointed										
	NA	Whether Chairperson is related to managing director or CEO										
Mr.	Saurabh Banglani	AIKPB0491J10497938	Executive Director	19-Jul-2024	26-Sep-2024	-	-	21-Oct-1981	0	0	0	0
Mr.	Chua Kim Leng	-10728188	Non-Executive - Independent Director	06-Aug-2024	26-Sep-2024	-	56 days	21-Mar-1969	0	0	0	0
Ms.	Renu Basu	AEKPB1378J03550920	Non-Executive - Independent Director	06-Aug-2024	26-Sep-2024	-	56 days	27-Apr-1960	0	0	0	0
Mr.	Arun Kumar Rajappan	AFKPR0861F07943252	Non-Executive Director	28-Sep-2018	27-Sep-2019	12-Aug-2024	-	2-Sep-1978	0	0	0	0
Mr.	Swee Seng Liang	-09458400	Non-Executive Director	14-Mar-2022	29-Sep-2022	24-Sep-2024	-	5-Jul-1973	0	0	0	0

		<p>\$ PAN of any director would not be displayed on the website of Stock Exchange</p> <p>& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p>
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&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

* to be filled in only for the current quarter meetings

* to be filled in only for the current quarter meetings

IV. Meetings of Committees					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
	Yes / No				
Audit Committee					
6-Aug-2024	Yes	3	-	16-May-2024	81 days
Nomination and Remuneration Committee					
6-Aug-2024	Yes	2	-	-	-
Stakeholders Relationship Committee					
-	-	-	-	-	-
Risk Management and Investment Committee					
26-Sep-2024	Yes	2	1	19-Jun-2024	98 days
Corporate Social Responsibility Committee					
6-Aug-2024	Yes	3	-	16-May-2024	81 days
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional					
**to be filled in only for the current quarter meetings					
V. Related Party Transactions					
Subject				Compliance status (Yes/No/NA) refer note below	
Whether prior approval of audit committee obtained				The company is a High Value debt listed company and is in the process of taking necessary approvals. Basis the “comply or explain” till March 31, 2025, the Company is in the process of complying with this requirement.	
Whether shareholder approval obtained for material RPT					
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee					
Note:					
1 In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, “Yes” may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.					
2 If status is “No” details of non-compliance may be given here.					

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
 - d. Risk management committee (as applicable)
- There is one vacancy in the above committees due to the resignation of one director on September 24, 2024. The company is in the process of filling this vacancy.
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.

Aritree Chaudhuri
Company Secretary
Membership Number - A43847
Date – 18 October 2024

Note:
Information at Table I and II above need to be necessarily given in the 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter ended September 30, 2024		No
Other details of cyber security incidence or breaches or loss of data event		N.A.
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		N.A
Sr.	Date of the event	Brief details of the event
	N.A	N.A
Aritree Chaudhuri Company Secretary Membership Number - A43847 Date – 18 October 2024		