1. A brief outline of the company’s CSR policy, including overview of projects or programs proposed to be undertaken and a reference to the web-link to the CSR policy and projects or programs.

The Corporate Social Responsibility (‘CSR’) Policy of Nomura Financial Advisory and Securities (India) Private Limited (hereby referred to as ‘The Company’) has been developed in accordance with Section 135 of the Companies Act 2013 and the Companies (Corporate Social Responsibility) Rules, 2014 (hereby collectively referred to as the ‘Act’) notified by the Ministry of Corporate Affairs, Government of India. It can be viewed on the Nomura Group website: http://www.nomuraholdings.com/company/group/asia/pdf/nfas.pdf

The CSR Vision of the Company is to build relationships of trust with local communities, society and stakeholders as good corporate citizens and to contribute to developing a sustainable society for future generations.

The CSR Policy, formulated in alignment with the Vision of the Company, lays down guidelines and mechanisms to be adopted by the Company in order to carry out CSR Projects / Programs.

All CSR Projects / Programs undertaken for the Financial Year April 01, 2019 to March 31, 2020 were conceived and implemented through a focused approach towards target beneficiaries for generating maximum impact and carried out in partnership with credible implementing agencies.

In the Financial Year, the Company has supported Projects / Programs which fall under the Sectors of Healthcare, Education, Rural Development and Livelihood. Further details of the Projects / Programs undertaken can be found in Section 5 subsection (c) of this Annual Report on CSR Activities.

2. The Composition of the CSR Committee

During the FY 2019-20, the Corporate Social Responsibility Committee (‘CSR Committee’) of the Board of Directors (‘Board’) of the Company comprises of all the Directors on the Board of the Company as indicated below:

<table>
<thead>
<tr>
<th>Name of the Director</th>
<th>Nature of Directorship</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mr. Prabhat Awasthi</td>
<td>Whole time Director</td>
</tr>
<tr>
<td>Mr. John Edward Baker*</td>
<td>Director</td>
</tr>
<tr>
<td>Mr. Kishore Pitchumani Iyer</td>
<td>Whole time Director</td>
</tr>
</tbody>
</table>

*Mr. John Edward Baker resigned as a Director w.e.f. March 27, 2020

Mr. Nagarajan Sankaranarayanan becomes the member by virtue of his appointment at the board with effect from July 21, 2020.

3. Average net profit of the Company for the last three financial years

The average net profit for the Company in the Financial Year calculated as per Section 198 of the Act read with the Companies (Corporate Social Responsibility) Rules thereof (‘average net profit’) accrued during the three immediately preceding Financial Years amounts to INR 1,238,427,252.5/-

<table>
<thead>
<tr>
<th>Financial Year</th>
<th>Net Profits for Computation of CSR (Amounts in INR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016-17</td>
<td>961,168,764.2</td>
</tr>
<tr>
<td>2017-18</td>
<td>1,273,714,097.6</td>
</tr>
<tr>
<td>2018-19</td>
<td>1,480,388,895.9</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>3,715,271,757.7</strong></td>
</tr>
</tbody>
</table>
4. **Prescribed CSR Expenditure (two per cent. of the amount as in item 3 above)**
   The Prescribed CSR Expenditure (two per cent. of the average net profit) amounts to INR 24,768,545/-. 

5. **Details of CSR spend during the financial year**

   (a) **Total amount to be spent for the financial year:**
   During the Financial Year, the Company allocated CSR Expenditure INR 24,844,259/- towards CSR surpassing the mandate to spend 2% of the average net profit.

   (b) **Amount unspent, if any:**
   The Company spent all the prescribed CSR expenditure towards CSR activities.

   (c) **Manner in which the amount spent during the financial year is detailed below:**
<table>
<thead>
<tr>
<th>Sl. No.</th>
<th>CSR project/activity identified</th>
<th>Sector in which the Project is covered</th>
<th>Projects/Programs</th>
<th>Amount spent on the project/programme Subheads</th>
<th>Cumulative spend up to the reporting period</th>
</tr>
</thead>
</table>
| 1      | Providing mid-day meals to underprivileged children in Guwahati to increase attendance and enrollment in Government Schools | Education | 1. Others  
2. Guwahati, Assam | 1. Direct Expenditure  
2. Overheads | 27,50,000 |
|        |                                 |                                         |                   |                                               | 27,50,000                                |
| 2      | Providing training to Teachers in Low Income affordable Private Schools and/or Government Schools in Mumbai | Education | 1. Local  
2. Mumbai, Maharashtra | 1. 8,00,000  
2. -- | 8,00,000 |
|        |                                 |                                         |                   |                                               | 8,00,000                                 |
| 3      | Providing students from rural Maharashtra with career based training and placement in jobs in the service oriented sectors | Livelihood | 3. Local  
4. Thane, Maharashtra | 1. 10,00,000  
2. -- | 10,00,000 |
|        |                                 |                                         |                   |                                               | 10,00,000                                |

Through an implementing agency:  
The Akshaya Patra Foundation registered in 2001 under the Indian Trusts Act, 1882 and having its Registered Office at HK Hill, West of Chord Road, Rajaji Nagar, Bengaluru 560010, India  
Shradhna Trust—an educational not-for-profit Trust, registered under the Karnataka Societies Registration Act 1960, having its Registered Office at 37/10, Yellappa Chetty Layout, Ulsoor Road, Bangalore 560042, India  
Magic Bus India Foundation, registered in 2001 under Section 25 of the Companies Act, 1956 and having its registered office at 3rd floor, J K Textiles situated at Mehra Estate, LBS
<table>
<thead>
<tr>
<th></th>
<th>Project Description</th>
<th>Sector</th>
<th>Location</th>
<th>Amount</th>
<th>1.</th>
<th>2.</th>
<th>Total</th>
</tr>
</thead>
</table>
| 4 | Refurbishing an existing school to provide a better educational environment for the children and increase its capacity to accept more students | Education, Rural development | 1. Local
2. Thane, Maharashtra | 67,00,000 | 1. 67,00,000 | 2. - | 67,00,000 |
|   |                                                                                     |               |                                       |         |        |      |            |
| 5 | Supporting the education, food and healthcare for destitute children                 | Education, healthcare | 1. Local
2. Mumbai, Maharashtra | 40,00,000 | 1. 40,00,000 | 2. - | 40,00,000 |
|   |                                                                                     |               |                                       |         |        |      |            |
| 6 | Re-development of an existing old structure of school which included smart class set up of 28 classrooms and installation of close circuit TV monitoring and security system | Education | 1. Local
2. Mumbai, Maharashtra | 75,00,000 | 1. 75,00,000 | 2. - | 75,00,000 |
|   |                                                                                     |               |                                       |         |        |      |            |
| 7 | Bharat Ke Veer- Donating to the brave hearts                                         |               |                                       | 5,00,000 | 5,00,000 | 5,00,000 | Direct |
| 8 | Program Facilitation Cost                                                           |               |                                       | 15,94,259 | 15,94,259 | 15,94,259 | Direct |
6. In case the company has failed to spend the two per cent of the average net profit of the last three financial years or any part thereof, the company shall provide the reasons for not spending the amount in its Board report.

The Company has successfully met its prescribed CSR expenditure for the financial year 2019-20.

7. A responsibility statement of the CSR Committee that the implementation and monitoring of CSR Policy, is in compliance with CSR objectives and Policy of the company

Through this report, the Company seeks to communicate its commitment towards CSR. The implementation and monitoring of the CSR Policy is in compliance with the CSR objectives and policy as laid down in this report. The Board of the Company and the CSR Committee is responsible for the integrity and the objectivity of all the information provided in the disclosure above. All projects reported are in line with the Schedule VII of the Companies Act 2013. In line with the requirements of the Section 135, the Company has also established a monitoring mechanism to track the progress of its CSR projects.

For and behalf of the Board of Directors

PRABHAT AWASTHI

Kishore Iyer

Prabhat Awasthi
Whole-time Director
DIN: 02344532

Kishore Pitchumani Iyer
Whole-time Director
DIN: 01181606

Mumbai
July 28, 2020