

## Nomura Fixed Income Securities Limited

(formerly known as Nomura Fixed Income Securities Private Limited)

### Registered Office:

Ceejay House, 11<sup>th</sup> Level, Plot F,  
Shivsagar Estate, Dr. Annie Besant Road, Worli,  
Worli, Mumbai, Maharashtra, India, 400 018.

**Telephone** +91 22 4037 4037

**Facsimile** +91 22 4037 4111

**Website** www.nomura.com

**To,**  
**The Listing Department,**  
**National Stock Exchange of India Limited**  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051

**Subject:** Compliance under Regulation 6(1) and 7(1) of the SEBI (LODR) Regulations, 2015.

Dear Sir/Madam,

Pursuant to Regulation 6(1) & 7(1) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with guidance note on SEBI circular no. SEBI/HO/DDHS/DDHS/CIR/P/2020/231 dated November 13, 2020, please note the below given details of the Compliance Officer and Registrar and Share Transfer Agent (RTA) of Nomura Fixed Income Securities Limited (the Company) **for the quarter ended June 30, 2024:**

Details of Compliance Officer					
Quarter	Name of Compliance officer	Whether compliance officer qualified company secretary (Yes/No)	Membership No.	Date of Appointment	Date of resignation, if any
June-2024	Mr. Jaideep Singh Sethi	Yes	A38290	May 21, 2024	NA
Details of RTA					
Quarter	Name of the Entity	Name of RTA	Date of Appointment	Date of cessation/ change, if any	
June-2024	Nomura Fixed Income Securities Limited	KFin Technologies Limited  <b>Investor Grievance Email:</b> <a href="mailto:einward.ris@kfintech.com">einward.ris@kfintech.com</a>  <b>SEBI Registration No.:</b> INR000000221	From the date of issuance of NCDs till date	NA	

Request you to take note of the same.

Sincerely,

**For and on behalf of Nomura Fixed Income Securities Limited**

**Jaideep Singh Sethi**  
**Company Secretary**  
**Membership No. A38290**

**Place:** Mumbai

**Date:** July 9, 2024