April 30, 2025

Nomura Holdings, Inc.

Corrections of Pillar 3 Regulatory Capital and Liquidity Requirements Disclosures

Nomura Holdings, Inc. today announced the following corrections of its "Pillar 3 Regulatory Capital and Liquidity Requirements Disclosures" in every quarter from as of September 30, 2021 to as of September 30, 2024.

The corrections are related to the under-estimation of the required stable funding for loans on Consolidated Net Stable Funding Ratio.

[As of September 30, 2024]

PART 1: NHI Consolidated Regulatory Capital Disclosures

CHAPTER 3 QUANTITATIVE DISCLOSURE

3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key Metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2025, 2nd Quarter	Fiscal year ended March 2025, 1st Quarter	Fiscal year ended March 2024, 4th Quarter	Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	19,915,220	20,265,525	18,740,272	17,955,343	17,449,924
19	Required stable funding	17,288,314	18,391,000	16,917,558	15,675,465	15,564,259
20	NSFR ratio (%)	115.1%	110.1%	110.7%	114.5%	112.1%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2025, 2nd Quarter	Fiscal year ended March 2025, 1st Quarter	Fiscal year ended March 2024, 4th Quarter	Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	19,915,220	20,265,525	18,740,272	17,955,343	17,449,924
19	Required stable funding	17,532,102	18,626,590	17,119,893	15,861,468	15,742,822
20	NSFR ratio (%)	113.5%	108.7%	109.4%	113.2%	110.8%

PART 2: NHI Consolidated Liquidity Requirements Disclosures

CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2025, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2025, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,321,132	21,440,610	2,306,520	6,641,269	11,045,443	3,476,708	22,846,734	2,816,706	7,285,200	11,990,726
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,673,081	7,843,877	1,261,990	3,128,921	5,399,451	1,634,233	7,398,945	1,584,139	3,669,952	5,977,521
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	-	1,429,470	211,601	1,258,362	1,609,521	9,611	2,502,357	229,679	1,242,985	1,591,917
33	Total required stable funding					17,288,314					18,391,000
34	Consolidated net stable funding ratio					115.1%					110.1%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2025, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2025, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,321,132	21,440,610	2,306,520	6,641,269	11,289,231	3,476,708	22,846,734	2,816,706	7,285,200	12,226,315
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,464,402	7,539,596	927,138	3,070,348	5,096,508	1,443,275	7,073,429	1,308,544	3,589,874	5,682,175
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	208,679	1,733,751	546,453	1,316,935	2,156,251	200,569	2,827,873	505,273	1,323,063	2,122,853
33	Total required stable funding					17,532,102					18,626,590
34	Consolidated net stable funding ratio					113.5%					108.7%

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2024, Nomura Group's total available stable funding decreased by 350,305 million yen to 19,915,220 million yen compared with the first quarter, and total required stable funding decreased by 1,102,686 million yen to 17,288,314 million yen compared with the first quarter. Available stable funding related to wholesale funding decreased by 270,422million yen to 15,199,296 million yen compared with the first quarter, required stable funding related to loans, repo type transaction, securities and other similar items decreased by 945,283 million yen to 11,045,443 million yen compared with the first quarter, required stable funding related to other assets etc decreased by 80,480 million yen to 3,593,948 million yen compared with the first quarter.

As a result, as of September 30, 2024, the consolidated net stable funding ratio was at 115.1%.

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2024, Nomura Group's total available stable funding decreased by 350,305 million yen to 19,915,220 million yen compared with the first quarter, and total required stable funding decreased by 1,094,488 million yen to 17,532,102 million yen compared with the first quarter. Available stable funding related to wholesale funding decreased by 270,422million yen to 15,199,296 million yen compared with the first quarter, required stable funding related to loans, repo type transaction, securities and other similar items decreased by 937,085 million yen to 11,289,231 million yen compared with the first quarter, required stable funding related to other assets etc decreased by 80,480 million yen to 3,593,948 million yen compared with the first quarter.

As a result, as of September 30, 2024, the consolidated net stable funding ratio was at 113.5%.

[As of June 30, 2024]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
1. Quantitative Disclosure

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2025, 1st Quarter	Fiscal year ended March 2024, 4th Quarter	Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter
Net Stable Funding Ratio						
18	Available stable funding	20,265,525	18,740,272	17,955,343	17,449,924	16,719,876
19	Required stable funding	18,391,000	16,917,558	15,675,465	15,564,259	14,646,910
20	NSFR ratio (%)	110.1%	110.7%	114.5%	112.1%	114.1%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2025, 1st Quarter	Fiscal year ended March 2024, 4th Quarter	Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter
Net Stable Funding Ratio						
18	Available stable funding	20,265,525	18,740,272	17,955,343	17,449,924	16,719,876
19	Required stable funding	18,626,590	17,119,893	15,861,468	15,742,822	14,823,098
20	NSFR ratio (%)	108.7%	109.4%	113.2%	110.8%	112.7%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 2 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2025, 1st Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 4th Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,476,708	22,846,734	2,816,706	7,285,200	11,990,726	3,363,575	21,251,667	2,606,679	6,696,787	11,061,271
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,634,233	7,398,945	1,584,139	3,669,952	5,977,521	1,671,112	7,366,710	1,383,517	3,295,315	5,489,508
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	9,611	2,502,357	229,679	1,242,985	1,591,917	9,731	1,887,329	164,433	1,040,898	1,375,747
33	Total required stable funding					18,391,000					16,917,558
34	Consolidated net stable funding ratio					110.1%					110.7%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2025, 1st Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 4th Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,476,708	22,846,734	2,816,706	7,285,200	12,226,315	3,363,575	21,251,667	2,606,679	6,696,787	11,263,606
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,443,275	7,073,429	1,308,544	3,589,874	5,682,175	1,494,287	7,098,525	1,090,697	3,193,267	5,174,299
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	200,569	2,827,873	505,273	1,323,063	2,122,853	186,555	2,155,514	457,254	1,142,945	1,893,291
33	Total required stable funding					18,626,590					17,119,893
34	Consolidated net stable funding ratio					108.7%					109.4%

[As of March 31, 2024]

PART 1: NHI Consolidated Capital Ratios

CHAPTER 3 QUANTITATIVE DISCLOSURE

3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 4th Quarter	Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter
Net Stable Funding Ratio						
18	Available stable funding	18,740,272	17,955,343	17,449,924	16,719,876	15,971,165
19	Required stable funding	16,917,558	15,675,465	15,564,259	14,646,910	13,317,821
20	NSFR ratio (%)	110.7%	114.5%	112.1%	114.1%	119.9%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 4th Quarter	Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter
Net Stable Funding Ratio						
18	Available stable funding	18,740,272	17,955,343	17,449,924	16,719,876	15,971,165
19	Required stable funding	17,119,893	15,861,468	15,742,822	14,823,098	13,465,716
20	NSFR ratio (%)	109.4%	113.2%	110.8%	112.7%	118.6%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 4th Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 3rd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
Available stable funding (1)											
17	Loans, repo type transaction, securities and other similar items	3,363,575	21,251,667	2,606,679	6,696,787	11,061,271	3,215,693	21,067,743	2,263,058	5,987,737	10,185,313
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,671,112	7,366,710	1,383,517	3,295,315	5,489,508	1,451,401	6,905,861	1,026,762	3,050,650	4,927,511
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	9,731	1,887,329	164,433	1,040,898	1,375,747	6,666	2,248,504	200,400	911,518	1,217,526
33	Total required stable funding					16,917,558					15,675,465
34	Consolidated net stable funding ratio					110.7%					114.5%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 4th Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 3rd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,363,575	21,251,667	2,606,679	6,696,787	11,263,606	3,215,693	21,067,743	2,263,058	5,987,737	10,371,316
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,494,287	7,098,525	1,090,697	3,193,267	5,174,299	1,293,264	6,658,793	707,745	2,976,212	4,632,784
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	186,555	2,155,514	457,254	1,142,945	1,893,291	164,802	2,495,572	519,417	985,957	1,698,256
33	Total required stable funding					17,119,893					15,861,468
34	Consolidated net stable funding ratio					109.4%					113.2%

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of March 31, 2024, Nomura Group's total available stable funding increased by 784,929 million yen to 18,740,272 million yen compared with the third quarter, and total required stable funding increased by 1,242,093 million yen to 16,917,558 million yen compared with the third quarter. Available stable funding related to wholesale funding increased by 627,267million yen to 14,146,793 million yen compared with the third quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 875,958 million yen to 11,061,271 million yen compared with the third quarter, current assets increased by 296,396 million yen to 2,139,061 million yen compared with the third quarter.

As a result, as of March 31, 2024, the consolidated net stable funding ratio was at 110.7%.

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of March 31, 2024, Nomura Group's total available stable funding increased by 784,929 million yen to 18,740,272 million yen compared with the third quarter, and total required stable funding increased by 1,258,425 million yen to 17,119,893 million yen compared with the third quarter. Available stable funding related to wholesale funding increased by 627,267million yen to 14,146,793 million yen compared with the third quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 892,289 million yen to 11,263,606 million yen compared with the third quarter, current assets increased by 296,396 million yen to 2,139,061 million yen compared with the third quarter.

As a result, as of March 31, 2024, the consolidated net stable funding ratio was at 109.4%.

[As of December 31, 2023]

PART 1: NHI Consolidated Capital Ratios
CHAPTER 3 QUANTITATIVE DISCLOSURE
1. Quantitative Disclosure
(1) Key metrics
(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter
Net Stable Funding Ratio						
18	Available stable funding	17,955,343	17,449,924	16,719,876	15,971,165	15,323,658
19	Required stable funding	15,675,465	15,564,259	14,646,910	13,317,821	12,602,939
20	NSFR ratio (%)	114.5%	112.1%	114.1%	119.9%	121.5%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 3rd Quarter	Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter
Net Stable Funding Ratio						
18	Available stable funding	17,955,343	17,449,924	16,719,876	15,971,165	15,323,658
19	Required stable funding	15,861,468	15,742,822	14,823,098	13,465,716	12,756,056
20	NSFR ratio (%)	113.2%	110.8%	112.7%	118.6%	120.1%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 2 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO
(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 3rd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 2nd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,215,693	21,067,743	2,263,058	5,987,737	10,185,313	3,089,928	22,054,546	2,215,782	5,942,108	9,979,045
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,451,401	6,905,861	1,026,762	3,050,650	4,927,511	1,591,223	6,653,064	1,114,860	3,018,005	4,983,449
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	6,666	2,248,504	200,400	911,518	1,217,526	10,191	1,855,816	226,110	795,525	1,149,858
33	Total required stable funding					15,675,465					15,564,259
34	Consolidated net stable funding ratio					114.5%					112.1%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 3rd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 2nd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,215,693	21,067,743	2,263,058	5,987,737	10,371,316	3,089,928	22,054,546	2,215,782	5,942,108	10,157,609
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,293,264	6,658,793	707,745	2,976,212	4,632,784	1,447,240	6,400,268	830,717	2,946,652	4,710,508
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	164,802	2,495,572	519,417	985,957	1,698,256	154,174	2,108,612	510,253	866,877	1,601,363
33	Total required stable funding					15,861,468					15,742,822
34	Consolidated net stable funding ratio					113.2%					110.8%

[As of September 30, 2023]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	17,449,924	16,719,876	15,971,165	15,323,658	15,736,865
19	Required stable funding	15,564,259	14,646,910	13,317,821	12,602,939	13,893,170
20	NSFR ratio (%)	112.1%	114.1%	119.9%	121.5%	113.2%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 2nd Quarter	Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	17,449,924	16,719,876	15,971,165	15,323,658	15,736,865
19	Required stable funding	15,742,822	14,823,098	13,465,716	12,756,056	14,043,200
20	NSFR ratio (%)	110.8%	112.7%	118.6%	120.1%	112.0%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	3,089,928	22,054,546	2,215,782	5,942,108	9,979,045	2,954,090	19,758,738	2,121,626	5,286,475	9,196,269
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,591,223	6,653,064	1,114,860	3,018,005	4,983,449	1,352,326	6,054,764	1,077,212	2,496,671	4,287,322
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	10,191	1,855,816	226,110	795,525	1,149,858	7,960	2,181,029	226,704	816,618	1,144,692
33	Total required stable funding					15,564,259					14,646,910
34	Consolidated net stable funding ratio					121.1%					114.1%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2024, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
Available stable funding (1)											
17	Loans, repo type transaction, securities and other similar items	3,089,928	22,054,546	2,215,782	5,942,108	10,157,609	2,954,090	19,758,738	2,121,626	5,286,475	9,372,457
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,447,240	6,400,268	830,717	2,946,652	4,710,508	1,224,227	5,774,335	844,999	2,419,124	4,032,389
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	154,174	2,108,612	510,253	866,877	1,601,363	136,060	2,461,458	458,916	894,165	1,575,813
33	Total required stable funding					15,742,822					14,823,098
34	Consolidated net stable funding ratio					110.8%					112.7%

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2023, Nomura Group's total available stable funding increased by 730,048 million yen to 17,449,924 million yen compared with the first quarter, and total required stable funding increased by 917,349 million yen to 15,564,259 million yen compared with the first quarter. Available stable funding related to wholesale funding increased by 699,871 million yen to 13,038,728 million yen compared with the first quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 782,776 million yen to 9,979,045 million yen compared with the first quarter, required stable funding related to other assets etc increased by 150,293 million yen to 3,323,614 million yen compared with the first quarter.

As a result, as of September 30, 2023, the consolidated net stable funding ratio was at 112.1%

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2023, Nomura Group's total available stable funding increased by 730,048 million yen to 17,449,924 million yen compared with the first quarter, and total required stable funding increased by 919,725 million yen to 15,742,822 million yen compared with the first quarter. Available stable funding related to wholesale funding increased by 699,871 million yen to 13,038,728 million yen compared with the first quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 785,152 million yen to 10,157,609 million yen compared with the first quarter, required stable funding related to other assets etc increased by 150,293 million yen to 3,323,614 million yen compared with the first quarter.

As a result, as of September 30, 2023, the consolidated net stable funding ratio was at 110.8%.

[As of June 30, 2023]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
1. Quantitative Disclosure

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter
Net Stable Funding Ratio						
18	Available stable funding	16,719,876	15,971,165	15,323,658	15,736,865	15,024,604
19	Required stable funding	14,646,910	13,317,821	12,602,939	13,893,170	13,030,889
20	NSFR ratio (%)	114.1%	119.9%	121.5%	113.2%	115.2%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2024, 1st Quarter	Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter
Net Stable Funding Ratio						
18	Available stable funding	16,719,876	15,971,165	15,323,658	15,736,865	15,024,604
19	Required stable funding	14,823,098	13,465,716	12,756,056	14,043,200	13,183,816
20	NSFR ratio (%)	112.7%	118.6%	120.1%	112.0%	113.9%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 2 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 1st Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 4th Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,954,090	19,758,738	2,121,626	5,286,475	9,196,269	2,453,640	18,618,767	1,936,368	4,786,316	8,316,918
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,352,326	6,054,764	1,077,212	2,496,671	4,287,322	1,057,192	6,066,778	966,839	2,172,601	3,830,705
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	7,960	2,181,029	226,704	816,618	1,144,692	8,034	1,491,362	146,442	764,368	1,039,058
33	Total required stable funding					14,646,910					13,317,821
34	Consolidated net stable funding ratio					114.1%					119.9%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2024, 1st Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 4th Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,954,090	19,758,738	2,121,626	5,286,475	9,372,457	2,453,640	18,618,767	1,936,368	4,786,316	8,464,813
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,224,227	5,774,335	844,999	2,419,124	4,032,389	934,445	5,852,708	684,058	2,086,245	3,552,435
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	136,060	2,461,458	458,916	894,165	1,575,813	130,782	1,705,432	429,223	850,725	1,465,221
33	Total required stable funding					14,823,098					13,465,716
34	Consolidated net stable funding ratio					112.7%					118.6%

[As of March 31, 2023]

PART 1: NHI Consolidated Capital Ratios
CHAPTER 3 QUANTITATIVE DISCLOSURE
3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,971,165	15,323,658	15,736,865	15,024,604	14,338,537
19	Required stable funding	13,317,821	12,602,939	13,893,170	13,030,889	12,339,405
20	NSFR ratio (%)	119.9%	121.5%	113.2%	115.2%	116.2%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 4th Quarter	Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,971,165	15,323,658	15,736,865	15,024,604	14,338,537
19	Required stable funding	13,465,716	12,756,056	14,043,200	13,183,816	12,471,124
20	NSFR ratio (%)	118.6%	120.1%	112.0%	113.9%	114.9%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 4th Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 3rd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,453,640	18,618,767	1,936,368	4,786,316	8,316,918	2,438,382	20,609,967	1,760,766	4,467,086	7,873,536
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,057,192	6,066,778	966,839	2,172,601	3,830,705	1,093,442	5,836,939	1,091,044	2,014,002	3,688,324
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	8,034	1,491,362	146,442	764,368	1,039,058	12,209	1,891,250	105,669	709,892	1,019,347
33	Total required stable funding					13,317,821					12,602,939
34	Consolidated net stable funding ratio					119.9%					121.5%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 4th Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 3rd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,453,640	18,618,767	1,936,368	4,786,316	8,464,813	2,438,382	20,609,967	1,760,766	4,467,086	8,026,653
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	934,445	5,852,708	684,058	2,086,245	3,552,435	980,110	5,600,345	821,921	1,953,846	3,441,117
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	130,782	1,705,432	429,223	850,725	1,465,221	125,541	2,127,844	374,792	770,048	1,419,670
33	Total required stable funding					13,465,716					12,756,056
34	Consolidated net stable funding ratio					118.6%					120.1%

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of March 31, 2023, Nomura Group's total available stable funding increased by 647,506 million yen to 15,971,165 million yen compared with the third quarter, and total required stable funding increased by 714,882 million yen to 13,317,821 million yen compared with the third quarter. Available stable funding related to wholesale funding increased by 589,898 million yen to 11,698,750 million yen compared with the third quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 443,383 million yen to 8,316,918 million yen compared with the third quarter, required stable funding related to other assets etc increased by 154,747 million yen to 2,997,868 million yen compared with the third quarter.

As a result, as of March 31, 2023, the consolidated net stable funding ratio was at 119.9%.

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of March 31, 2023, Nomura Group's total available stable funding increased by 647,506 million yen to 15,971,165 million yen compared with the third quarter, and total required stable funding increased by 709,659 million yen to 13,465,716 million yen compared with the third quarter. Available stable funding related to wholesale funding increased by 589,898 million yen to 11,698,750 million yen compared with the third quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 438,160 million yen to 8,464,813 million yen compared with the third quarter, required stable funding related to other assets etc increased by 154,747 million yen to 2,997,868 million yen compared with the third quarter.

As a result, as of March 31, 2023, the consolidated net stable funding ratio was at 118.6%.

[As of December 31, 2022]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
1. Quantitative Disclosure
(1) Key metrics
(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,323,658	15,736,865	15,024,604	14,338,537	13,684,744
19	Required stable funding	12,602,939	13,893,170	13,030,889	12,339,405	11,565,782
20	NSFR ratio (%)	121.5%	113.2%	115.2%	116.2%	118.3%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 3rd Quarter	Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,323,658	15,736,865	15,024,604	14,338,537	13,684,744
19	Required stable funding	12,756,056	14,043,200	13,183,816	12,471,124	11,684,209
20	NSFR ratio (%)	120.1%	112.0%	113.9%	114.9%	117.1%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 2 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO
(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 3rd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 2nd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,438,382	20,609,967	1,760,766	4,467,086	7,873,536	2,873,517	21,438,078	1,886,659	4,821,064	8,650,444
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,093,442	5,836,939	1,091,044	2,014,002	3,688,324	1,315,629	6,533,559	1,088,628	2,270,215	4,070,983
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	12,209	1,891,250	105,669	709,892	1,019,347	10,010	1,417,689	119,680	752,613	1,010,082
33	Total required stable funding					12,602,939					13,893,170
34	Consolidated net stable funding ratio					121.5%					113.2%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 3rd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 2nd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,438,382	20,609,967	1,760,766	4,467,086	8,026,653	2,873,517	21,438,078	1,886,659	4,821,064	8,800,473
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	980,110	5,600,345	821,921	1,953,846	3,441,117	1,209,091	6,285,363	872,252	2,194,115	3,833,485
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	125,541	2,127,844	374,792	770,048	1,419,670	116,547	1,665,884	336,055	828,713	1,397,610
33	Total required stable funding					12,756,056					14,043,200
34	Consolidated net stable funding ratio					120.1%					112.0%

[As of September 30, 2022]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,736,865	15,024,604	14,338,537	13,684,744	13,089,893
19	Required stable funding	13,893,170	13,030,889	12,339,405	11,565,782	11,149,781
20	NSFR ratio (%)	113.2%	115.2%	116.2%	118.3%	117.4%

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 2nd Quarter	Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,736,865	15,024,604	14,338,537	13,684,744	13,089,893
19	Required stable funding	14,043,200	13,183,816	12,471,124	11,684,209	11,268,507
20	NSFR ratio (%)	112.0%	113.9%	114.9%	117.1%	116.1%

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,873,517	21,438,078	1,886,659	4,821,064	8,650,444	2,661,246	20,277,758	1,527,818	4,518,624	8,157,646
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,315,629	6,533,559	1,088,628	2,270,215	4,070,983	1,104,720	6,838,144	843,210	2,005,832	3,741,864
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	10,010	1,417,689	119,680	752,613	1,010,082	17,683	1,756,192	83,476	697,293	927,099
33	Total required stable funding					13,893,170					13,030,889
34	Consolidated net stable funding ratio					113.2%					115.2%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2023, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,873,517	21,438,078	1,886,659	4,821,064	8,800,473	2,661,246	20,277,758	1,527,818	4,518,624	8,310,574
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,209,091	6,285,363	872,252	2,194,115	3,833,485	1,004,705	6,575,453	654,235	1,945,666	3,532,804
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	116,547	1,665,884	336,055	828,713	1,397,610	117,697	2,018,883	272,452	757,459	1,289,087
33	Total required stable funding					14,043,200					13,183,816
34	Consolidated net stable funding ratio					112.0%					113.9%

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2022, Nomura Group's total available stable funding increased by 712,261 million yen to 15,736,865 million yen compared with the first quarter, and total required stable funding increased by 862,281 million yen to 13,893,170 million yen compared with the first quarter. Available stable funding related to wholesale funding increased by 658,383 million yen to 11,461,934 million yen compared with the first quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 492,798 million yen to 8,650,444 million yen compared with the first quarter, required stable funding related to other assets etc. increased by 201,376 million yen to 3,341,905 million yen compared with the first quarter.

As a result, as of September 30, 2022, the consolidated net stable funding ratio was at 113.2%.

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2022, Nomura Group's total available stable funding increased by 712,261 million yen to 15,736,865 million yen compared with the first quarter, and total required stable funding increased by 859,384 million yen to 14,043,200 million yen compared with the first quarter. Available stable funding related to wholesale funding increased by 658,383 million yen to 11,461,934 million yen compared with the first quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 489,900 million yen to 8,800,473 million yen compared with the first quarter, required stable funding related to other assets etc. increased by 201,376 million yen to 3,341,905 million yen compared with the first quarter.

As a result, as of September 30, 2022, the consolidated net stable funding ratio was at 112.0%.

[As of June 30, 2022]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
1. Quantitative Disclosure
(1) Key metrics
(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,024,604	14,338,537	13,684,744	13,089,893	
19	Required stable funding	13,030,889	12,339,405	11,565,782	11,149,781	
20	NSFR ratio (%)	115.2%	116.2%	118.3%	117.4%	

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2023, 1st Quarter	Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter
Net Stable Funding Ratio						
18	Available stable funding	15,024,604	14,338,537	13,684,744	13,089,893	
19	Required stable funding	13,183,816	12,471,124	11,684,209	11,268,507	
20	NSFR ratio (%)	113.9%	114.9%	117.1%	116.1%	

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 2 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO
(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 1st Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 4th Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,661,246	20,277,758	1,527,818	4,518,624	8,157,646	2,640,232	17,397,341	1,667,442	4,218,849	7,762,900
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,104,720	6,838,144	843,210	2,005,832	3,741,864	1,010,550	5,690,875	1,029,804	1,765,512	3,406,967
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	17,683	1,756,192	83,476	697,293	927,099	16,925	1,552,142	118,355	646,188	864,560
33	Total required stable funding					13,030,889					12,339,405
34	Consolidated net stable funding ratio					115.2%					116.2%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2023, 1st Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 4th Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,661,246	20,277,758	1,527,818	4,518,624	8,310,574	2,640,232	17,397,341	1,667,442	4,218,849	7,894,619
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,004,705	6,575,453	654,235	1,945,666	3,532,804	913,661	5,479,359	804,725	1,697,951	3,180,606
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	117,697	2,018,883	272,452	757,459	1,289,087	113,814	1,763,658	343,434	713,749	1,222,640
33	Total required stable funding					13,183,816					12,471,124
34	Consolidated net stable funding ratio					113.9%					114.9%

[As of March 31, 2022]

PART 1: NHI Consolidated Capital Ratios

CHAPTER 3 QUANTITATIVE DISCLOSURE

3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter	Fiscal year ended March 2021, 4th Quarter
Net Stable Funding Ratio						
18	Available stable funding	14,338,537	13,684,744	13,089,893		
19	Required stable funding	12,339,405	11,565,782	11,149,781		
20	NSFR ratio (%)	116.2%	118.3%	117.4%		

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2022, 4th Quarter	Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter	Fiscal year ended March 2021, 4th Quarter
Net Stable Funding Ratio						
18	Available stable funding	14,338,537	13,684,744	13,089,893		
19	Required stable funding	12,471,124	11,684,209	11,268,507		
20	NSFR ratio (%)	114.9%	117.1%	116.1%		

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2022, 4th Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 3rd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,640,232	17,397,341	1,667,442	4,218,849	7,762,900	2,500,051	18,876,423	1,543,524	3,645,203	7,115,252
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	1,010,550	5,690,875	1,029,804	1,765,512	3,406,967	856,950	5,441,557	998,724	1,548,512	3,111,823
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	16,925	1,552,142	118,355	646,188	864,560	15,820	1,559,921	68,668	619,630	811,511
33	Total required stable funding					12,339,405					11,565,782
34	Consolidated net stable funding ratio					116.2%					118.3%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2022, 4th Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 3rd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,640,232	17,397,341	1,667,442	4,218,849	7,894,619	2,500,051	18,876,423	1,543,524	3,645,203	7,233,679
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	913,661	5,479,359	804,725	1,697,951	3,180,606	766,129	5,265,216	774,756	1,502,730	2,913,983
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	113,814	1,763,658	343,434	713,749	1,222,640	106,642	1,736,263	292,635	665,412	1,127,779
33	Total required stable funding					12,471,124					11,684,209
34	Consolidated net stable funding ratio					114.9%					117.1%

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of March 31, 2022, Nomura Group's total available stable funding increased by 653,793 million yen to 14,338,537 million yen compared with the third quarter, and total required stable funding increased by 773,623 million yen to 12,339,405 million yen compared with the third quarter. Available stable funding related to wholesale funding increased by 650,079 million yen to 10,382,405 million yen compared with the third quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 647,648 million yen to 7,762,900 million yen compared with the third quarter, required stable funding related to other assets etc increased by 235,936 million yen to 2,941,726 million yen compared with the third quarter.

As a result, as of March 31, 2022, the consolidated net stable funding ratio was at 116.2%.

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of March 31, 2022, Nomura Group's total available stable funding increased by 653,793 million yen to 14,338,537 million yen compared with the third quarter, and total required stable funding increased by 786,915 million yen to 12,471,124 million yen compared with the third quarter. Available stable funding related to wholesale funding increased by 650,079 million yen to 10,382,405 million yen compared with the third quarter, required stable funding related to loans, repo type transaction, securities and other similar items increased by 660,940 million yen to 7,894,619 million yen compared with the third quarter, required stable funding related to other assets etc increased by 235,936 million yen to 2,941,726 million yen compared with the third quarter.

As a result, as of March 31, 2022, the consolidated net stable funding ratio was at 114.9%.

[As of December 31, 2021]

PART 1: NHI Consolidated Regulatory Capital Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE
1. Quantitative Disclosure

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter	Fiscal year ended March 2021, 4th Quarter	Fiscal year ended March 2021, 3rd Quarter
Net Stable Funding Ratio						
18	Available stable funding	13,684,744	13,089,893			
19	Required stable funding	11,565,782	11,149,781			
20	NSFR ratio (%)	118.3%	117.4%			

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2022, 3rd Quarter	Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter	Fiscal year ended March 2021, 4th Quarter	Fiscal year ended March 2021, 3rd Quarter
Net Stable Funding Ratio						
18	Available stable funding	13,684,744	13,089,893			
19	Required stable funding	11,684,209	11,268,507			
20	NSFR ratio (%)	117.1%	116.1%			

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 2 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2022, 3rd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 2nd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,500,051	18,876,423	1,543,524	3,645,203	7,115,252	2,296,520	17,003,746	1,473,986	3,594,325	6,853,771
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	856,950	5,441,557	998,724	1,548,512	3,111,823	739,773	5,594,851	893,007	1,378,440	2,876,794
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	15,820	1,559,921	68,668	619,630	811,511	15,462	1,530,896	84,724	532,892	734,679
33	Total required stable funding					11,565,782					11,149,781
34	Consolidated net stable funding ratio					118.3%					117.4%

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2022, 3rd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 2nd Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,500,051	18,876,423	1,543,524	3,645,203	7,233,679	2,296,520	17,003,746	1,473,986	3,594,325	6,972,496
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	766,129	5,265,216	774,756	1,502,730	2,913,983	657,656	5,396,573	698,223	1,324,076	2,682,979
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	106,642	1,736,263	292,635	665,412	1,127,779	97,579	1,729,174	279,508	587,256	1,047,219
33	Total required stable funding					11,684,209					11,268,507
34	Consolidated net stable funding ratio					117.1%					116.1%

[As of September 30, 2021]

PART 1: NHI Consolidated Capital Ratios
CHAPTER 3 QUANTITATIVE DISCLOSURE
3. Quantitative Disclosure based on Annex No. 2 and No. 7 of Pillar 3 Notice

(1) Key metrics

(Before Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter	Fiscal year ended March 2021, 4th Quarter	Fiscal year ended March 2021, 3rd Quarter	Fiscal year ended March 2021, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	13,089,893				
19	Required stable funding	11,149,781				
20	NSFR ratio (%)	117.4%				

(After Correction)

(Unit: JPY million, %)

KM1: KEY METRICS						
Common disclosure template		a	b	c	d	e
		Fiscal year ended March 2022, 2nd Quarter	Fiscal year ended March 2022, 1st Quarter	Fiscal year ended March 2021, 4th Quarter	Fiscal year ended March 2021, 3rd Quarter	Fiscal year ended March 2021, 2nd Quarter
Net Stable Funding Ratio						
18	Available stable funding	13,089,893				
19	Required stable funding	11,268,507				
20	NSFR ratio (%)	116.1%				

PART 2: NHI Consolidated Liquidity Requirements Disclosures
CHAPTER 3 QUANTITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2022, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,296,520	17,003,746	1,473,986	3,594,325	6,853,771					
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	739,773	5,594,851	893,007	1,378,440	2,876,794					
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	15,462	1,530,896	84,724	532,892	734,679					
33	Total required stable funding					11,149,781					
34	Consolidated net stable funding ratio					117.4%					

(After Correction)

(Unit: JPY million; %)

Item		Highest designated parent company's current quarterly period (Fiscal year ended March 2022, 2nd Quarter)					Highest designated parent company's previous quarterly period (Fiscal year ended March 2022, 1st Quarter)				
		Before being multiplied by factors				After being multiplied by factors	Before being multiplied by factors				After being multiplied by factors
		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year		No maturity	< 6 months	≥ 6 months to < 1 year	≥1 year	
17	Loans, repo type transaction, securities and other similar items	2,296,520	17,003,746	1,473,986	3,594,325	6,972,496					
19	Out of which, loans or repo type transaction to financial institutions not included in Item 18	657,656	5,396,573	698,223	1,324,076	2,682,979					
20	Out of which, loans or repo type transaction (not included in Item 18, 19 and 22)	97,579	1,729,174	279,508	587,256	1,047,219					
33	Total required stable funding					11,268,507					
34	Consolidated net stable funding ratio					116.1%					

CHAPTER 4 QUALITATIVE DISCLOSURE ON NET STABLE FUNDING RATIO

(Before Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2021, Nomura Group's available stable funding was 13,089,893 million yen and required stable funding was 11,149,781 million yen.

As a result, as of September 30, 2021, the consolidated net stable funding ratio was at 117.4%.

(After Correction)

1. Consolidated Net Stable Funding Ratio Fluctuations Explained in a Chronological Order

As of September 30, 2021, Nomura Group's available stable funding was 13,089,893 million yen and required stable funding was 11,268,507 million yen.

As a result, as of September 30, 2021, the consolidated net stable funding ratio was at 116.1%.