

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED INCOME STATEMENT INFORMATION  
(UNAUDITED)

	Millions of yen	
	For the three months ended December 31, 2009	For the year ended March 31, 2009
Operating revenue		
Investment trust management fees	57,308	84,195
Investment advisory fees	7,510	8,315
Other operating revenue	40	27
	64,859	92,537
Operating expenses		
Commissions	26,523	39,122
Other operating expenses	18,843	26,150
	45,366	65,272
General and administrative expenses	17,744	22,452
Operating income	1,747	4,812
Non-operating income	5,542	9,322
Non-operating expenses	153	1,671
Ordinary income	7,136	12,463
Special profits	243	1,387
Special losses	73	2,001
Income before income taxes	7,307	11,849
Income taxes - current	1,674	2,893
Income taxes - deferred	60	2,334
Net income	5,572	6,621

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED BALANCE SHEET INFORMATION  
(UNAUDITED)

	Millions of yen	
	December 31, 2009	March 31, 2009
Assets		
Current assets	60,402	50,549
Fixed assets	42,908	43,110
	103,311	93,659
Total assets	103,311	93,659
Liabilities		
Current liabilities	31,215	23,790
Long-term liabilities	5,377	5,724
	36,592	29,515
Total liabilities	36,592	29,515
Net assets	66,718	64,143
Total liabilities and net assets	103,311	93,659

NOMURA ASSET MANAGEMENT CO., LTD.  
SUPPLEMENTARY INFORMATION  
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2009	March 31, 2009
Stock Investment Trusts-Public		
Unit Type	76	134
Open Type	7,885	6,371
	7,962	6,505
Bond Investment Trusts-Public		
Bond Investment Trusts	953	1,005
Money Management Fund	817	882
Others	3,027	2,949
	4,799	4,837
Private Investment Trusts		
Stock Investment Trusts	1,792	1,613
Bond Investment Trusts	—	—
	1,792	1,613
Total	14,554	12,956

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2009	March 31, 2009
Domestic-General	163	118
Domestic-Pension	4,516	4,191
Overseas	2,707	1,683
Total	7,387	5,994

## Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2009 To June 30, 2009	2nd quarter From July 1, 2009 To September 30, 2009	3rd quarter From October 1, 2009 To December 31, 2009	Nine Months Ended From April 1, 2009 To December 31, 2009
Operating revenue				
Investment trust management fees	17,068	19,781	20,459	57,308
Investment advisory fees	2,005	2,498	3,006	7,510
Other operating revenue	15	17	7	40
	19,088	22,296	23,473	64,859
Operating expenses				
Commissions	7,874	9,209	9,440	26,523
Other operating expenses	5,412	6,379	7,051	18,843
	13,287	15,588	16,491	45,366
General and administrative expenses	5,730	6,145	5,869	17,744
Operating income	71	562	1,113	1,747
Non-operating income	1,718	2,340	1,484	5,542
Non-operating expenses	46	87	19	153
Ordinary income	1,743	2,815	2,577	7,136
Special profits	140	55	48	243
Special losses	0	35	37	73
Income before income taxes	1,883	2,835	2,588	7,307
Income taxes - current	(223)	1,113	784	1,674
Income taxes - deferred	574	(463)	(50)	60
Net income	1,532	2,185	1,854	5,572