

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2011	For the year ended March 31, 2011
Operating revenue		
Investment trust management fees	59,960	81,230
Investment advisory fees	13,248	13,165
Other operating revenue	98	143
	73,307	94,539
Operating expenses		
Commissions	31,161	39,741
Other operating expenses	18,108	25,238
	49,269	64,980
General and administrative expenses	17,393	24,176
Operating income	6,644	5,382
Non-operating income	4,482	6,391
Non-operating expenses	67	98
Ordinary income	11,059	11,676
Special profits	167	593
Special losses	139	591
Income before income taxes	11,086	11,677
Income taxes - current	2,476	3,759
Income taxes - deferred	1,529	108
Net income	7,080	7,810

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	December 31, 2011	March 31, 2011
Assets		
Current assets	57,519	57,430
Fixed assets	32,676	44,903
Total assets	90,195	102,333
Liabilities		
Current liabilities	17,945	26,818
Long-term liabilities	3,343	4,611
Total liabilities	21,288	31,429
Net assets	68,906	70,903
Total liabilities and net assets	90,195	102,333

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2011	March 31, 2011
Stock Investment Trusts-Public		
Unit Type	217	219
Open Type	7,791	9,202
	8,008	9,422
Bond Investment Trusts-Public		
Bond Investment Trusts	820	867
Money Management Fund	738	740
Others	3,029	3,190
	4,588	4,797
Private Investment Trusts		
Stock Investment Trusts	1,463	1,703
Bond Investment Trusts	—	—
	1,463	1,703
Total	14,060	15,922

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2011	March 31, 2011
Domestic-General	144	131
Domestic-Pension	4,525	4,316
Overseas	4,405	5,291
Total	9,076	9,739

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2011 To June 30, 2011	2nd quarter From July 1, 2011 To September 30, 2011	3rd quarter From October 1, 2011 To December 31, 2011	Nine Months Ended From April 1, 2011 To December 31, 2011
Operating revenue				
Investment trust management fees	21,686	20,288	17,985	59,960
Investment advisory fees	4,606	4,481	4,160	13,248
Other operating revenue	33	34	30	98
	26,326	24,804	22,176	73,307
Operating expenses				
Commissions	11,273	10,603	9,284	31,161
Other operating expenses	6,436	6,042	5,629	18,108
	17,710	16,646	14,913	49,269
General and administrative expenses	5,784	5,839	5,769	17,393
Operating income	2,832	2,319	1,492	6,644
Non-operating income	1,905	1,356	1,220	4,482
Non-operating expenses	26	307	(266)	67
Ordinary income	4,710	3,368	2,980	11,059
Special profits	78	45	43	167
Special losses	30	38	70	139
Income before income taxes	4,758	3,374	2,952	11,086
Income taxes - current	586	1,019	871	2,476
Income taxes - deferred	1,435	(205)	299	1,529
Net income	2,736	2,561	1,781	7,080