

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED INCOME STATEMENT INFORMATION  
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2013	For the year ended March 31, 2013
Operating revenue		
Investment trust management fees	65,709	74,067
Investment advisory fees	18,055	17,516
Other operating revenue	135	163
	<u>83,900</u>	<u>91,747</u>
Operating expenses		
Commissions	33,472	37,925
Other operating expenses	18,484	20,885
	<u>51,956</u>	<u>58,810</u>
General and administrative expenses	<u>18,237</u>	<u>23,589</u>
Operating income	13,706	9,347
Non-operating income	3,948	4,396
Non-operating expenses	440	145
Ordinary income	17,214	13,598
Special profits	183	230
Special losses	5	3,105
Income before income taxes	17,392	10,723
Income taxes - current	5,188	3,765
Income taxes - deferred	369	446
Net income	<u>11,834</u>	<u>6,510</u>

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED BALANCE SHEET INFORMATION  
(UNAUDITED)

	Millions of yen	
	December 31, 2013	March 31, 2013
Assets		
Current assets	74,898	70,582
Fixed assets	37,546	31,373
Total assets	<u>112,444</u>	<u>101,956</u>
Liabilities		
Current liabilities	19,723	22,436
Long-term liabilities	4,648	2,948
Total liabilities	<u>24,372</u>	<u>25,385</u>
Net assets	<u>88,072</u>	<u>76,570</u>
Total liabilities and net assets	<u>112,444</u>	<u>101,956</u>

NOMURA ASSET MANAGEMENT CO., LTD.  
SUPPLEMENTARY INFORMATION  
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2013	March 31, 2013
Stock Investment Trusts-Public		
Unit Type	179	353
Open Type	11,922	10,461
	12,102	10,815
Bond Investment Trusts-Public		
Bond Investment Trusts	708	745
Money Management Fund	657	668
Others	5,761	3,937
	7,127	5,351
Private Investment Trusts		
Stock Investment Trusts	1,669	1,640
Bond Investment Trusts	204	69
	1,874	1,709
Total	21,104	17,876

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2013	March 31, 2013
Domestic-General	176	181
Domestic-Pension	5,814	4,546
Overseas	6,577	6,019
Total	12,567	10,747

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2013 To June 30, 2013	2nd quarter From July 1, 2013 To September 30, 2013	3rd quarter From October 1, 2013 To December 31, 2013	Nine Months Ended From April 1, 2013 To December 31, 2013
Operating revenue				
Investment trust management fees	22,543	21,427	21,738	65,709
Investment advisory fees	5,888	5,687	6,479	18,055
Other operating revenue	41	48	45	135
	28,473	27,163	28,263	83,900
Operating expenses				
Commissions	11,568	10,888	11,014	33,472
Other operating expenses	6,113	5,895	6,475	18,484
	17,682	16,783	17,490	51,956
General and administrative expenses	6,045	6,212	5,979	18,237
Operating income	4,745	4,167	4,793	13,706
Non-operating income	1,323	1,414	1,210	3,948
Non-operating expenses	729	110	(399)	440
Ordinary income	5,339	5,471	6,403	17,214
Special profits	130	22	30	183
Special losses	5	-	-	5
Income before income taxes	5,464	5,494	6,433	17,392
Income taxes - current	771	2,147	2,270	5,188
Income taxes - deferred	1,152	(573)	(210)	369
Net income	3,540	3,920	4,374	11,834