

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2015	For the year ended March 31, 2015
Operating revenue		
Investment trust management fees	80,456	96,159
Investment advisory fees	23,719	31,466
Other operating revenue	157	221
	<u>104,333</u>	<u>127,847</u>
Operating expenses		
Commissions	36,382	47,060
Other operating expenses	25,736	33,587
	<u>62,118</u>	<u>80,648</u>
General and administrative expenses	<u>18,929</u>	<u>24,643</u>
Operating income	23,285	22,555
Non-operating income	7,748	4,756
Non-operating expenses	1,044	166
Ordinary income	29,989	27,146
Special profits	72	936
Special losses	53	2,169
Income before income taxes	30,008	25,913
Income taxes - current	7,651	8,433
Income taxes - deferred	662	(2,488)
Net income	<u>21,693</u>	<u>19,967</u>

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	December 31, 2015	March 31, 2015
Assets		
Current assets	101,055	97,486
Fixed assets	34,368	33,417
Total assets	<u>135,423</u>	<u>130,903</u>
Liabilities		
Current liabilities	28,233	30,182
Long-term liabilities	4,175	3,735
Total liabilities	<u>32,409</u>	<u>33,918</u>
Net assets	<u>103,013</u>	<u>96,985</u>
Total liabilities and net assets	<u>135,423</u>	<u>130,903</u>

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2015	March 31, 2015
Stock Investment Trusts-Public		
Unit Type	124	135
Open Type	17,218	15,929
	17,342	16,064
Bond Investment Trusts-Public		
Bond Investment Trusts	605	651
Money Management Fund	549	626
Others	5,805	5,921
	6,960	7,199
Private Investment Trusts		
Stock Investment Trusts	1,854	1,999
Bond Investment Trusts	1,298	929
	3,152	2,928
Total	27,455	26,192

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2015	March 31, 2015
Domestic-General	252	222
Domestic-Pension	7,751	4,953
Overseas	6,005	7,264
Total	14,009	12,439

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2015 To June 30, 2015	2nd quarter From July 1, 2015 To September 30, 2015	3rd quarter From October 1, 2015 To December 31, 2015	Nine Months Ended From April 1, 2015 To December 31, 2015
Operating revenue				
Investment trust management fees	27,013	26,870	26,572	80,456
Investment advisory fees	7,850	7,119	8,748	23,719
Other operating revenue	55	48	53	157
	34,920	34,038	35,374	104,333
Operating expenses				
Commissions	12,666	12,149	11,566	36,382
Other operating expenses	8,385	7,986	9,363	25,736
	21,052	20,135	20,930	62,118
General and administrative expenses	6,351	6,193	6,384	18,929
Operating income	7,516	7,709	8,059	23,285
Non-operating income	3,648	2,278	1,821	7,748
Non-operating expenses	248	1,051	(255)	1,044
Ordinary income	10,917	8,936	10,136	29,989
Special profits	18	27	25	72
Special losses	-	53	0	53
Income before income taxes	10,935	8,910	10,161	30,008
Income taxes - current	1,745	2,784	3,121	7,651
Income taxes - deferred	1,255	(400)	(192)	662
Net income	7,934	6,526	7,232	21,693