

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	March 31, 2018	December 31, 2018
Assets		
Current assets	105,048	90,324
Fixed assets	21,857	25,299
Total assets	126,906	115,623
Liabilities		
Current liabilities	37,329	29,797
Long-term liabilities	3,486	3,742
Total liabilities	40,816	33,539
Net assets	86,090	82,084
Total liabilities and net assets	126,906	115,623

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2017	For the nine months ended December 31, 2018
Operating revenue		
Investment trust management fees	85,390	90,257
Investment advisory fees	20,281	16,435
Other operating revenue	242	277
	105,914	106,969
Operating expenses		
Commissions	33,729	32,585
Other operating expenses	28,337	28,947
	62,066	61,532
General and administrative expenses	20,554	21,522
Operating income	23,292	23,914
Non-operating income	4,967	6,891
Non-operating expenses	82	1,051
Ordinary income	28,178	29,754
Special profits	54	62
Special losses	10	871
Income before income taxes	28,222	28,945
Income taxes - current	7,727	7,408
Income taxes - deferred	246	737
Net income	20,248	20,799

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2017	December 31, 2018
Stock Investment Trusts-Public		
Unit Type	79	91
Open Type	23,814	23,876
	23,893	23,967
Bond Investment Trusts-Public		
Bond Investment Trusts	442	405
Money Reserve Fund	5,606	4,787
Others	0	0
	6,048	5,192
Private Investment Trusts		
Stock Investment Trusts	2,334	2,417
Bond Investment Trusts	1,983	1,744
	4,317	4,162
Total	34,259	33,322

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2017	December 31, 2018
Domestic-General	240	236
Domestic-Pension	9,015	8,433
Overseas	4,251	2,906
Total	13,507	11,576

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2018 To June 30, 2018	2nd quarter From July 1, 2018 To September 30, 2018	3rd quarter From October 1, 2018 To December 31, 2018	Nine Months Ended From April 1, 2018 To December 31, 2018
Operating revenue	36,499	36,358	34,111	106,969
Investment trust management fees	30,504	30,275	29,476	90,257
Investment advisory fees	5,915	5,989	4,530	16,435
Other operating revenue	79	92	104	277
Operating expenses	21,159	21,041	19,331	61,532
Commissions	11,220	10,977	10,387	32,585
Other operating expenses	9,938	10,064	8,944	28,947
General and administrative expenses	7,254	7,221	7,046	21,522
Operating income	8,085	8,095	7,733	23,914
Non-operating income	1,864	4,948	78	6,891
Non-operating expenses	195	(11)	867	1,051
Ordinary income	9,754	13,056	6,944	29,754
Special profits	13	25	24	62
Special losses	-	153	717	871
Income before income taxes	9,767	12,927	6,250	28,945
Income taxes - current	1,895	3,225	2,287	7,408
Income taxes - deferred	1,377	(449)	(189)	737
Net income	6,494	10,152	4,152	20,799