

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	March 31, 2019	December 31, 2019
Assets		
Current assets	98,917	90,939
Fixed assets	25,761	24,174
Total assets	124,679	115,114
Liabilities		
Current liabilities	33,942	29,561
Long-term liabilities	3,777	3,869
Total liabilities	37,720	33,431
Net assets	86,958	81,683
Total liabilities and net assets	124,679	115,114

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2018	For the nine months ended December 31, 2019
Operating revenue		
Investment trust management fees	90,257	88,307
Investment advisory fees	16,435	12,702
Other operating revenue	277	248
	106,969	101,258
Operating expenses		
Commissions	32,585	30,266
Other operating expenses	28,947	26,358
	61,532	56,624
General and administrative expenses	21,522	21,434
Operating income	23,914	23,199
Non-operating income	6,891	5,986
Non-operating expenses	1,051	45
Ordinary income	29,754	29,140
Special profits	62	53
Special losses	871	585
Income before income taxes	28,945	28,609
Income taxes - current	7,408	7,885
Income taxes - deferred	737	335
Net income	20,799	20,388

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2018	December 31, 2019
Stock Investment Trusts-Public		
Unit Type	91	119
Open Type	23,876	28,452
	23,967	28,571
Bond Investment Trusts-Public		
Bond Investment Trusts	405	373
Money Reserve Fund	4,787	5,405
Others	0	0
	5,192	5,779
Private Investment Trusts		
Stock Investment Trusts	2,417	2,570
Bond Investment Trusts	1,744	1,714
	4,162	4,285
Total	33,322	38,636

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2018	December 31, 2019
Domestic-General	236	277
Domestic-Pension	8,433	9,183
Overseas	2,906	3,164
Total	11,576	12,626

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2019 To June 30, 2019	2nd quarter From July 1, 2019 To September 30, 2019	3rd quarter From October 1, 2019 To December 31, 2019	Nine Months Ended From April 1, 2019 To December 31, 2019
Operating revenue	33,970	33,536	33,751	101,258
Investment trust management fees	29,695	29,252	29,360	88,307
Investment advisory fees	4,196	4,204	4,301	12,702
Other operating revenue	78	79	90	248
Operating expenses	19,043	18,663	18,918	56,624
Commissions	10,285	10,012	9,967	30,266
Other operating expenses	8,757	8,650	8,950	26,358
General and administrative expenses	7,346	7,047	7,040	21,434
Operating income	7,580	7,825	7,792	23,199
Non-operating income	1,316	4,244	425	5,986
Non-operating expenses	10	17	18	45
Ordinary income	8,887	12,053	8,200	29,140
Special profits	39	4	9	53
Special losses	147	263	174	585
Income before income taxes	8,779	11,795	8,035	28,609
Income taxes - current	1,973	3,142	2,769	7,885
Income taxes - deferred	1,188	(577)	(274)	335
Net income	5,617	9,230	5,540	20,388