

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED BALANCE SHEET INFORMATION  
(UNAUDITED)

	Millions of yen	
	March 31, 2020	June 30, 2020
Assets		
Current assets	97,509	84,440
Fixed assets	23,026	23,034
<b>Total assets</b>	<b>120,536</b>	<b>107,474</b>
Liabilities		
Current liabilities	31,371	34,058
Long-term liabilities	3,883	5,310
<b>Total liabilities</b>	<b>35,254</b>	<b>39,369</b>
<b>Net assets</b>	<b>85,281</b>	<b>68,104</b>
<b>Total liabilities and net assets</b>	<b>120,536</b>	<b>107,474</b>

NOMURA ASSET MANAGEMENT CO., LTD.  
UNCONSOLIDATED INCOME STATEMENT INFORMATION  
(UNAUDITED)

	Millions of yen	
	For the three months ended June 30, 2019	For the three months ended June 30, 2020
Operating revenue		
Investment trust management fees	29,695	25,629
Investment advisory fees	4,196	3,792
Other operating revenue	78	81
	33,970	29,503
Operating expenses		
Commissions	10,285	8,161
Other operating expenses	8,757	7,819
	19,043	15,980
General and administrative expenses	7,346	6,836
Operating income	7,580	6,687
Non-operating income	1,316	2,081
Non-operating expenses	10	17
Ordinary income	8,887	8,751
Special profits	39	1,884
Special losses	147	408
Income before income taxes	8,779	10,227
Income taxes - current	1,973	2,316
Income taxes - deferred	1,188	1,131
<b>Net income</b>	<b>5,617</b>	<b>6,779</b>

NOMURA ASSET MANAGEMENT CO., LTD.  
SUPPLEMENTARY INFORMATION  
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	June 30, 2019	June 30, 2020
Stock Investment Trusts-Public		
Unit Type	153	89
Open Type	26,230	28,447
	26,384	28,536
Bond Investment Trusts-Public		
Bond Investment Trusts	386	358
Money Reserve Fund	4,837	5,309
Others	0	0
	5,223	5,668
Private Investment Trusts		
Stock Investment Trusts	2,625	2,359
Bond Investment Trusts	1,725	1,626
	4,350	3,986
Total	35,958	38,191

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	June 30, 2019	June 30, 2020
Domestic-General	265	283
Domestic-Pension	8,586	8,714
Overseas	2,890	3,024
Total	11,742	12,022