

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

	Millions of yen	
	March 31, 2020	December 31, 2020
Assets		
Current assets	97,509	90,912
Fixed assets	23,026	24,627
Total assets	120,536	115,539
Liabilities		
Current liabilities	31,371	27,511
Long-term liabilities	3,883	5,303
Total liabilities	35,254	32,814
Net assets	85,281	82,725
Total liabilities and net assets	120,536	115,539

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

	Millions of yen	
	For the nine months ended December 31, 2019	For the nine months ended December 31, 2020
Operating revenue		
Investment trust management fees	88,307	79,332
Investment advisory fees	12,702	11,442
Other operating revenue	248	290
	101,258	91,064
Operating expenses		
Commissions	30,266	25,678
Other operating expenses	26,358	23,119
	56,624	48,797
General and administrative expenses	21,434	20,943
Operating income	23,199	21,323
Non-operating income	5,986	6,713
Non-operating expenses	45	64
Ordinary income	29,140	27,971
Special profits	53	2,237
Special losses	585	445
Income before income taxes	28,609	29,763
Income taxes - current	7,885	7,908
Income taxes - deferred	335	446
Net income	20,388	21,409

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

	Billions of yen	
	December 31, 2019	December 31, 2020
Stock Investment Trusts-Public		
Unit Type	119	78
Open Type	28,452	33,180
	28,571	33,258
Bond Investment Trusts-Public		
Bond Investment Trusts	373	350
Money Reserve Fund	5,405	5,874
Others	0	0
	5,779	6,225
Private Investment Trusts		
Stock Investment Trusts	2,570	2,466
Bond Investment Trusts	1,714	1,664
	4,285	4,130
Total	38,636	43,614

2. Assets under Investment Management and Advisory Contracts

	Billions of yen	
	December 31, 2019	December 31, 2020
Domestic-General	277	301
Domestic-Pension	9,183	8,826
Overseas	3,164	3,461
Total	12,626	12,589

Quarterly Income Statement Information

(Millions of Yen)

	1st quarter From April 1, 2020 To June 30, 2020	2nd quarter From July 1, 2020 To September 30, 2020	3rd quarter From October 1, 2020 To December 31, 2020	Nine Months Ended From April 1, 2020 To December 31, 2020
Operating revenue	29,503	31,137	30,422	91,064
Investment trust management fees	25,629	27,184	26,517	79,332
Investment advisory fees	3,792	3,855	3,794	11,442
Other operating revenue	81	97	111	290
Operating expenses	15,980	16,661	16,155	48,797
Commissions	8,161	8,650	8,866	25,678
Other operating expenses	7,819	8,011	7,288	23,119
General and administrative expenses	6,836	7,047	7,059	20,943
Operating income	6,687	7,428	7,207	21,323
Non-operating income	2,081	4,064	567	6,713
Non-operating expenses	17	16	31	64
Ordinary income	8,751	11,476	7,743	27,971
Special profits	1,884	344	8	2,237
Special losses	408	36	-	445
Income before income taxes	10,227	11,784	7,752	29,763
Income taxes - current	2,316	2,910	2,681	7,908
Income taxes - deferred	1,131	(391)	(293)	446
Net income	6,779	9,265	5,363	21,409