

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED BALANCE SHEET INFORMATION
(UNAUDITED)

| | Millions of yen | |
|---|-----------------|-------------------|
| | March 31, 2023 | December 31, 2023 |
| Assets | | |
| Current assets | 101,486 | 92,321 |
| Non-current assets | 23,235 | 23,702 |
| Total assets | 124,722 | 116,023 |
| Liabilities | | |
| Current liabilities | 32,414 | 56,726 |
| Non-current liabilities | 4,659 | 4,555 |
| Total liabilities | 37,074 | 61,282 |
| Net assets | 87,648 | 54,741 |
| Total liabilities and net assets | 124,722 | 116,023 |

NOMURA ASSET MANAGEMENT CO., LTD.
UNCONSOLIDATED INCOME STATEMENT INFORMATION
(UNAUDITED)

| | Millions of yen | |
|-------------------------------------|--|--|
| | For the nine months ended December 31, 2022 | For the nine months ended December 31, 2023 |
| Operating revenues | | |
| Investment trust management fees | 85,853 | 91,106 |
| Investment advisory fees | 13,298 | 14,759 |
| Other operating revenues | 261 | 239 |
| | 99,413 | 106,105 |
| Operating expenses | | |
| Commissions | 29,141 | 31,554 |
| Other operating expenses | 25,725 | 27,944 |
| | 54,866 | 59,499 |
| General and administrative expenses | 22,240 | 23,048 |
| Operating income | 22,305 | 23,557 |
| Non-operating revenues | 7,578 | 7,672 |
| Non-operating expenses | 1,337 | 428 |
| Ordinary income | 28,546 | 30,801 |
| Extraordinary gains | 45 | 19 |
| Extraordinary losses | 49 | 30 |
| Income before income taxes | 28,542 | 30,791 |
| Income taxes - current | 6,618 | 7,643 |
| Income taxes - deferred | 769 | 326 |
| Net income | 21,153 | 22,820 |

NOMURA ASSET MANAGEMENT CO., LTD.
SUPPLEMENTARY INFORMATION
(UNAUDITED)

1. Net Assets of Investment Trusts

| | Billions of yen | |
|--------------------------------|-------------------|-------------------|
| | December 31, 2022 | December 31, 2023 |
| Stock Investment Trusts-Public | | |
| Unit Type | 28 | 1 |
| Open Type | 36,271 | 44,880 |
| | 36,300 | 44,881 |
| Bond Investment Trusts-Public | | |
| Bond Investment Trusts | 301 | 278 |
| Money Reserve Fund | 6,099 | 6,526 |
| Others | 0 | 0 |
| | 6,401 | 6,805 |
| Private Investment Trusts | | |
| Stock Investment Trusts | 2,270 | 2,342 |
| Bond Investment Trusts | 1,112 | 944 |
| | 3,382 | 3,286 |
| Total | 46,084 | 54,973 |

2. Assets under Investment Management and Advisory Contracts

| | Billions of yen | |
|------------------|-------------------|-------------------|
| | December 31, 2022 | December 31, 2023 |
| Domestic-General | 503 | 633 |
| Domestic-Pension | 8,002 | 10,028 |
| Overseas | 3,685 | 4,159 |
| Total | 12,191 | 14,822 |