

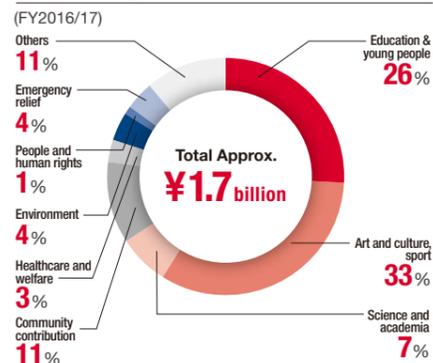
Supporting Our Communities

Contributing to Sustainable Communities

Fundamental Approach

We are working to contribute to finding solutions to social and environmental issues by communicating with a wide range of stakeholders, from customers to shareholders, local communities, and employees on a continual basis. Nomura Holdings Corporate Governance Guidelines, formulated in November 2015, set out the principle that the Company shall endeavor to contribute to the development of society at all times and shall fulfill Nomura Group's social responsibilities as a corporate citizen by putting into practice Our Founder's Principles that have been inherited since the foundation of the Company.

Community contribution expenditure



* The total includes approximately ¥160 million from Nomura Foundation and ¥40 million from The Nomura Charitable Trust.

Global CSR initiatives

In the regions around the world where Nomura Group conducts its business activities, we share the basic CSR theme of "For Future Generations" and carry out activities in line with regional needs while forming partnerships with NGOs and other entities. These activities are focused on nurturing future generations, including activities aimed at eradicating disparities for future generations.

Communication with stakeholders

Nomura Group participates in initiatives in Japan and overseas that aim to contribute to society and the environment to fulfill its corporate social responsibility as a corporate citizen. Through dialogue and collaboration with stakeholders, we carefully examine the activities and information disclosure we undertake that are related to the economy, society, and environmental issues. We also review these activities and information disclosure, and report to the CSR Committee when needed.

Dialogue with stakeholders (selected examples)

Themes	External Organization	Outline
Human rights, labor, environment, anti-corruption	United Nations (UN) Global Compact	Participation in Global Compact Network Japan
ESG	UN Principles for Responsible Investment	Participation in the Japan network
ESG	Principles for Financial Action for the 21st Century	Participation in working group on asset management, securities, and investment banking businesses
ESG	Climate Bonds Initiative	Participation as a partner in activities to promote green bonds
ESG	CSR Asia	Exchange of opinions on ESG issues in Asia
Environment	CDP	Promotion, as a signatory, of the climate change and water programs



Signatory of:



Communication with Stakeholders
<http://www.nomuraholdings.com/csr/stakeholder/communication.html>

Participation in Initiatives for Sustainability
<http://www.nomuraholdings.com/csr/stakeholder/initiatives.html>

Inclusion in SRI indices

Nomura's CSR initiatives and ESG information disclosure practices have been widely recognized outside the Company. Nomura Holdings has been selected for inclusion in a number of SRI indexes, including the Dow Jones Sustainability Indices (DJSI World and DJSI Asia Pacific), the FTSE4Good Index,

and, as of January 2017, the Morningstar Socially Responsible Investment Index (MS-SRI). In October 2016, Nomura Holdings was selected as a component of the STOXX Global ESG Leaders Index for the second consecutive year.

External Assessment
<http://www.nomuraholdings.com/csr/evaluations/>

Dow Jones Sustainability Indices

The world's first sustainability equity indices, Dow Jones & Company and RobecoSAM, jointly choose the companies to be included in these benchmarks



FTSE4Good Index

FTSE Russel, a subsidiary of the London Stock exchange, has created a socially responsible investment index by selecting excellent companies from around the world



STOXX Global ESG Leaders Index

STOXX Limited, a wholly owned subsidiary of Deutsche Borse Group, has established the global index for SRI represents companies that meet strict environmental, ESG criteria



MS-SRI

Morningstar, Inc. selected 150 companies that were assessed as having a high level of social awareness from among companies listed in Japan, and created Japan's first socially responsible investment index by indexing their stock prices



Contributing to sustainable communities 2020 and beyond

Proudly supporting Japan every step of the way.

Support for the Olympic and Paralympic Games Tokyo 2020

Nomura Holdings is sponsoring the Olympic and Paralympic Games Tokyo 2020 (the Tokyo 2020 Games) as the exclusive Gold Partner in the Securities category. We see this as a perfect opportunity for Japan to showcase on the world stage its efforts to stimulate the Japanese economy and achieve sustainable growth by overcoming challenges such as low economic growth and an aging population with a low birth rate. Nomura Holdings is working not only to ensure the success of the Tokyo 2020 Games but also to stimulate the entire Japanese economy by applying its strengths and extensive experience in providing support to a wide range of companies through the capital markets. At the same time, in order to foster opportunities throughout society, Nomura Holdings is undertaking activities in support of the Tokyo 2020 Nationwide Participation Programme. Under this program, we are making a concerted effort to support post-disaster restoration work and promote educational programs to enhance financial and economic literacy. All Nomura employees are coming together to support sustainability in Japan in 2020 and beyond.



The Road to 2020
<http://www.nomuraholdings.com/tokyo2020/>



Participating in BE FREE Tokyo Bicycle Sharing Project

As a leading partner in this project, we are promoting bicycle sharing with the aims of contributing to the health of users, revitalizing the area, and enhancing the central Tokyo environment. We continue to tackle various economic, social, and environmental issues as part of our effort to support sustainable communities.



Support for Para-Sports

Nomura Group is committed to supporting para-sports as an official partner of the Japanese Para-Sports Association. Nomura Group also supports the Japan Para-Volleyball Association as a special top partner. This association is working to increase the popularity of sitting volleyball, an official event of the Tokyo 2020 Paralympic Games. In FY2016/2017, Nomura Group supported para-sports by holding events for staff to experience sitting volleyball for themselves, holding national athletic meeting supporter group meetings, and preparing DVDs and pamphlets introducing the different events. We intend to continue to broaden the appeal of these sports, support training athletes, and encourage general awareness of para-sports.



Post-earthquake restoration support: The Sakura Project

Since 2012, Nomura has been working hand in hand with local groups to make Tohanayama Hill in Yamamoto Town, Watari District, Miyagi Prefecture, a notable cherry blossom ("sakura") viewing spot. The Watari District suffered severe tsunami damage in the Great East Japan Earthquake. With the eleventh semi-annual event held in April 2017, we commemorated the steady growth of the newly planted trees by inviting local people and children to enjoy the blossoms and join with us in planting more saplings. Approximately 800 employees have participated in these activities to date.



Global initiatives

EMEA

The focus of the EMEA Community Affairs program is to support the success of future generations, and is divided into three main areas: Two-Year Charity Partnership, The Nomura Charitable Trust, and Employee Engagement. FY2016/17 saw the second year of the partnership with Rays of Sunshine, a charity that grants wishes for seriously ill children. Over US\$815,000 was raised for the charity, which included a range of fundraising activities—from challenges and quizzes to sports and cooking competitions. Employee volunteering is a key part of EMEA's Community Affairs program, with employees volunteering for initiatives such as school mentoring, work skills support, and schemes designed to raise the aspirations of future generations.



Americas

Nomura Americas' CSR program aims to support future generations in our New York City community through mentorship, fundraising efforts, and awareness campaigns. Nomura works to improve the literacy of local students through the Read Ahead program. The firm also supports several New York City organizations that benefit communities in need, including the Anti-Violence Project to empower LGBTQ and HIV-affected individuals through education, counseling and advocacy, and Toys for Tots for less fortunate children. Fundraising campaigns are also held through the year to increase local awareness and contribute to medical research these non-profits, include the Memorial Sloan Kettering Cancer Center, Autism Speaks, and the National MS Society. Instinet continues to support local youth through its partnerships with Elevate and Operation Backpack.



Powai, India

Nomura Powai's CSR initiatives focus on the key areas of education and healthcare. These include programs in remedial education, skills development, primary healthcare, and cancer treatment. Current efforts are directed toward creating collective impact by engaging with NGOs who have complementary capabilities to improve the overall welfare of a community. Powai CSR consistently adds value by building a robust employee engagement program and integrating it with its ongoing projects. The volunteering programs leverage core competencies and domain knowledge of our employees in building capacities of partner NGOs and their stakeholders. In FY2016/17, 2,802 employees put in 6,641 hours participating in 212 volunteering initiatives.



AEJ

The Nomura CARES network was established by employee volunteers as the focal point for CSR initiatives in Asia ex-Japan. We have charity partnerships with local NGOs in Hong Kong, Singapore, and China that our employees support via various fundraising and volunteering events. In India, we comply with Section 135 of the Companies Act to allocate at least two percent of average net profit for CSR activities. We also support local CSR projects in Australia and Korea. Nomura CARES focuses on four main streams: local charity partnerships, environmental initiatives including annual beach cleanup events, donations to NGOs that our employees are actively involved with, and the Hong Kong Community Connections Program that Nomura helps to organize along with five other industry peers.

