

## Corporate Data

Company name	Nomura Holdings, Inc.
Date of incorporation	December 25, 1925
Head office	1-9-1, Nihonbashi, Chuo-ku, Tokyo 103-8645, Japan
Paid-in capital	¥594,493 million
Group employees	27,864
Common stock issued	3,493,562,601 shares
Number of shareholders	371,292 (Unit shareholders: 350,172)
Listing	The common shares of Nomura Holdings, Inc. are listed on the Tokyo, Nagoya, and Singapore stock exchanges. The shares are also listed on the NYSE in the form of American Depositary Shares (ADSs) evidenced by American Depositary Receipts (ADRs). Each ADS represents one share of common stock.
Securities code	8604 (Tokyo Stock Exchange), NMR (New York Stock Exchange)
Transfer agent and registrar	Mitsubishi UFJ Trust and Banking Corporation Corporate Agency Department
Depository for American Depositary Receipts (ADRs)	The Bank of New York Mellon
Date of record for dividend payments	September 30, March 31

## Share Information (As of March 31, 2019)

Major shareholders (Top 10)\*<sup>1</sup>

Name of shareholder	Number of shares owned (thousands)* <sup>2</sup>	Ownership (%) <sup>*2</sup>
The Master Trust Bank of Japan, Ltd. (Trust Account)	180,391	5.4%
Japan Trustee Services Bank, Ltd. (Trust Account)	160,284	4.8%
Japan Trustee Services Bank, Ltd. (Trust Account 5)	68,101	2.1%
Northern Trust Co. (AVFC) Re Silchester International Investors International Value Equity Trust	64,983	2.0%
JP Morgan Chase Bank 385151	62,963	1.9%
State Street Bank West Client-Treaty 505234	54,126	1.6%
Japan Trustee Services Bank, Ltd. (Trust Account 7)	46,435	1.4%
Northern Trust Co. (AVFC) Re U.S. Tax Exempted Pension Funds	46,059	1.4%
Japan Trustee Services Bank, Ltd. (Trust Account 1)	45,498	1.4%
SSBTC Client Omnibus Account	42,902	1.3%

\*1 The company has 182,411 thousand shares of treasury stock as of March 31, 2019 which is not included in the major shareholders list above.

\*2 Figures for Number of Shares Owned are rounded down to the nearest thousand and figures for Percentage of Shares Owned are calculated excluding treasury stock.

## Component ratio of shareholders (unit share base)



## Total Shareholder Return (TSR\*)

Holding Period*2	1 year	2 years	3 years	4 years	5 years
Nomura Holdings	109.5%	80.8%	112.4%	103.8%	72.2%
TOPIX	130.7%	116.5%	133.7%	154.9%	147.1%

\*1 Ratio is obtained by dividing investment amount (stock price) by return (dividend and capital gains). Calculated based on Cabinet Office Order on Disclosure of Corporate Affairs

\*2 Figures based on the last price of March 31, 2014

## Credit Ratings (As of June 30, 2019)

	Nomura Securities		Nomura Holdings	
	Long-term	Short-term	Long-term	Short-term
R&I	A+	a-1	A+	a-1
JCR	AA-	-	AA-	-
Moody's	A3	P-2	Baa1	-
Standard & Poor's*1	A	A-1	A-	A-2
Fitch Ratings	A-	F1	A-	F1

\*1 In August 2019, the issuer credit ratings on Nomura Securities Co., Ltd. was changed to A- / A-2, and on Nomura Holdings, Inc. to BBB+ / A-2.

## Basic Information in the Report

Period covered	April 1, 2018 to March 31, 2019 (Some content may be outside this time frame)
Reporting cycle	Once a year
Previous	August 2018
Current	August 2019
Entities covered	Nomura Holdings, Inc. and its major subsidiaries and affiliates <a href="https://www.nomuraholdings.com/company/group/">https://www.nomuraholdings.com/company/group/</a> Numerical data are presented alongside information on the scope of companies covered.

Reference guidelines ■ GRI Sustainability Reporting Standards (GRI Standards) 2016  
This report contains information regarding the standard disclosure items contained in the GRI Standards 2016.  
Please access the following URL on our corporate website for our GRI Guidelines Index.  
<https://www.nomuraholdings.com/csr/gri/>  
■ Environmental Reporting Guidelines (2018 Version) of Japan's Ministry of the Environment

Inquiries

## Nomura Holdings, Inc.

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