## FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of April 2023

# NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

13-1, Nihonbashi 1-chome Chuo-ku, Tokyo 103-8645 Japan

(Address of principal executive offices)

| ndicate by check mark w<br>orm 40-F.            | hether the registrant fi | les or will file ann | ual reports under cover Form |
|---|--------------------------|----------------------|------------------------------|
| ]   | Form 20-F <u>X</u>       | Form 40-F            |                              |
| nte by check mark if the nn S-T Rule 101(b)(1): | Č                        | g the Form 6-K in p  | paper as permitted by        |
| te by check mark if the in S-T Rule 101(b)(7):  | registrant is submitting | g the Form 6-K in p  | paper as permitted by        |

Information furnished on this form:

## **EXHIBIT**

## Exhibit Number

1. (English Translation) Share Buyback Report for the reporting month from March 1, 2023 to March 31, 2023

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

## NOMURA HOLDINGS, INC.

Date: April 13, 2023

By: /s/ Yoshifumi Kishida

Yoshifumi Kishida

Senior Managing Director

[Translation of Share Buyback Report for the reporting month from March 1, 2023 to March 31, 2023 filed with the Director General of the Kanto Finance Bureau by Nomura Holdings, Inc. on April 13, 2023]

## Class of Shares: Common Stock

#### 1. Status of repurchase

- (1) Status of a repurchase pursuant to a resolution at a general meeting of shareholders Not applicable
- (2) Status of a repurchase pursuant to a resolution at the meeting of a board of directors ("Board")

as of March 31, 2023

|   | Numbe  | r of Shares | Total Repurchase<br>Amount (JPY) |
|---|--------|-------------|----------------------------------|
| Authorization pursuant to the resolution of the Board (April 26, 2022) (Period of repurchase: from May 17, 2022 to March 31, 2023 (excluding the ten business days following the announcement of each quarterly financial results)) |        | 50,000,000  | 30,000,000,000                   |
| Repurchases during this reporting month (Date of repurchase)  | (Date) |             |                                  |
|   | _      | _           | _                                |
| Total   | _      | _           | _                                |
| Aggregate shares repurchased as of the end of this reporting month  |        | 50,000,000  | 24,719,191,700                   |
| Progress of share repurchase (%)  |        | 100.0       | 82.4                             |

## 2. Status of disposition

as of March 31, 2023

|  | 1                         |         | as of March 31, 2023 |
|--|---------------------------|---------|----------------------|
|  | Number of shares disposed |         | Aggregate amount of  |
|  | in the reporting month    |         | disposition (JPY)    |
| Treasury shares disposed subject to procedures for | (Date)                    |         |                      |
| issuance of new shares                             | _                         | _       | _                    |
| Subtotal   | _                         | _       | _                    |
| Treasury shares canceled                           | (Date)                    |         |                      |
|  | _                         | _       | _                    |
| Subtotal   | _                         | _       | _                    |
| Treasury shares transferred upon merger, share     | (Date)                    |         |                      |
| exchange, share delivery or demerger               | _                         | _       | _                    |
| Subtotal   | _                         | _       | _                    |
| Other (upon request for purchasing                 | (Date)                    |         |                      |
| "less-than-a-full-unit shares")                    | _                         | _       | _                    |
| Subtotal   | _                         | _       |                      |
| Other (exercise of stock acquisition rights)       | (Date)                    |         |                      |
|  | March 1                   | 72,200  | 72,200               |
|  | March 2                   | 15,900  | 15,900               |
|  | March 3                   | 13,500  | 13,500               |
|  | March 6                   | 35,000  | 35,000               |
|  | March 7                   | 4,900   | 4,900                |
|  | March 8                   | 104,100 | 104,100              |
|  | March 9                   | 88,900  | 88,900               |
|  | March 10                  | 57,800  | 57,800               |
|  | March 13                  | 3,300   | 3,300                |

|  | March 15 | 81,500  | 81,500  |
|--|----------|---------|---------|
|  | March 16 | 23,600  | 23,600  |
|  | March 17 | 31,500  | 31,500  |
|  | March 20 | 5,700   | 5,700   |
|  | March 22 | 55,400  | 55,400  |
|  | March 23 | 184,600 | 184,600 |
|  | March 24 | 71,700  | 71,700  |
|  | March 27 | 16,600  | 16,600  |
| Subtotal   | _        | 866,200 | 866,200 |
| Other (delivery of shares under Restricted Stock | (Date)   |         |         |
| Units plan)                                      | _        | _       | _       |
| Subtotal   | _        | _       | _       |
| Total  | _        | 866,200 | 866,200 |

#### 3. Status of shares held in treasury

as of March31, 2023

| As of the end of the reporting month | Number of Shares |
|--------------------------------------|------------------|
| Total number of issued shares        | 3,233,562,601    |
| Number of shares held in treasury    | 229,510,828      |

Note) In relation to "2. Status of disposition" and "3. Status of shares held in treasury" above, the disposition of treasury shares relating to Restricted Stock Units as equity compensation is recorded based on the contribution date of a monetary compensation claim. The number of shares held in treasury above therefore includes reduction in number of shares as a result of disposition of treasury shares as equity compensation in exchange for contribution-in-kind of monetary compensation claims on or before March 31, 2023.