# FORM 6-K

# U.S. SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Report of Foreign Private Issuer Pursuant to Rule 13a-16 or 15d-16 of the Securities Exchange Act of 1934

Commission File Number: 1-15270

For the month of May 2024

# NOMURA HOLDINGS, INC.

(Translation of registrant's name into English)

13-1, Nihonbashi 1-chome Chuo-ku, Tokyo 103-8645 Japan

(Address of principal executive offices)

20-F or Form 40-F.	mark whether the registran	it files or will file annual reports under cover For
	Form 20-F <u>X</u>	Form 40-F
Indicate by check marl Regulation S-T Rule 101(I	· ·	ting the Form 6-K in paper as permitted by
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Information furnished on this form:

### **EXHIBIT**

## Exhibit Number

1. Nomura Submits Shelf Registration Statement

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

NOMURA HOLDINGS, INC.

Date: May 17, 2024

<u>By: /s/ Yoshifumi Kishida</u>

Yoshifumi Kishida

Yoshifumi Kishida Senior Managing Director

## **Nomura Submits Shelf Registration Statement**

**Tokyo, May 17, 2024**— Nomura Holdings, Inc. (the "Company"), based on the determination of the Senior Managing Director on May 17, 2024 who is delegated its authority from Executive Management Board, submitted another shelf registration statement regarding the disposition of treasury stock on May 17, 2024 because the planned two-year issuance period of the shelf registration statement regarding the disposition of treasury stock that was filed on May 13, 2022 (effective date: May 25, 2022) will expire on May 24, 2024.

1.	Purpose of Shelf Registration	To grant Restricted Stock Units (RSUs) based on the RSU plan (the "RSU Plan") for directors, executive officers, and employees of the Company and its subsidiaries as deferred compensation using the Company's treasury stock and to grant Performance Share Units (PSUs) based on the PSU plan (the "PSU Plan") for directors and executive officers of the Company as deferred compensation using the Company's treasury stock	
2.	Class of Securities to be Offered	Shares of common stock of the Company	
3.	Scheduled Issue Period	For the period from the scheduled effective date of the shelf registration to the date that is two years from such scheduled effective date (From May 25, 2024 to May 24, 2026)	
4.	Scheduled Issue Amount	Maximum amount shall be up to 50,000,000,000 yen.	
5.	Use of Proceeds	The disposition of treasury stock concerning the shelf registration is conducted based on the RSU Plan and the PSU Plan and is not intended to raise funds.	

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#### Nomura

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