

Information on the intragroup exemption to be publicly disclosed

Nomura has submitted applications to the relevant EU regulators in respect of the exemption from margin requirements for intragroup transactions under Article 11 of EMIR and Commission Delegated Regulation (EU) 2016/2251 supplementing EMIR with regard to regulatory technical standards on risk mitigation techniques for OTC derivative contracts not cleared by a central counterparty.

Counterparty pairings approved for the intragroup exemption to the margin requirements:-

| Counterparty 1 | Counterparty 2 | LEIs of relevant counterparties | | Relationship between the counterparties | Exemption type | Notional aggregate amount of the OTC derivative contracts for which the intragroup exemption applies** | Relevant regulator |
|---|--|---------------------------------|----------------------|---|--------------------------|--|--------------------|
| | | Counterparty 1 | Counterparty 2 | | | | |
| Nomura entities requiring an exemption from the Commission de Surveillance du Secteur Financier (CSSF) only | | | | | | | |
| Nomura Bank (Luxembourg) S.A. (NBL) | Nomura Securities Co. Ltd., Japan. (NSC) | 6Y69KXPE1SI1ZTYB3823 | XPSKD1VTEQPKCHBEKQ95 | Affiliate | Deferred Full exemption* | Unlimited | CSSF |
| Nomura entities requiring an exemption from the Commission de Surveillance du Secteur Financier (CSSF) and the Financial Conduct Authority (FCA) | | | | | | | |
| Nomura Bank (Luxembourg) S.A. (NBL) | Nomura International PLC (NIP) | 6Y69KXPE1SI1ZTYB3823 | DGQCSV2PHVF7I2743539 | Affiliate | Full exemption | Unlimited | CSSF |
| Nomura International PLC (NIP) | Nomura Bank (Luxembourg) S.A. (NBL) | DGQCSV2PHVF7I2743539 | 6Y69KXPE1SI1ZTYB3823 | Affiliate | Full exemption | Unlimited | FCA |

* Pending EU Commission implementing act recognising the equivalence of Japan under article 13(2) of EMIR or 4 January 2020

**"Unlimited" denotes that the relevant regulator has not placed a limit on the notional amount of non-centrally cleared derivatives that may be put in place.