

Nomura Expands Industry Coverage and Capital Markets Teams With Six Senior Hires in Americas Investment Banking

New York, June 10, 2013—Nomura, Asia's global investment bank, continues to strengthen its Investment Banking franchise with the announcement of six senior hires, the creation of a new industry coverage group and the expansion of its coverage and product capabilities in the Americas.

"Nomura is committed to increasing its investment banking capabilities in the Americas, with targeted hiring and the thoughtful growth of its product offering, in order to deliver the power of the firm's global franchise to its clients," said James DeNaut, Head of Americas Investment Banking and Joint International Head of Investment Banking. "We continue to look for talented individuals to drive the strategic expansion of our operation."

Stephen Roti joins Nomura as a Managing Director and Head of Equity Capital Markets for the Americas, in a move which significantly strengthens the firm's ECM and Corporate Equity Derivatives capabilities. Roti joins from Barclays investment bank, where he was most recently global Head of Equity Linked Origination in New York. At Nomura, he will be responsible for leading the development of equity derivatives solutions and the management of equity origination and execution for corporate clients.

"Adding someone of Steve's caliber adds instant credibility to Nomura's Equity origination platform," says James Merli, Head of U.S. Origination at Nomura. "We are committed to providing world class solutions to our clients across global markets."

In industry coverage, Nomura has initiated coverage of Real Estate, Gaming, Leisure and Lodging (REGLL) companies with the appointment of Christopher Baldwin as a Managing Director and Head of REGLL in the Americas. Baldwin joins Nomura from Bank of America Merrill Lynch, where he led the firm's most high profile financings and M&A transactions in the gaming and leisure sectors for 17 years. At Nomura, Baldwin will establish the firm's REGLL business with a focus on the gaming and leisure sectors where he has deep experience and a strong track record of providing clients with sound advice and innovative financial solutions.

Additionally, Nomura has expanded its Financial Institutions team with the appointment of Anthony Viscardi as a Managing Director covering the Residential Mortgage sector. Viscardi joins Nomura from Deutsche Bank and will provide strategic advice to clients in the evolving residential mortgage industry and leverage the firm's mortgage trading and securitization capabilities to meet these clients' financing needs.

Nomura also strengthened its Natural Resources team with the appointment of Timothy Wilding as a Managing Director covering the Chemicals sector. Wilding joined from Oppenheimer & Co., where he was Co-Head of the industrial growth and services group. At Nomura, he will follow the Chemicals sector and deliver Nomura's considerable cross border M&A and leverage finance expertise to clients.

The latest extension of Nomura's industry coverage follows the establishment of the firm's Healthcare banking unit and the appointment of Jason Fertig as Head of Healthcare sector coverage, announced in December 2012. Fertig has more than 15 years of investment banking

experience, focused principally on the pharmaceutical and medical technology sectors, and has advised clients on \$50bn+ of strategic and financing transactions over the course of his career at Lehman Brothers and Barclays Capital.

Finally, Nomura has enhanced its Latin America investment banking operation with the appointments of Daniel Rodrigues and Arthur Rubin as Executive Directors. Rodrigues, will join Nomura from UBS where he was Head of the Consumer Retail investment banking team in Brazil. He will be based in Sao Paulo and will be responsible for client coverage, engaging with the firm's clients in Latin America to identify opportunities across Nomura's wide range of products and capabilities.

Rubin joined Nomura as Head of Latin America Debt Capital Markets in New York, where he will focus on Brazil and Latin America. He has more than 18 years of DCM and liability management experience, and previously held similar positions in New York and Sao Paulo at several global investment banking firms.

These new hires demonstrate Nomura's continuing commitment to increasing the depth of its existing business while selectively broadening its franchise into new markets. Nomura's investment banking franchise in the Americas is increasingly well positioned to build on its proven track record as a provider of strategic financing solutions to individual, institutional, corporate and government clients.

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For further information please contact:

Name	Company	Telephone
Jonathan Hodgkinson	Nomura	+1 212 667 1484

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