

Nomura Continues to Strengthen Investment Banking Division in the Americas with 15 Senior Appointments

New York, October 13, 2014 – Nomura, Asia's global investment bank, announced that it is continuing to strengthen its Investment Banking Division with 15 senior appointments in the Americas, which includes 13 Managing Directors and two Executive Directors.

"The addition of these talented and experienced bankers demonstrates Nomura's continued commitment to strengthening our investment banking operations in the Americas. We are growing the business strategically and focusing on the areas where we can provide meaningful solutions to our clients," said James DeNaut, Head of Americas Investment Banking. "We are pleased to have them join the team and contribute to our growth."

The 15 senior appointments will consist of the following experienced bankers:

- Frank Kinney joined Nomura as a Managing Director and Head of Industrials, Americas. He has more than 25 years of investment banking experience. Most recently, Frank was a Senior Advisor to energy-focused private equity fund, First Reserve Corporation. Previously, he was a Managing Director at Deutsche Bank and at Goldman Sachs.
- Michael Rintoul joined Nomura as a Managing Director and Head of Business Services, Americas. He has more than 20 years of investment banking experience, with a focus on business services and technology. Prior to joining Nomura, Michael was a Managing Director at Jefferies and Global Head of Business Services; he previously held a similar title at UBS.
- Miguel Espinosa joined Nomura as a Managing Director in the Financial Sponsors Group based in San Francisco. Miguel was most recently a Managing Director in the Financial Sponsors Group for Morgan Stanley, and has 14 years of financial sponsor coverage experience at the firm. Previously, he was an Oil & Gas analyst for Chase and Morgan Stanley.
- Christopher Harned joined Nomura as a Managing Director in M&A, specializing in consumer products. With more than 25 years of investment banking and private equity

experience in the consumer products sector, Christopher has held managing director titles at Robert W. Baird & Company, Cypress Group and Lehman Brothers.

- Lisa Stein joined Nomura as a Managing Director in the Consumer Retail Group. She has more than twenty years of experience in consumer products investment banking. Lisa has held managing director titles at Bank of America Merrill Lynch, Deutsche Bank and Citigroup, focused on consumer products coverage.
- Charles Thompson joined Nomura as a Managing Director in the Natural Resources Group. He has 30 years of energy and natural resources investment banking experience. Most recently, Charles was a Senior Managing Director and Co-head of Energy & Natural Resources at FBR. He was a Managing Director, and had similar roles, at Legacy Partners Group and Credit Suisse.
- Arun Master joined Nomura as a Managing Director in the Healthcare Group. He has 12 years of experience in the healthcare investment banking sector. Most recently, Arun was a Managing Director at Oppenheimer & Co. in their Healthcare Group. Prior to that, he had healthcare coverage roles at Deutsche Bank and Citigroup.
- Scott Napolitano joined Nomura as a Managing Director in M&A, specializing in healthcare. He has 15 years of investment banking experience. Scott began his career at J.P. Morgan in their M&A and FIG groups. He was most recently a Managing Principal at Meadow Lane Capital, a merchant bank he co-founded that focuses on strategic advisory services. Previously, Scott had investment banking roles in healthcare and M&A at Goldman Sachs and Peter J. Solomon Company, where he was also a Managing Director.
- Rudy Balseiro joined Nomura as a Managing Director and Head of Equity Syndicate, Americas. He has nearly 25 years of equity capital markets experience, which includes managing director roles at Needham & Company and Bear Stearns in their ECM groups.
- Caio Costa joined Nomura as an Executive Director in the Sao Paulo office. He has more than 13 years of experience in investment banking, primarily in the Latin American markets. Before joining Nomura, Caio was a Director at Deutsche Bank based in Sao Paulo; he held a similar role at ING Bank.
- Andrew Horn joined Nomura as an Executive Director in the Industrial Group. He has 13 years of investment banking experience. Andrew began his career at the boutique sell-

side firm Gridley & Company in their M&A group. Most recently, he was a Senior Vice President of Industrials at Macquarie Capital. Prior to that, Andrew was a Principal in the Global Industries Group at Banc of America Securities.

- Thomas Prior will join Nomura as a Managing Director in the Financial Sponsors Group. He has more than 25 years of financial sponsors coverage experience.
- Christopher Striedter will join Nomura as a Managing Director in the Industrial Group. He has 21 years of experience in the industrial investment banking sector.
- Mark Liggitt will join Nomura as a Managing Director in the Leveraged Finance Group. He has more than 15 years of investment banking experience, 14 of which have been focused on leveraged finance.
- Abzal Ayubeally will join Nomura as a Managing Director in the Financial Institutions Group. He has 14 years of investment banking experience.

With these appointments, Nomura's investment banking franchise continues to build upon its proven track record in the Americas of providing client-centric strategic advice and financing solutions.

ends

For further information please contact:

| Name | Company | Telephone |
|---------------------|-----------------------------|------------------|
| Jonathan Hodgkinson | Nomura Holding America Inc. | +1 212 667 1484 |
| Jennifer Will | Nomura Holding America Inc. | +1 212 667 9971 |

Nomura

Nomura is an Asia-based financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. Nomura's subsidiaries in the US include Nomura Securities International, Inc. (a member of SIPC) and Nomura Corporate Research and Asset Management Inc. For further information about Nomura, visit www.nomura.com.