

Nomura Asset Management Enters Hong Kong Retail Market with Launch of Five SFC-Authorized Funds

RISK DISCLOSURE

- Funds may employ financial derivative instruments and techniques for efficient portfolio management and/or hedging purposes. The use of financial derivative instruments may create leverage. ESG-related risk events or conditions could cause a material impact on the value of the investment if they were to occur.
- Nomura India Equity Fund which invests in an emerging market is exposed to higher risks relating to equity market, geographical concentration, political and regulatory, emerging markets, macroeconomic, stock market control, currency, small cap, liquidity and volatility risks. Emerging markets tend to be more volatile than more established stock markets and therefore any investment is at greater risk.
- Nomura Asia Ex Japan High Conviction Fund may invest in the China A-Shares market directly via the Stock Connect and thus is subject to the associated risks (including quota limitation, change in rule and regulations, repatriation of the Fund's monies, trade restrictions, China market volatility and uncertainty, potential clearing and/or settlement difficulties, change in economic, social and political policy in PRC and Mainland China tax risks (where appropriate).
- Nomura US High Yield Bond Fund which invests in high yield securities generally entails increased interest rate, credit, liquidity and market risk. Investment in non-investment grade securities may subject the fund to heightened litigation risks and / or prevent their disposal. Investment in securities of distressed entities may involve sudden and erratic price movements and volatility.
- Nomura Asia Investment Grade Bond Fund invests in fixed income securities and thus is subject to interest rate risk, sector risk, credit risks (including default risk and downgrading risk), sovereign debt risk, emerging markets risk, liquidity risk, concentration risk of investing in Asian debt securities, volatility risk, currency exchange risk, credit rating risk.
- Investors should not make investment decisions based on this document alone. Investors should refer to the Prospectus and Key Facts Statement of the relevant funds and Hong Kong Covering Document for details including risk factors.

Hong Kong, April 9, 2025—Nomura Asset Management Co., Ltd, the core company within the Investment Management Division of Nomura Holdings, Inc., today announced that five UCITS funds have obtained the Securities and Futures Commission (SFC) of Hong Kong authorization¹ to be marketed to retail investors.

“Hong Kong has always been one of Nomura Asset Management’s core markets outside Japan. We established our office here in the 1980s and have been servicing institutional investors and high-net-worth clients for decades,” said John Liu, President and Managing Director of Nomura Asset Management Hong Kong Limited.

“Recently, we have seen an increase in demand from retail investors for best-in-class products across different asset classes. The authorization for our funds marks an important milestone in our retail journey, which will be a key strategic focus area for us in the medium to long term.”

The five authorized funds are existing UCITS funds that have been offered to investors in Europe and other regions for years with great success.

¹ SFC authorization is not a recommendation or endorsement of a scheme nor does it guarantee the commercial merits of a scheme or its performance. It does not mean the scheme is suitable for all investors nor is it an endorsement of its suitability for any particular investor or class of investors.

Davy Yuen, Head of Wholesale Business at Nomura Asset Management Hong Kong Limited, said: “We are committed to providing products with well-established investment strategies and new product features that suit the needs of retail investors in Hong Kong.”

The authorized funds include three equity and two fixed income funds:

- Nomura Japan Strategic Value Fund
- Nomura India Equity Fund
- Nomura Asia Ex Japan High Conviction Fund
- Nomura US High Yield Bond Fund
- Nomura Asia Investment Grade Bond Fund

Kenichi Suzuki, Nomura Asset Management’s Senior Managing Director, Head of Global Business Unit, said: “This is the first step to expand our fund offerings in Hong Kong which is a key retail market in Asia and we are delighted to continue growing the product suite going forward.”

Fund Summaries

Nomura Japan Strategic Value Fund	Equity	To achieve long-term capital growth through investment in a portfolio of Japanese equity securities. A long-term strategy focusing on unlocking value at a stock level, emphasizing the long-term investment theme of improving returns at a corporate level. The approach is unconstrained and driven by in-depth research and fundamental analysis.
Nomura India Equity Fund	Equity	To achieve long-term capital growth through investment in an actively managed portfolio of Indian securities. A focused approach investing in 40-50 high-conviction stocks. Stock selection is based on fundamental research, supported by a large number of visits to Indian companies by a very experienced portfolio management team.
Nomura Asia Ex Japan High Conviction Fund	Equity	To achieve long-term capital growth through investment in a concentrated, actively managed portfolio of Asian (excluding Japan) equity securities. A disciplined bottom-up approach emphasizing fundamental analysis and drawing on strong regional research infrastructure.
Nomura US High Yield Bond Fund	Fixed Income	To achieve current yield and capital gains through investment in a diversified portfolio of primarily high-yielding US dollar denominated debt and debt-related securities. A combination of top-down and bottom-up analysis is used to identify high-yield credits with strong and improving fundamentals.
Nomura Asia Investment Grade Bond Fund	Fixed Income	To achieve income gains and capital appreciation through investment in a diversified portfolio of primarily investment grade bonds in Asia Pacific ex Japan.

Nomura Asset Management

Nomura Asset Management is a leading global investment manager with a history spanning over 60 years and assets under management (AUM) of JPY92.2 trillion*. Headquartered in Tokyo, the firm has investment offices worldwide, including London, New York, Singapore, Hong Kong, Shanghai, Taipei, Kuala Lumpur and Frankfurt, employing a global workforce of more than 1,500* individuals. Nomura Asset Management offers its clients an extensive array of innovative investment strategies in alternative assets, complementing traditional assets such as equities and fixed income.

Nomura Asset Management is the brand name for Nomura Asset Management Co., Ltd. and its affiliated companies worldwide.

Nomura Asset Management Co., Ltd. is a registered company in Japan.

Registration Number: Director of the Kanto Local Financial Bureau (Financial instruments firms) No. 373

Member Associations: The Investment Trusts Association, Japan; Japan Investment Advisers Association; Type II Financial Instruments Firms Association

Nomura Asset Management Hong Kong Limited

Nomura Asset Management Hong Kong Limited was established in February 1988 and is a wholly-owned subsidiary of Nomura Asset Management Co., Ltd.

Nomura Asset Management Hong Kong Limited is licensed by the Securities and Futures Commission (SFC) of Hong Kong, and is the investment management hub for Hong Kong and China portfolios and marketing/client services center for Greater China.

**As of December 31, 2024.*

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Nomura

Nomura is a financial services group with an integrated global network. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Wealth Management, Investment Management, Wholesale (Global Markets and Investment Banking), and Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.

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This is a marketing communication. Please refer to the Prospectus, Key Facts Statement (KFS) and Hong Kong Covering Document for details, including risk factors, before making any final investment decisions.

The fund is a sub-fund of Nomura Funds Ireland plc, which is authorized by the Central Bank of Ireland as an open-ended umbrella investment company with variable capital and segregated liability between its sub-funds, established as an undertaking for Collective Investment in Transferable Securities under the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011. The UCITS fund is not intended for distribution to or use by any person or entity in any jurisdiction or country where such distribution or use would be contrary to law or regulation.

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