

Statement on Submission of Business Improvement Report to Japan's Financial Services Agency

Tokyo, August 8, 2012—Nomura Securities Co., Ltd. today submitted a report on its business improvement measures to Japan's Financial Services Agency in accordance with the business improvement order issued on August 3, 2012.

We take this matter very seriously and we apologize to our clients and all other concerned parties for the trouble that has been caused. We have set out a series of improvement measures and the entire firm is working to prevent similar incidents occurring in the future. As announced on July 26, we plan to complete implementation of most of the measures by the end of August, excluding those that require computer or other technical developments.

In addition, we have established an Improvement Measures Implementation Committee to monitor the implementation of the improvement measures. This committee reports directly to the President of Nomura Securities and includes the company's Internal Control Supervisory Manager and relevant executive officers and department heads.

We will continue to further enhance our internal controls while working to prevent similar incidents and regain the trust of the public.

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Nomura

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