

Nomura Applies to Establish Securities Company in China

Tokyo, May 10, 2018—Nomura Holdings, Inc. today announced that it applied to the China Securities Regulatory Commission (CSRC) on May 8 to establish a joint venture securities firm.

Nomura intends to make detailed preparations for the securities company subject to discussions with the CSRC and other relevant parties.

The new company will first focus on leveraging Nomura's expertise in face-to-face consulting to provide wealth management services to mass affluent individuals in China.

Nomura plans to then develop its product distribution channels and expand into Wholesale and other business segments, with the ultimate goal of growing the business into a full-fledged brokerage that will form a core part of the firm's strategy in Asia ex-Japan.

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Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, and Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.