

Nomura Receives Approval to set up Securities Joint Venture in China

Tokyo, March 29, 2019—Nomura Holdings, Inc. today announced that it has received approval from the China Securities Regulatory Commission (CSRC) to establish a securities joint venture in China. Nomura will now make the necessary arrangements to set up the company once the procedural requirements have been completed.

“This is an important step in building up our China business. With an increased presence in China, we aim to support economic growth in both China and Japan and firmly establish ourselves as a global financial services group with deep roots in Asia,” said Nomura Group CEO Koji Nagai.

The new company will initially focus on the wealth management business to provide high-net-worth individuals in China with services leveraging Nomura’s expertise in face-to-face consulting.

Nomura plans to then develop its product distribution channels and expand into Wholesale and other business segments, with the ultimate goal of growing the business into a full-fledged brokerage that will form a core part of the firm’s strategy in Asia ex-Japan.

The impact of the new company on Nomura’s consolidated financial results has not been determined. Nomura will immediately issue an announcement if the possibility of a material impact arises.

Outline of new company

Name: Nomura Orient International Securities Co., Ltd.

Registration: Shanghai, China

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Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.