

Statement on Media Reports

Tokyo, May 23, 2019— Certain media reports were published about an incident related to the handling of information at Nomura Securities, Co. Ltd.

An incident recently occurred whereby information related to the listing and delisting criteria for the upper market currently under review by the Tokyo Stock Exchange was handled improperly from the viewpoint of ensuring fair and sound markets in the course of communicating information at Nomura Securities Co., Ltd.

We take this matter very seriously. In order to fully understand the circumstances surrounding the incident, we have conducted an internal investigation. We will publish the findings of our investigation and details of a remediation action plan shortly.

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Nomura

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