

Notice regarding Registration of Whistleblowing Compliance Management System

Tokyo, November 15, 2019—Nomura Holdings, Inc. (the “Company”) announces today that the Company has registered its whistleblowing system under Japan’s Consumer Affairs Agency’s “Whistleblowing Compliance Management System (“WCMS”). Named the "Nomura Group Compliance Hotline," the registration was made in accordance with the Consumer Affairs Agency’s self-declaration system.

Under the self-declaration system, companies evaluate their own whistleblowing programs and apply to have them registered. Each program is then reviewed by the designated registration organization to determine whether it meets the certification standards set forth by the Consumer Affairs Agency in its “Guidelines for Private Enterprises regarding the Development and Operation of Internal Reporting Systems based on the Whistleblower Protection Act.” If the application is approved, the company is registered and granted the right to use the official WCMS symbol.

The Nomura Group Compliance Hotline enables the Company to detect and act on potential misconduct at an early stage. The Company will continue working to build a healthy corporate culture and remains committed to the highest standards of ethics and integrity.

ends

Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com/