

Nomura's China Joint Venture Receives Securities Business License

Tokyo, November 22, 2019—Nomura Holdings, Inc. today announced that its majority-owned securities joint venture in China, Nomura Orient International Securities, has received a securities business license from the China Securities Regulatory Commission to operate brokerage, investment consulting, proprietary trading, and asset management businesses.

Through the new business, Nomura aims to leverage its global expertise to provide clients with diverse investment products and services for the Chinese market, with the ultimate goal of growing the business into a full-fledged brokerage that will form a core part of the firm's strategy in Asia ex-Japan.

Nomura is committed to developing the capital markets and supporting economic growth in both Japan and China.

Outline of Nomura Orient International Securities

Name: Nomura Orient International Securities Co., Ltd.
Registration: Shanghai, China
Established: August 20, 2019
Capital: RMB2 billion
Business areas: Securities brokerage, securities investment consulting, securities proprietary trading, securities asset management
Shareholders: Nomura Holdings, Inc. (51%)
Orient International (Holding) Co., Ltd.¹ (24.9%)
Shanghai Huangpu Investment Holding (Group) Co., Ltd.² (24.1%)

ends

Nomura

Nomura is an Asia-headquartered financial services group with an integrated global network spanning over 30 countries. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its four business divisions: Retail, Asset Management, Wholesale (Global Markets and Investment Banking), and Merchant Banking. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.

¹ Based in Shanghai, Orient International is wholly owned by the Shanghai Municipal State-owned Assets Supervision and Administration Commission and conducts import and export businesses as well as wide range of other businesses.

² Shanghai Huangpu Investment Holding is solely funded by the Shanghai Huangpu District State-owned Assets Supervision and Administration Commission and is responsible for reform of state-owned assets and state-owned enterprises in Huangpu District, investment in key projects in emerging industries, and management of the Huangpu government investment fund.