

Nomura Finalizes Details of Disposition of Treasury Shares as Stock Award

Tokyo, May 6, 2022—Nomura Holdings, Inc. (the “Company”) today announced that the number of shares disposed and the number of allottees, etc., of Restricted Stock Unit (RSU) No.4, Restricted Stock Unit (RSU) No.10, Restricted Stock Unit (RSU) No.16 and Restricted Stock Unit (RSU) No. 22 have been finalized, which relate to the disposition of treasury stock determined by its Executive Management Board held on May 14, 2018 (the “2018 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award and Submits Shelf Registration Statement” dated May 14, 2018, Executive Management Board held on May 16, 2019 (the “2019 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 16, 2019, Executive Management Board held on May 27, 2020 (the “2020 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 27, 2020 and Executive Management Board held on May 17, 2021 (the “2021 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 17, 2021 as outlined below.

	RSU No.4
1. Disposition Date of Treasury Shares	May 2, 2022
2. Class and Number of Shares Disposed	624,074 shares of common stock of the Company
3. Disposition Price	611.4 yen per share
4. Total Amount of Disposition Price	381,558,844 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2018 Decision Value of Assets to be Contributed: 381,558,844 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	1 Employee, etc. of the Company 1,248 shares 113 Directors, Executive Officers, and employees, etc., of the Company’s subsidiaries 622,826 shares

	RSU No.10
1. Disposition Date of Treasury Shares	May 2, 2022

2. Class and Number of Shares Disposed	5,697,915 shares of common stock of the Company
3. Disposition Price	371 yen per share
4. Total Amount of Disposition Price	2,113,926,465 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2019 Decision
	Value of Assets to be Contributed: 2,113,926,465 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	4 Directors and Executive Officers of the Company (Excluding Outside Directors) 25,169 shares
	10 Employees, etc. of the Company 16,599 shares
	1,153 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 5,656,147 shares

	RSU No.16
1. Disposition Date of Treasury Shares	May 2, 2022
2. Class and Number of Shares Disposed	13,864,790 shares of common stock of the Company
3. Disposition Price	436 yen per share
4. Total Amount of Disposition Price	6,045,048,440yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2020 Decision
	Value of Assets to be Contributed: 6,045,048,440 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	6 Directors and Executive Officers of the Company (Excluding Outside Directors) 80,091 shares
	22 Employees, etc. of the Company 41,995 shares
	1,597 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 13,742,704 shares

	RSU No.22
1. Disposition Date of Treasury Shares	May 2, 2022
2. Class and Number of Shares Disposed	11,864,008 shares of common stock of the Company
3. Disposition Price	577 yen per share
4. Total Amount of Disposition Price	6,845,532,616yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2021 Decision
	Value of Assets to be Contributed: 6,845,532,616 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	8 Directors and Executive Officers of the Company (Excluding Outside Directors) 64,456 shares
	25 Employees, etc. of the Company 38,111shares
	2,035 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 11,761,441 shares

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Nomura

Nomura is a global financial services group with an integrated network spanning over 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Wholesale (Global Markets and Investment Banking), and Investment Management. Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.