

## Nomura Finalizes Details of Disposition of Treasury Shares as Stock Award

**Tokyo, May 2, 2023**—Nomura Holdings, Inc. (the “Company”) today announced that the number of shares disposed and the number of allottees, etc., of Restricted Stock Unit (RSU) No.5, Restricted Stock Unit (RSU) No.11, Restricted Stock Unit (RSU) No.17, Restricted Stock Unit (RSU) No. 23 and Restricted Stock Unit (RSU) No. 29 have been finalized, which relate to the disposition of treasury stock determined by its Executive Management Board held on May 14, 2018 (the “2018 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award and Submits Shelf Registration Statement” dated May 14, 2018, Executive Management Board held on May 16, 2019 (the “2019 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 16, 2019, Executive Management Board held on May 27, 2020 (the “2020 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 27, 2020, Executive Management Board held on May 17, 2021 (the “2021 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 17, 2021 and Executive Management Board held on May 19, 2022 (the “2022 Decision”), announced in its news release “Nomura Determines to Make Disposition of Treasury Shares as Stock Award” dated May 19, 2022 as outlined below.

	RSU No.5
1. Disposition Date of Treasury Shares	May 1, 2023
2. Class and Number of Shares Disposed	614,844 shares of common stock of the Company
3. Disposition Price	611.4 yen per share
4. Total Amount of Disposition Price	375,915,622 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2018 Decision Value of Assets to be Contributed: 375,915,622 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	1 Employee, etc. of the Company 1,234 shares 108 Directors, Executive Officers, and employees, etc., of the Company’s subsidiaries 613,610 shares

	RSU No.11
1. Disposition Date of Treasury Shares	May 1, 2023

2. Class and Number of Shares Disposed	342,054 shares of common stock of the Company
3. Disposition Price	371 yen per share
4. Total Amount of Disposition Price	126,902,034 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2019 Decision
	Value of Assets to be Contributed: 126,902,034 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	0 Employees, etc. of the Company 0 shares
	63 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 342,054 shares

	RSU No.17
1. Disposition Date of Treasury Shares	May 1, 2023
2. Class and Number of Shares Disposed	13,095,201 shares of common stock of the Company
3. Disposition Price	436 yen per share
4. Total Amount of Disposition Price	5,709,507,636 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2020 Decision
	Value of Assets to be Contributed: 5,709,507,636 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	6 Directors and Executive Officers of the Company (Excluding Outside Directors) 79,878 shares
	24 Employees, etc. of the Company 50,878 shares
	1,507 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 12,964,445 shares

	RSU No.23
1. Disposition Date of Treasury Shares	May 1, 2023
2. Class and Number of Shares Disposed	11,130,199 shares of common stock of the Company

3. Disposition Price	577 yen per share
4. Total Amount of Disposition Price	6,422,124,823 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2021 Decision
	Value of Assets to be Contributed: 6,422,124,823 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	8 Directors and Executive Officers of the Company (Excluding Outside Directors) 64,166 shares
	27 Employees, etc. of the Company 39,029 shares
	1,901 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 11,027,004 shares

	RSU No.29
1. Disposition Date of Treasury Shares	May 1, 2023
2. Class and Number of Shares Disposed	18,453,975 shares of common stock of the Company
3. Disposition Price	479 yen per share
4. Total Amount of Disposition Price	8,839,454,025 yen
5. Assets Contributed and Description and Value of Such Assets	Assets Contributed: assets other than cash (contribution-in-kind) Description of Assets: monetary compensation claims granted by the Company pursuant to the 2022 Decision
	Value of Assets to be Contributed: 8,839,454,025 yen (price per share is equivalent to the disposition price)
6. Method of Disposition	Allotted as a stock award to the allottees listed in 8 below
7. Method of Performance of Contribution	Through a contribution in-kind of monetary compensation claims
8. Allottees and Number of Shares Allotted	7 Directors and Executive Officers of the Company (Excluding Outside Directors) 101,998 shares
	26 Employees, etc. of the Company 46,558 shares
	1,996 Directors, Executive Officers, and employees, etc., of the Company's subsidiaries 18,305,419 shares

ends

#### Nomura

Nomura is a global financial services group with an integrated network spanning over 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Retail, Investment Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit [www.nomura.com](http://www.nomura.com).