

## Statement on Suspension of Special Entitlements of JGB Market Special Participants by Japan's Ministry of Finance

**Tokyo, October 11, 2024**—Following a recommendation<sup>1</sup> issued on September 25, 2024, by Japan's Securities and Exchange Surveillance Commission to impose an administrative monetary penalty against Nomura Securities Co., Ltd. for Japanese government bond futures transactions conducted in March 2021, Japan's Ministry of Finance today announced the suspension of Nomura Securities' Special Entitlements of JGB Market Special Participants (Primary Dealer) from October 15, 2024 to November 14, 2024.

We take this matter very seriously and apologize to our clients and all other concerned parties for the trouble this has caused.

Nomura will immediately issue an announcement if the possibility of a material impact on its consolidated financial results arises.

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### Nomura

Nomura is a global financial services group with an integrated network spanning approximately 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Wealth Management, Investment Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit [www.nomura.com](https://www.nomura.com).

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<sup>1</sup>See news release titled "[Statement on Recommendation Issued by Japan's Securities and Exchange Surveillance Commission](#)" issued on September 25, 2024.