

Statement on Arrest of Former Employee

Tokyo, November 6, 2024—On October 30, 2024, a former employee of Nomura Securities was arrested by Hiroshima Prefecture police on suspicion of robbery, attempted murder, and arson of an inhabited building.

We would like to express our heartfelt sympathy and apologize to our clients who suffered because of this incident. We also apologize to all those affected by the trouble it is causing. We will continue to cooperate fully with the police investigation.

We take this matter very seriously. An incident like this must never happen at a financial institution entrusted with looking after its clients' assets.

To reassure our clients and regain their trust, we have introduced new pre-approval rules governing visits by Wealth Management employees to clients' homes for the foreseeable future. We are also tightening rules around the monitoring of employee movements to ensure more robust and effective oversight.

In addition, we will introduce block leave where employees must be absent from the workplace for a certain period of time to detect any wrongdoing, implement stricter evaluation of employee compliance and conduct, and carry out training to foster professional ethics.

To respond better to the situation on the ground, we sent a Senior Managing Director in charge of Wealth Management Retail Strategy to work out of our Hiroshima Branch Office from yesterday.

We remain committed to reinforcing our client-centric approach to create an environment where clients can have peace of mind when working with us.

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Nomura

Nomura is a global financial services group with an integrated network spanning approximately 30 countries and regions. By connecting markets East & West, Nomura services the needs of individuals, institutions, corporates and governments through its three business divisions: Wealth Management, Investment Management, and Wholesale (Global Markets and Investment Banking). Founded in 1925, the firm is built on a tradition of disciplined entrepreneurship, serving clients with creative solutions and considered thought leadership. For further information about Nomura, visit www.nomura.com.