

The Nomura Charitable Trust

Grant Application Guidance

Community Affairs
EMEA

The
Nomura Charitable Trust

MARCH 2014

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Grant application

The Nomura Charitable Trust is a grant giving organisation funded by Nomura International plc and its employees. The Trust was established in 2009 to support charitable activities and to build philanthropic giving. The Trust makes grants to employee suggested organisations in London

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs over 27,000 staff worldwide. Nomura's unique understanding of Asia enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (global markets and investment banking). For further information about Nomura, please visit www.nomura.com/

Through its grant giving programme, The Nomura Charitable Trust aims to improve the educational achievement, employability potential and aspirations of disadvantaged young people, living in poverty, deprivation and high risk situations in London

What do we fund?

The Trust's grant giving programme will fund charities which focus on educational achievement, employability potential and aspirations of disadvantaged children and young people – defined as up to 19 year olds living in poverty, deprivation and high risk situations in London. Our approach is underpinned by three strategic focus areas:

Educational attainment

- Raising educational attainment through programmes which address topics such as literacy, numeracy and exclusion/truancy

Employability potential

- Enhancing employability potential through programmes which improve hard/soft skills, financial literacy, entrepreneurial thinking and awareness of career opportunities

Aspirations

- Raising aspirations through programmes which enhance self esteem, build social networks and inspire through arts and culture, sports and extra-curricular programmes

Our Approach

In considering requests for funding we give preference to projects, programmes and activities that:

- Are located in a community where Nomura has a presence;
- Serve a broad range of community residents and demonstrate impact;
- Provide a significant and measurable impact on quality of life;
- Are managed competently through accountability, cost effectiveness, strong leadership and creativity;
- Work with disadvantaged young people directly and intervene at the individual level;
- Have factored in long-term sustainability; and
- If appropriate, leverage the talent, skills and action of Nomura employees and resources

Who can apply?

To begin the application process, your organisation will need to be invited to apply by a member of the Community Affairs team at Nomura, following a recommendation from a Nomura employee. We are unable to accept unsolicited applications. Grants are made only to organisations that are:

- A UK or US registered charity;
- Set up exclusively for charitable purposes;
- Fall within one of the funding priorities outlined above

The Nomura Charitable Trust will generally not consider a request from:

- Organisations based outside of London and the Middle East region;
- Religious institutions or organisations;
- Organisations that receive donations from, or make payments to, individuals, entities or jurisdictions subject to UK or US sanctions and embargoes. The jurisdictions currently subject to UK and/or US sanctions and embargoes include: Cuba, Belarus, North Korea, Syria, Sudan, Iran, Myanmar and Zimbabwe. The UK sanctions list is administered by HM Treasury (<http://www.hm-treasury.gov.uk>) and the US list by the Office of Foreign Assets Control (www.treas.gov/ofac);
- Organisations that discriminate on the basis of race, gender, national origin, sexual orientation or other relevant attributes included in the organisation's non-discrimination policy;
- Political parties, campaigns, candidates, or lobbying organisations;
- Parent/teacher association fundraisers and alumni associations;
- Projects that have not factored in the long term sustainability of the project, including its financing;
- Projects that do not have a strong focus on the long term difference their work will make;
- Organisations that have an annual income of less than £50,000 (or equivalent in local currency); or
- Organisations that don't have robust accountability, governance and reporting structures/systems.
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- If you met all these requirements and are interested in speaking to a member of the Community Affairs team to learn more about the Nomura Charitable Trust's activities, please e-mail community@nomura.com

Please note that:

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- Organisations can only apply for one grant from the Nomura Charitable Trust at a time
- Grant applications and supporting materials should be submitted in English
- Submission of a grant application to the Nomura Charitable Trust does not guarantee funding
- All requests for support require recommendations by employees

Deadlines

The Nomura Charitable Trust accepts applications on a rolling basis throughout the year. The timeline for receiving funding varies according to the schedule of each project. Trustees of the Nomura Charitable Trust meet on an average of three times a year. Funding follows each of those meetings. The Nomura Community Affairs team makes every effort to respond to all enquiries and applications as quickly as possible; however this may occur outside of the Trustee meeting calendar