

## Corporate Data

Company name	Nomura Holdings, Inc.
Date of incorporation	December 25, 1925
Head office	1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8645, Japan
Paid-in capital	¥594,493million
Group employees	26,402
Common stock issued	3,233,562,601 shares
Number of shareholders	342,956 (Unit shareholders:318,828)
Listing	The common shares of Nomura Holdings, Inc. are listed on the Tokyo, Nagoya, and Singapore stock exchanges. The shares are also listed on the NYSE in the form of American Depositary Shares (ADSs) evidenced by American Depositary Receipts (ADRs). Each ADS represents one share of common stock.
Securities code	8604 (Tokyo Stock Exchange), NMR (New York Stock Exchange)
Transfer agent and registrar	Mitsubishi UFJ Trust and Banking Corporation Corporate Agency Department
Depository for American Depositary Receipts (ADRs)	The Bank of New York Mellon
Date of record for dividend payments	September 30, March 31

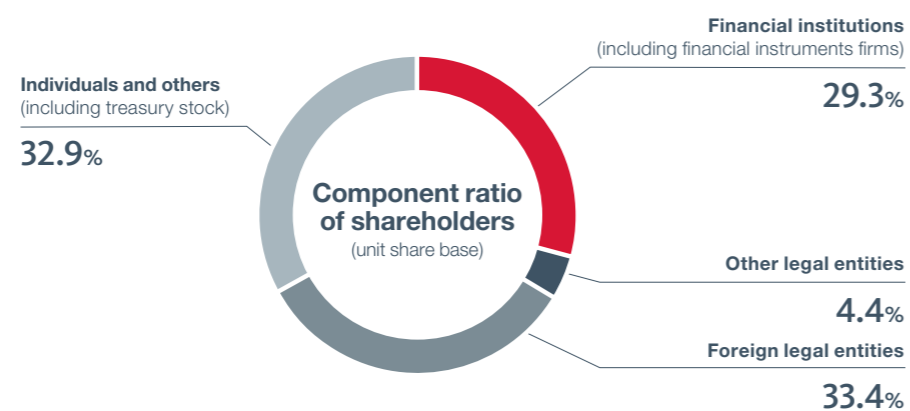
## Share Information

(As of March 31, 2021)

### Major shareholders (Top 10)\*1

Name of shareholder	Number of shares owned (thousands)*2	Ownership (%)*2
The Master Trust Bank of Japan, Ltd. (Trust Account)	253,651	8.3%
Custody Bank of Japan, Ltd. (Trust Account)	134,376	4.4%
SMBC Nikko Securities Inc.	72,001	2.4%
State Street Bank West Client-Treaty 505234	48,291	1.6%
Custody Bank of Japan, Ltd. (Trust Account 5)	46,166	1.5%
Northern Trust Co. (AVFC) Re Silchester International Investors International Value Equity Trust	45,178	1.5%
JP Morgan Securities Japan Co., Ltd.	43,108	1.4%
Custody Bank of Japan, Ltd. (Trust Account 6)	40,929	1.3%
Northern Trust Co. (AVFC) Re U.S. Tax Exempted Pension Funds.	40,182	1.3%
Custody Bank of Japan, Ltd. (Trust Account 7)	40,103	1.3%

\*1 The company has 170,057 thousand shares of treasury stock as of March 31, 2021 which is not included in the major shareholders list above.  
\*2 Figures for Number of Shares Owned are rounded down to the nearest thousand and figures for Percentage of Shares Owned are calculated excluding treasury stock.



## Total Shareholder Return

(TSR\*\*)

Holding Period*2	1 year	2 years	3 years	4 years	5 years
Nomura Holdings	141.6%	130.3%	88.7%	104.2%	135.7%
TOPIX	114.7%	132.9%	126.2%	114.2%	162.3%

\*1 Ratio obtained by dividing investment amount (stock price) by return (dividend and capital gains).

Calculated based on Cabinet Office Order on Disclosure of Corporate Affairs

\*2 Figures based on the last price of March 31, 2016

## Credit Ratings

(As of June 30, 2021)

	Nomura Securities		Nomura Holdings	
	Long-term	Short-term	Long-term	Short-term
R&I	A+	a-1	A	a-1
JCR	AA-	-	AA-	-
Moody's	A3	P-2	Baa1	-
Standard & Poor's	A-	A-2	BBB+	A-2
Fitch Ratings	A-	F1	A-	F1

### Basic Information in the Report

**Period covered** April 1, 2020 to March 31, 2021  
(Some content may be outside this time frame.)

**Reporting cycle** Once a year

**Previous** August 2020

**Current** August 2021

**Entities covered** Nomura Holdings, Inc. and its major subsidiaries and affiliates  
<http://www.nomuraholdings.com/jp/company/group/>  
Numerical data are presented alongside information on the scope of companies covered.

**Reference guidelines**

- GRI Sustainability Reporting Standards (GRI Standards)  
Please access the following URL on our corporate website for our GRI Guidelines Index.  
<https://www.nomuraholdings.com/csr/gri/index.html>
- International Integrated Reporting Frameworks recommended by the International Integrated Reporting Council and the World Intellectual Capital Initiative
- Guidance for Collaborative Value Creation of Japan's Ministry of Economy, Trade, and Industry
- Environmental Reporting Guidelines (2018 Version) of Japan's Ministry of the Environment

### Inquiries

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Investor Relations

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